



University of North Texas System

Board of Regents

Schedule of Events for Board of Regents Meeting

University of North Texas at Dallas
Founders Hall, Room 138
7300 University Hills Blvd.
Dallas, Texas 75241

February 22-23, 2018

The University of North Texas System Board of Regents will meet on February 22 from 9:00 am until approximately 6:00 pm and on February 23 from 9:00 am until approximately 12:00 pm.

Agenda items are scheduled to follow each other consecutively and may start earlier or later than the posted time depending on the length of the discussions and the reports of previous items. Please note that the estimated times given in the posting are only approximate and may be adjusted as required with no prior notice.

Any members of the Board may attend committee meetings. Because some Board members who are not committee members may attend committee meetings and thereby create a quorum of the full Board, committee meetings are also being posted as meetings of the full Board. Please contact the Office of the Board Secretary with any questions at 214.752.5545.

Thursday, February 22, 2018

9:00 am CONVENE FULL BOARD

CHANCELLOR'S REMARKS

- Progress Since Last Quarterly Board Meeting, November 16-17, 2017

UNT DALLAS PRESIDENT'S INSTITUTIONAL UPDATE

- Urban Advantage
- Campus Highlights
- What's Next

9:40 am SPOTLIGHT ON STUDENTS

9:55 am CAMPUS UPDATES

- Neal Smatresk, President, UNT
 - Academic Update
 - Personnel Update
- Michael Williams, President, UNTHSC
 - UNT Health
 - Leadership

10:15 am STRATEGIC AND OPERATIONAL EXCELLENCE COMMITTEE

Call to Order

- Approval of minutes of November 16, 2017 meeting
- Review of committee charter

Briefings:

UNT System Strategic Plans Review

- Bob Mong, UNTD, President
- Michael Williams, UNTHSC, President
- Neal Smatresk, UNT, President
- Lesa Roe, UNTS, Chancellor

UNT System Administration Functional Reviews

- Lesa Roe, UNT System, Chancellor

BACKGROUND MATERIAL

- Quarterly Operations Report

Adjourn Strategic & Operational Excellence Committee.

11:45 am ACADEMIC AFFAIRS AND STUDENT SUCCESS COMMITTEE

Call to Order

- Approval of minutes of November 16-17, 2017 meeting
- Review of committee charter

Briefing:

Seamless Transfer of Students Leading to Degree Completion

- Rosemary Haggett, UNTS, Vice Chancellor for Academic Affairs & Student Success
- Jennifer Cowley, UNT, Provost
- Betty Stewart, UNTD, Provost

BACKGROUND MATERIAL

- Quarterly Academic Measures Report

Recess Academic Affairs & Student Success Committee.

12:15 pm LUNCH RECOGNIZING THE HONORABLE HELEN GIDDINGS

1:15 pm FINANCE AND FACILITIES COMMITTEE

Call to Order

- Approval of minutes of November 16-17, 2017 meeting
- Review of committee charter

Briefings:

UNT Dallas Campus Master Plan Update

- Bob Mong, UNT Dallas, President
- James Maguire, UNT System, VC for Facilities Planning & Construction

FY17 Consolidated Annual Financial Report Highlights

- James Mauldin, UNTS, Associate VC for Treasury and Reporting
- Aaron LeMay, UNTS, Associate VC for Finance and System Controller

BACKGROUND MATERIAL

- Quarterly Operations Report

Recess Finance and Facilities Committee.

2:30 pm AUDIT COMMITTEE

Call to Order

- Approval of minutes of November 16, 2017, and January 22, 2018, meetings
- Review of committee charter

Action Item:

10. UNTS Acceptance of the Externally Audited UNT System FY17 Consolidated Annual Financial Report (*Attachment "b," Audited CAFR, found in Appendix C – Pp. 60 Revised on 02.20.18 ; Attachment "c" added on 02.20.18*)

Briefings:

Report of Audit Activities

- Tracy Grunig, UNTS, Chief Audit Executive

UNT System Enterprise Audit Report Inventory

- Tracy Grunig, UNTS, Chief Audit Executive

When Value Meets Accountability: A Lesson in Collaboration

- Desiree Ramirez, UNTHSC, Chief Compliance and Integrity Officer

UNT Athletics NCAA Compliance Overview

- Natasha Oakes, UNT, Senior Associate Athletic Director for Compliance

- Christy Crutsinger, UNT, Vice Provost for Academic Affairs and Faculty Athletics Representative

BACKGROUND MATERIAL

- UNT System Consolidated Quarterly Compliance Report, September 2017 through November 2017

Adjourn Audit Committee.

