Policy Statement. The System Administration supports and promotes a culture of compliance and accountability that encourages all members of the System Administration community to conduct themselves lawfully, honestly and with integrity. Everyone within the System Administration is expected to operate in an ethical, honest and lawful manner. Preventing and detecting criminal conduct is critical to achieving these goals. The System Administration expects and encourages all members of its community, including faculty, students, staff and individuals authorized to act on behalf of the System Administration to make good faith reports of suspected wrongdoing. Retaliation against those making good faith reports of misconduct is prohibited.

Application of Policy. All members of the System Administration community, including but not limited to faculty, students, staff and individuals authorized to act on behalf of the System Administration.

Definitions.

1. **Employee.** “Employee” means anyone employed by the System Administration, including staff and faculty.

2. **Student.** “Student” means anyone actively enrolled in at least one SCH (semester credit hour) during a given enrollment term. Student also includes individuals between academic terms that completed the most recent term and registered for the upcoming term.

3. **Retaliation.** “Retaliation” means adverse action against an individual because of the individual’s report of suspected wrongdoing or assistance in an authorized investigation of suspected wrongdoing under this policy.

Procedures and Responsibilities.

1. Employees and individuals authorized to act on behalf of the System Administration are required to promptly report suspected wrongdoing of any kind, including but not limited to illegal or fraudulent activity, conflicts of interest, financial misstatements, accounting irregularities, and violations of other laws, Regents Rules, System Regulations, or System Administration policies. The requirement to report suspected wrongdoing under this policy is in addition to and does not replace, change or modify reporting requirements under federal or state laws.
2. Students and other individuals are strongly encouraged to report suspected wrongdoing of any kind including but not limited to illegal or fraudulent activity, conflicts of interest, financial misstatements, accounting irregularities, and violations of other laws, Regents Rules, System Regulations or System Administration policies. Reports shall be made to the System Administration Compliance Office. Reports of suspected criminal wrongdoing also shall be made to a law enforcement agency, including any police department within the UNT System. Reporting suspected wrongdoing under this policy is in addition to and does not replace, change or modify reporting requirements under federal or state law.

3. Reports of suspected wrongdoing made by Employees or individuals other than Students shall be made to the System Administration Compliance Office. Reports of suspected wrongdoing made by Students shall be made to the Assistant Dean of Students Office. Reports of suspected criminal wrongdoing also shall be made to a law enforcement agency, including any police department within the UNT System. Reports of sexual harassment and discrimination also shall be made to the UNT System Equal Opportunity Office. Reports that are not within the Compliance Office’s jurisdiction or the Assistant Dean of Student Office’s jurisdiction will be redirected to the appropriate administrative office. Reports regarding suspected wrongdoing involving the Compliance Office or a member of the Compliance Office’s staff may be made to the Chancellor. Reports by Students regarding suspected wrongdoing involving the Assistant Dean of Students Office may be made to the System Administration Compliance Office.

4. Officials who receive a report of suspected wrongdoing shall determine whether an investigation is appropriate and resolve the report in accordance with legal requirements and the policy requirements of the System Administration. The Chancellor, the Vice Chancellor and General Counsel and the Chief Internal Auditor shall be informed of unlawful conduct that may pose risk of significant liability or reputational harm to the System Administration or of other matters that may be a
significant compliance concern. The Board of Regents shall be informed of unlawful conduct that poses risk of significant liability or reputational harm to the System Administration or of other matters that are a significant compliance concern in the judgment of a compliance officer, the Vice Chancellor and General Counsel, the Chief Internal Auditor or the Chancellor.

负责方：官员收到受政策影响的疑似不当行为的报告；系统管理合规办公室；助理学生事务处；校长；副校长和法律顾问；首席内部审计师。

5. 个人应诚实合作地调查疑似不当行为。虽然合作是预期的，但报告人不能参与调查或获知案件的进展，除非法律要求。

负责方：教职员工、学生、教职人员及其他参与调查疑似不当行为的个人。

6. 任何以善意报告或协助调查疑似不当行为的个人，或任何在被授权调查疑似不当行为的案件中协助的人员，均不应受到纪律处分，除非是自我报告的违规行为。任何进行报复的人可能会面临纪律处分，包括被解雇或被撤销代表系统管理的权力。

负责方：所有系统管理的教职人员和教职人员。

7. 个人应根据《德克萨斯家庭法》第261章立即报告给当地或州执法机关或德克萨斯家庭和保护服务部，当有理由相信一个孩子的生理或心理健康或福利已受到虐待或忽视时。根据该政策，雇员、学生和被授权代表系统的人员在代表系统管理进行报告时，必须按照《德克萨斯家庭法》第261章中规定的特定方式报告虐待和忽视。按照政策02.1500，向系统管理的监督者或其他官员报告不履行该法律的报告要求，除非是根据《德克萨斯家庭法》第261章所需要的具体报告，否则可能会受到纪律处分，包括解雇或被撤销代表系统的权力。如果未能按照法律规定报告，将构成犯罪，并且是谴责系统的管理行为。
Responsible Party: Faculty, staff, Students, individuals authorized to act on behalf of the System Administration, and all other individuals with reason to believe that a child’s physical or mental health or welfare has been adversely affected by abuse or neglect

8. The Compliance Office and the Assistant Dean of Students Office will publish on its website contact information for receiving reports of suspected wrongdoing and retaliation.

Responsible Party: The System Administration Compliance Office and the Assistant Dean of Students Office

References and Cross-references.

Regents Rule 04.1100, Reporting Suspected Wrongdoing

Policy 02.1500, Reporting of Child Abuse and Neglect

Policy 03.701, Ethics

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