**3:45 pm CONVENE FULL BOARD AND RECESS TO EXECUTIVE SESSION
(Room #213)**

Government Code, Chapter 551, Section .074 - Personnel Matters Relating to Appointment, Employment, Evaluation, Assignment, Discipline, or Dismissal of Officers or Employees

- Consideration of individual personnel matters related to the appointment, employment, evaluation, reassignment, discipline and dismissal of System and Institution officers or employees
- Consideration of individual personnel matters related to the employment agreement with the UNT Head Football Coach, and possible action
- Consideration of individual personnel matters related to the employment agreement with the UNT Athletic Director, and possible action

Government Code, Chapter 551, Section .072 - Deliberations Regarding the Purchase, Exchange, Lease or Value of Real Property

- Discussion regarding the possible purchase, exchange, lease and value of real property in the North Texas region

Government Code, Chapter 551, Section .071 - Consultation with Attorneys Regarding Legal Matters or Pending and/or Contemplated Litigation or Settlement Offers

- Consultation with counsel regarding confidential legal matters, including pending, threatened, and contemplated litigation or settlement offers
- Consultation with counsel regarding contemplated, ongoing and/or finalized investigations and any findings, conclusions or recommendations related to those investigations
- Consultation with counsel regarding audits and any findings, conclusions or recommendations related to those audits
- Consultation with counsel on the status of negotiations and/or compliance with contracts and agreements, including but not limited to research grants and contracts, including legal obligations and duties and any and all related facts

6:00 pm CONVENE FULL BOARD AND RECESS (Room #138)

Friday, February 23, 2018

9:00 am CONVENE FULL BOARD

Recess for committee meetings.

9:05 am ACADEMIC AFFAIRS AND STUDENT SUCCESS COMMITTEE

Action Item:

- 11. UNT Approval of University of North Texas Mission Statement
- 12. UNT Approval to Add the UNT Bachelor of Applied Science Degree Program with a Major in Learning Technologies
- 13. UNTHSC Approval of Tenure for New UNTHSC Faculty Appointee

Adjourn Academic Affairs & Student Success Committee.

9:20 am FINANCE AND FACILITIES COMMITTEE

Action Items:

- 14. UNTS Authorization to Amend the UNTS FY18 Capital Improvement Plan to Modify Project Budgets
- 15. UNT Request for Increase of UNT Intercollegiate Athletic Fee and Possible Change in Student Services Fee *(Materials added on 02.20.18)*
- 16. UNT Delegation of Authority to Negotiate and Execute Job Order Contracts with Selected Contractors
- 17. UNT Approval to Name an Academic Research Center at UNT the “NetDragon Digital Research Centre”
- 18. UNTHSC Approval to Name UNTHSC CBH 670 “The Dr. Arthur J. Eisenberg Conference Room”
- 19. UNTD Request for Increase in UNT Dallas Board Designated Tuition Rate
- 20. UNTD UNT Dallas Room and Board Rates for Academic Year 2018-2019
- 21. UNTD Request for Increase in UNT Dallas Student Service Fee and New Medical Service Fee

Adjourn Finance and Facilities Committee.

10:00 am CONVENE THE FULL BOARD

CONSENT AGENDA

- 1. UNTS Approval of Minutes of the November 16-17, 2017, Regular Board Meeting, and the October 31 and December 18, 2017, Special Called Board Meetings
- 2. UNT Approval of UNT Faculty Development Leaves for 2018-19 Academic Year
- 3. UNTHSC Approval of Tenure for New UNTHSC Faculty Appointee

4. UNTHSC Authorization to Execute an Agreement Between UNTHSC and Tarrant County to Provide Limited Dermatologic Services to Patients Referred by the Tarrant County Public Health Department
5. UNTHSC Authorization to Enter into Agreement with Tarrant County Public Health Office for Joint Providership of Continuing Medical Education
6. UNTHSC Authorization to Enter into Agreement with Tarrant County for Professional Services

ACTION ITEMS:

7. UNTS Amendment to Regents Rule 03.800, *Specific Board Powers, Duties, and Authority*
8. UNTS Amendment to Regents Rule 03.900, *Delegation of Authority for Contracts and Agreements*
9. UNTS Amendment to Regents Rule 11.200, *Construction Projects*
10. UNTS Acceptance of the Externally Audited UNT System FY17 Consolidated Annual Financial Report (*Attachment “b,” Audited CAFR, found in Appendix C – Pp. 60 Revised on 02.20.18 ; Attachment “c” added on 02.20.18*)
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10:30 am RECESS FOR EXECUTIVE SESSION (Room #213)

Government Code, Chapter 551, Section .074 - Personnel Matters Relating to Appointment, Employment, Evaluation, Assignment, Discipline, or Dismissal of Officers or Employees

- Consideration of individual personnel matters related to appointment and selection processes, duties and responsibilities, employment, and evaluation of System and Institution officers or employees
- Consideration of individual personnel matters related to the evaluation of and employment agreement with the UNT President, and possible action

11:45 am Reconvene the Board in Open Session (Room #138) to consider action on Executive Session items, if any

12:00 pm ADJOURNMENT



MINUTES

BOARD OF REGENTS Strategic and Operational Excellence Committee November 16, 2017

The Strategic and Operational Excellence Committee of the Board of Regents of the University of North Texas System convened on Thursday, November 16, 2017, in Room 333 of the University Union, University of North Texas, 1155 Union Circle, Denton, Texas, with the following committee members in attendance: Regents Al Silva, Milton Lee, A.K. Mago, and Gwyn Shea.

There being a quorum present, the meeting was called to order by Committee Chairman, Regent Milton Lee, at 10:31 a.m.

The minutes of the August 17, 2017, Strategic and Operational Excellence Committee meeting were approved on a 4-0 vote following a motion by Regent A.K. Mago, seconded by Regent Al Silva.

The Committee was briefed on the **UNT System Strategic Plan Review** by UNT System Chancellor Lesa Roe, UNT President Neal Smatresk, UNT Health Science Center President Michael Williams, and UNT Dallas President Bob Mong.

There being no further business, the Strategic and Operational Excellence Committee meeting adjourned at 11:55 a.m.

Submitted By:

Rosemary R. Haggett
Rosemary R. Haggett
Board Secretary

Date: Dec 7, 2017

UNIVERSITY OF NORTH TEXAS SYSTEM BOARD OF REGENTS

STRATEGIC AND OPERATIONAL EXCELLENCE COMMITTEE CHARTER

The Strategic and Operational Excellence Committee is responsible for reviewing and making recommendations to the full Board regarding UNT System and campus strategic planning, execution and progress, and financial support of the strategic plans. The Committee is also responsible for the evaluation of efficiency, cost effectiveness, quality, and customer satisfaction of administrative services including system-wide shared services.

In carrying out these responsibilities, the Strategic and Operational Excellence Committee shall:

- Evaluate the System's and its institutions' strategic plans on a regular basis to ensure the following:
 - All plans connect with the mission and vision of the System;
 - Effective action plans and meaningful performance metrics are in place to ensure successful implementation of the strategic plan(s);
 - Funds are allocated in a way that adequately supports the strategic plan of each institution and the System; and
 - Goals and initiatives are updated as needed to address the ever-changing needs of higher education.
- Routinely evaluate all shared services and other key operational activities on a regular basis to ensure the following:
 - Customer satisfaction with shared services is monitored on a regular basis;
 - The quality of services, market innovations, cost efficiencies, etc. are evaluated in a continuous improvement environment.
 - Appropriate benchmarks are in place to measure UNTS services against best practices in higher education and other high-performing large organizations.
- Perform other governance oversight as assigned by the Board.

Adopted: 02.20.15

Effective: 02.20.15

Revised:

UNT Dallas Strategic Plan Review

Goal: Grow Enrollment & Graduation

Enrollment

- Targeted Recruitment
- Key Community Partnerships
- International program planning strategically, focus on Mexico
- Planning for Intercollegiate Athletics

FTIC Retention

- Closing the gap between our rate and state average

Degrees Awarded

Long-term Targets:

→5,000 headcount by Fall 2020

→ 87% FTIC Retention

→ 1,101 Degrees Awarded

Goal: Grow Enrollment & Graduation

Action Update:

- Fall 2017 Certified Enrollment - 3,509
 - Recruiting 2nd Cohort at Sunset & Lincoln Early College H.S.
 - Dallas County Promise (Pilot 31 H.S. including 9,300 seniors)
- FTIC Improvement - Fall 2016 Cohort, 76.6% returned Fall 2017
- Record UNTD Graduates – 600 degrees awarded FY2017
- SACSCOC Quality Enhancement Program – CRED (Career Readiness Education)
- 2nd lowest debt upon graduation among ALL public universities in USA
- 66th out of 500 in per-student scholarships awarded

Goal: Grow Research

- **Create & Implement Policies for UNT Dallas to be independent**
- **Staffing for the future**
 - Executive Director of Research & Development
 - Director of Grants & Reporting
 - Grant Accountant
 - Grant Writer & Prospect Researcher

Goal: Grow Research

Action Update:

- Office of Sponsored Projects Committee in place and active
- Staffing nearly complete
- Policies under development
- Faculty development training in development
- 3rd year for student research competition
- Upward Bound & McNair off and running (TRIO)

Goal: Grow Foundation Assets

- Started FY2016 at \$0 (baseline)
 - \$2,100,000 cumulative balance FY2016 & FY 2017
- UNT Dallas Foundation Reconstruction
 - Recruitment of new board members underway
 - Filing of 990s current
 - Assembling advisory boards for various units
- Establishing a presence in the donor community
- Annual fundraiser for endowed scholarships
- Planning phase of campaign in FY2019
- Over-delivering v over-promising

Long-term Target: \$5,000,000 by FY2021 (*Cumulative balance*)

Goal: Grow Foundation Assets

Action Update:

- UNT Dallas Foundation Board meeting scheduled
- Reconstituted board membership
- Scholarship fundraising events planned
- Active fundraising – COL new building

Goal: Grow Top Rated Programs

- **5 Priority Programs identified**
 - Bilingual Education
 - Juris Doctorate
 - Logistics
 - Mental Health
 - Public Health
- **Provost program by program review**
 - Sixth priority program will come out of review

Long-term Target: 10 Priority Programs Identified by FY2021

Goal: Grow Top Rated Programs

Action Update:

- Bilingual Education
 - Raise Your Hand Texas (Charles Butt)
 - Kellogg Foundation grant
 - Developing partnerships with DCCCD
- Market increase in applicants post ABA provisional accreditation
 - COL Dean search underway
- Logistics - 18% growth
- Mental Health – 22% growth
- Public Health – currently 59 students up from 8 last year
- Biology – currently 138 students up from 10 last year

Goal: Become Best Place to Work

Employee engagement

- Build off employee engagement
- Focus on Gallup question “I know what is expected of me at work” in FY2018
- Aligning with HSC’s Culture Committee
- Building employee morale
- Strong sense of mission – hire for the mission
- Heavy investment in mid-level and high-level training

Student Net Promoter Score

- Adding other variables

Long-term Targets:

→64% Employee Engagement

→ Student NPS - TBD

Goal: Become Best Place to Work

Action Update:

- Conducting 2 Town Hall meetings
 - Theme: 5 Generations - 1 University - 1 Mission - 1 Vision
- Working toward EVERY employee having a career plan (starting from scratch)
- Employee appreciation events
- Frequent participation in local, state, and national training professional development

Goal: Achieve Efficient and Effective System

- **Stabilize Department of Financial Aid**
- **Work to improve customer service, system relations, our own competencies**
- **Under hood Initiative**
 - Work towards multi-semester registration
 - University Calendar
- **Automation – CRM and Degree Audit**
- **Actual and manageable reports in a timely fashion**

Long-term Target: NEW - TBD

Goal: Achieve Efficient and Effective System

Action Update:

- Director of Financial Aid in place and progressing
- Customer Relations Management (CRM) in place
- Collaborating with UNTS to improve campus efficiencies
- Key strategic committee on mid-long term planning in place
 - Recommendations due at the end of May
 - Commencement dates set thru May 2020

UNT Health Science Center Strategic Plans Review

Goal: Grow Enrollment & Graduation

Objective: **School of Medicine THECB Approval**

- Submit THECB Proposal Fall 2017

Objective: **GME Development**

- Complete Health System Agreements

Long-term Target: Joint MD degree approved & THECB goal met

Goal: Grow Enrollment & Graduation

Action Update:

- MD School THECB Proposal submitted October 2017
- TCOM GME ratio is .73; 85 slots needed for 1.1/1 state ratio
- MD School GME ratio requirement is 1.1; 66 slots needed

Goal: Grow Research

Objective: **Grow total research expenditures >5% (>\$47M)**

- Productivity per research faculty member
- Expenditures by \$/sq. ft. allotted research space

Objective: **Grow total research awards per school**

- Assess each school's research program/strategy/performance
- Strategically invest in growing interdisciplinary, translational research
- Diversify our research portfolio with enhanced community partnerships

Long-term Target: Total annual research expenditures to \$55M

Goal: Grow Research

Action Update:

- Archibus Space software being implemented
- New Director for external commercialization
- North Texas Regional IRB
- New Research Development Office

Goal: Grow Foundation Assets

Objective: **Focus growth efforts into key areas of need**

- Scholarships
- Endowments
- Naming Gifts: IREB, UNTS College of Pharmacy, TCU-UNTHSC School of Medicine
- Research
- Growth in new donors, alumni focused

Long-term Target: Total gifts/pledges (FY15-20) of \$100M

Goal: Grow Foundation Assets

Action Update:

- Four endowed scholarships \$280K given/pledged
- Three program endowments \$4.8M received
- Research \$10.3M given/pledged

Goal: Grow Top Rated Programs

Objective: **100% of Graduates are career ready for employment**

- Interview potential future employers
- Curriculum changes and enhancements for readiness
- Improve career counseling programs for students
- Survey employers and graduates

Long-term Target: 100% of employers rate our graduates as well prepared “providers of the future”

Goal: Grow Top Rated Programs

Action Update: Career Readiness

- Employer Survey
- 2017 Graduate Survey
- High-performing criteria

Goal: Become Best Place to Work

Objective: **Improve Gallup Engagement & Net Promoter Scores**

- Engage team members in developing strategies for improvements
- Conduct quarterly "pulse" surveys
- Communication of implemented workplace improvements
- Student Satisfaction assessment with Gallup tool

Long-term Target: Achieve national status as *Best Place to Work* by FY20

Goal: Become Best Place to Work

Action Update:

- Gallup Pulse Survey - Jan. 29
- Quarterly President's Town Halls – Feb. 8 included Chancellor Roe and Regent Wright
- Best Place For All Committee improvements at UNTHSC:
 - On-Campus Child Care Center - summer 2018, a collaboration with Lena Pope Home
 - Remote Working and Flexible Work Schedule Policy, collaboration with System
 - Alumni Plaza renovation – an attractive outdoor space for campus social activities

Goal: Achieve Efficient and Effective System (HSC)

Objective: **Develop meaningful business processes throughout the enterprise**

- Evaluation of workflow and processes in key areas
- Develop a matching strategy after evaluation
- Cultivate a customer centric culture
- Define performance metrics specific to each service area and department

Long-term Target: TBD

Goal: Achieve Efficient and Effective System (HSC)

Action Update:

- Redesigned processes for clinic medications and supplies
 - \$500k annualized savings in FY18
- Clinical Practice Group Transformation:
 - Daily Operations Dashboard
 - Monthly departmental and provider-level profit/loss statements
 - Net income compensation model
 - Patient experience measured electronically at point of service
 - Expanded interprofessional clinical collaborations



UNT Strategic Plan Review

Goal: Grow Enrollment & Graduation

Enrollment

- Further refine financial aid and recruiting plan, segmenting freshman, transfer, MS and doctoral enrollment
- Continue developing market automation for Salesforce
- Expand international recruiting efforts and articulation, particularly in China and India
- Grow New College at Frisco and plan and initiate other regional sites
- Work with the Dell Design team to reimagine community college transfer and articulation, and with Toyota for Lean Process improvement for graduate students
- Begin construction of our new residence hall, dining hall and the Eagle Visitor Center
- Expand online offerings, especially high demand Masters programs to meet regional needs

Retention

- Expand advising support
- Use data driven approaches and predictive analytics to improve student performance and retention
- Improve financial aid delivery to meet retention needs
- Remove transfer and graduation barriers

Long-term Target: 9,650 Degrees Awarded

Goal: Grow Enrollment & Graduation

Action Update:

- Spring enrollment is holding steady with 35,472 students taking classes
- Enrollment in online classes this spring is the highest it's been during a spring semester – up 6.6 percent – from 13,738 students enrolled in 377 courses last spring to 14,644 students enrolled in 413 courses this spring.
- Enrollment at the New College in Frisco increased 56 percent from 526 students last spring to 822 students this spring.
- Enrollment in UNT's doctoral program also increased – up 4 percent – from 1,708 students last spring to 1,777 students this spring.
- New Freshmen applications are up 18%.

Goal: Grow Research

- Increase funding per principal investigator and per sq. ft. to peer levels, award space to most productive research
- Continue methodically build research capacity through high impact hires, adding research space, renovating research space and investing in research institutes.
- Add Autism Spectrum Disorders research institute
- Expand doctoral funding
- Develop more multi-PI and large-scale program grants
- Build a new wing at Discovery Park for Biomedical Engineering
- Pursue large scale Dept. of Defense funding
- Expand corporate research funding
- Expand patents and licenses

Long-term Target: \$68M HERD

Goal: Grow Research

Action Update:

- FY17 45,000,000 NSF HERD survey estimate; 21% increase over FY16 (not finalized until 1/31/18). We are on track to see HERD research expenditures increase to \$51M, which will be a record year in FY18.
- 51 patents filed, 11 issued, and 6 licenses executed in 2017
- Examples of major grants received:
 - Received a \$2.7 million grant from the U.S. Department of Education to help transform the delivery of instruction for English language learners.
 - Received a multi-university and Oak Ridge National Lab award for the Center for Bioenergy Innovation (CBI) from the Department of Energy. The portion of the CBI award that will come to UNT is \$2.3 million over five years.
 - Received an Army Research Laboratory (ARL) grant for \$6M for ballistic materials.

Goal: Grow Foundation Assets

Gifts and Pledges

- Continue to grow gift base (total number of gifts/total number of donors)
- Continue to use Blackbaud to better manage prospects and asks
- Grow Foundation and corporate funding
- Establish goals for all development officers and Deans for fundraising

Endowment

- Increase number of endowed chairs and professorships
- Increase endowed scholarship funds
- Communicate value of named endowments to donors better

Long-term Target: \$48M/yr. gifts and pledges

Goal: Grow Foundation Assets

Action Update:

- \$28,458,027 in total gifts and pledges through 2/12/18 including:
 - \$22,561,535 in cash
 - \$16,204,741 in new endowments
 - UNT and UNTF total endowment value \$165,476,757
- Expanded support from private foundations, like the \$1.6M gift from Greater Texas Foundation for career development partnership with Denton schools.

Goal: Grow Top Rated Programs

- Complete college and departmental reorganizations, and hire Deans for new units
- Invest in departments close to emerging as top 100 units
- Hire leadership to launch a next generation technology enhanced learning environment
- Work with NetDragon and other partners to create next generation learning ecosystems
- Continue to expand corporate partnerships
- Engage in nimble curricula and course development to keep pace with market changes
- Launch faculty design and process teams to work with partners - NetDragon, the Cowboys, Dell, and others to improve course design, learning outcomes and our operations
- Plan a new state-of-the-art classroom and innovation center to promote innovation and collaboration
- Continue to improve athletics performance (on the field and academic) and facilities to grow their national rankings

Long-term Target: 75 programs in top 100

Goal: Grow Top Rated Programs

Action Update:

- **New ranked academic programs :**
 - Master's design program (New College at Frisco) with focus on interaction design ranked 38th in the nation by *ValueColleges.com*
 - Electrical engineering program ranked 9th in Texas and 38th in the South by *Best-Engineering-Colleges.com*
 - Early childhood studies program ranked 3rd in Texas and 39th in the South by *Best-Education-Schools.com*
 - Online master's in educational leadership program ranked 6th in the nation by *TopMastersinEducation.com*

Goal: Become Best Place to Work

- VP specific initiatives by division to address recognition (Q4) and progress (Q11)
- Midyear informal performance reviews
- Team based recognition programs being put in place
- Enhanced communications to community
- Communicating about next Gallup distribution
- Preparing to launch campus climate survey
- Created new organizational behavior unit
- Initiated leadership training for all deans

Long-term Targets:

→Q4 to 4.0

→ Q11 to 4.0

Goal: Become Best Place to Work

Action Update:

- Each VP area and Colleges have run a number of initiatives for best place to work
- Formal annual reviews have been completed, informal reviews are ongoing
- Had a Town Hall with the Chancellor and Regent Wright to encourage participation
- Produced best place to work video
- A number of communications from the President and VP areas have gone out to units
- Organizational behavior unit has been launched
- Deans have all been through leadership training and 360 review
- Provided sessions to leaders with toolkit training to develop strategies to increase engagement
- Addressed process improvement campus-wide

Goal: Achieve Efficient and Effective System



Objective: Improve customer service and satisfaction across campus, reduce total cost of services

- Work with system partners to reduce costs and increase quality of shared and local services
- Continue to work with Toyota TPS team to improve facilities and graduate student recruitment
- Develop internal TPS team trained by Toyota TPS to continue process improvement across campus
- Continue to use tech solutions to improve performance (e.g., CRM marketing automation, self service dashboards for INSIGHTS program, change to Canvas LMS etc.)
- Launch UNTonline to develop online courses and programs more quickly, and to take advantage of our NetDragon partnership
- Continue to develop regional UNT delivery model

Long-term Target: TBD

Goal: Achieve Efficient and Effective System



Action Update:

Business improvements and services:

- Graduate Admissions –gathered better understanding of graduate admissions bottlenecks. Visualization tool developed to monitor graduate admission processes.
- Continued to improve onboarding using tools and skills developed during faculty onboarding project and applying to all onboarding.
- Trained and continue to coach key UNT employees to utilize the TPS on 12 narrowly focused problem solving initiatives to develop skills and aid in a problem-solving culture with monthly problem-solving discussions.
- We are re-scoping facilities small contracts work
- Launched phase 1 of Canvas install with broad campus training going well
- Deans trained on Insights, Chairs now being trained on current data dashboards
- Searching for new head of digital strategies and UNT online ongoing, should close in March
- Working with City of Frisco to support development of UNT New College at Frisco, classes offered at NCTC in Gainesville
- Student Accounting moving from shared services back to campus to streamline student support, communication and customer service
- Risk Management, Insurance & Claims, Institutional Compliance, and Records Management assistance, training, and coordination for UNT Dallas and System offices
- Asset management training, inventory, and surplus pick up and auctions for UNT Dallas and System offices
- Facilities support, utilities, and custodial for over 58,000 sq. ft. of space provided for multiple System offices on the UNT campus

UNT System Roll-up

UNT System Progress Card



1. Grow Enrollment and Graduation	FY15	FY16	FY17
1.a. Total Fall Headcount Enrollment	40,966	42,025	43,375
1.b. Total Degrees & Certificates Awarded	9,193	9,243	9,857

FY18 Baseline/ Target	2020 Target
43,923	47,825
10,553	11,177

2. Grow Research	FY14	FY15	FY16
2.a. NSF Higher Education Research & Development (HERD)	\$91.2M	\$90.0M	\$87.7M

FY18 Target	2020 Target
\$105.6M	\$123.8M

3. Grow Foundation Assets	FY15	FY16	FY17
3.a. Grand Total Foundation & Institutional Gifts, UNT System Consolidated	\$27,625,822	\$35,796,120	est. \$41M
3.b. Total Institutional and Foundation Endowments	\$188,023,604	\$195,829,199	\$221,884,671

FY18 Target	2020 Target
\$56.9M	\$56.2M
\$239.7M	\$259.7M

4. Grow Top Rated Programs	FY15	FY16	FY17
4.a. <i>UNT</i> : Number of Programs in the Top 100	-	-	68
4.b. <i>UNTHSC</i> : Graduates Rated as Well-Prepared "Providers of the Future"	-	-	-
4.c. <i>UNTD</i> : Number of Priority Programs Identified	-	-	5

FY18 Target	2020 Target
70	75
Baseline TBD**	100%
6	9

5. Become Best Place to Work	FY15	FY16	FY17
5.a. Employee Engagement Grand Mean ¹	NA	NA	3.81
5.b. % Engaged Employees	NA	NA	37.6%

FY18 Target	Long-term Target
>FY17 Value	4.40
>FY17 Value	Best Place to Work

6. Achieve Efficient and Effective System	FY15	FY16	FY17
6.a. Administrative Cost	10.60%	12.56%	11.45%

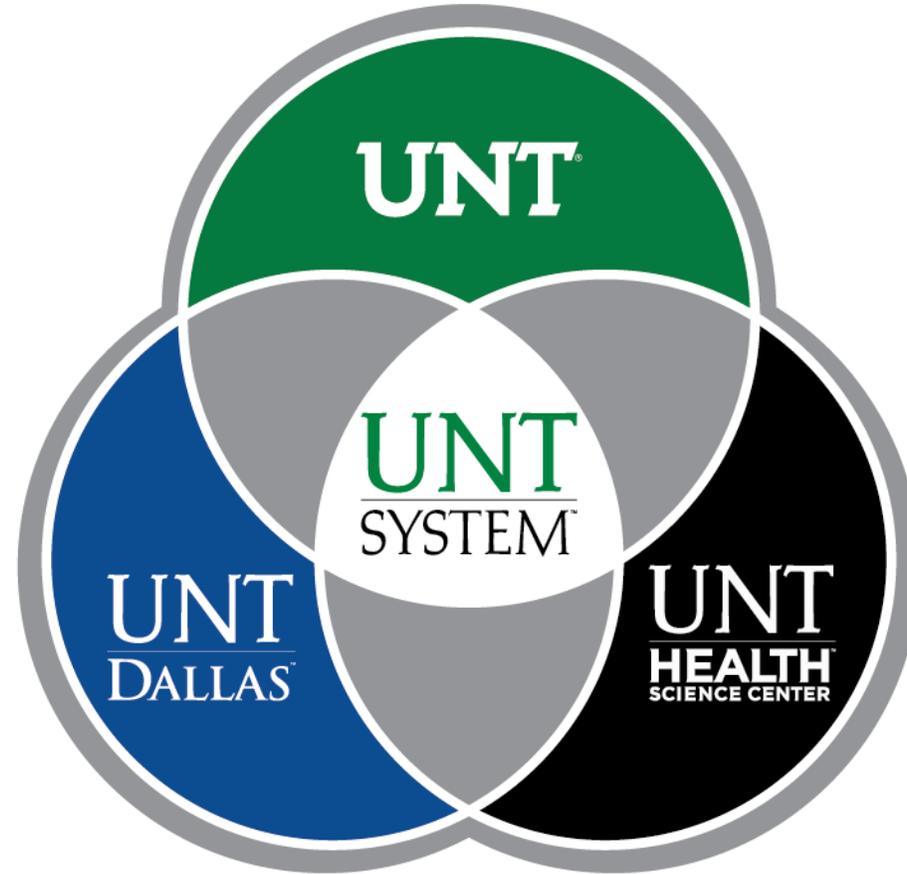
FY18 Target	2020 Target
TBD**	TBD**

Goal: Become Best Place to Work

Action Update:

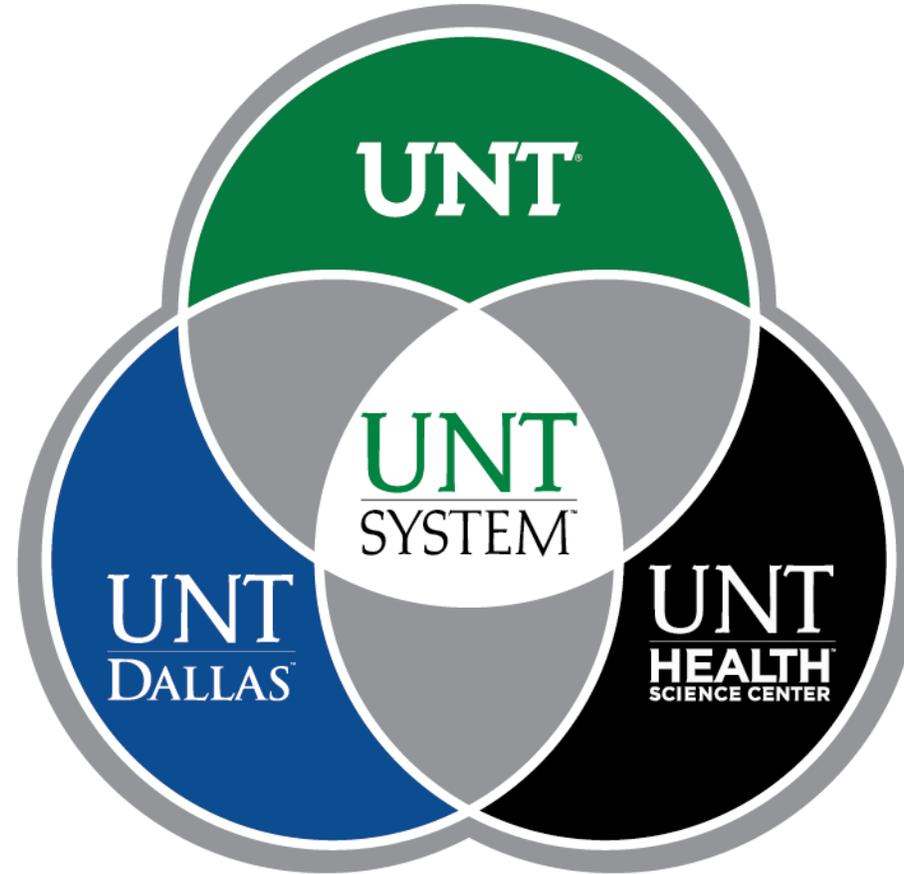
- Teleworking/Flex Work policies have been created and reviewed by HR and OGC. Plans are now being adapted/finalized specifically for each UNTS institution.
- Town Hall meetings with Regent Wright, Chancellor Roe and each President, on each campus, to discuss Best Place to Work, Employee Engagement and our UNT System vision moving forward (Jan. 26, Feb. 2, Feb. 8).
- Gallup Q12 survey distributed to UNT, UNTHSC and UNT System faculty/staff (Jan. 29).
- Gallup participation rates are up significantly vs. last year
 - UNT System 91% (up from 86%), UNTHSC 82% (up from 76%), UNT 69% (up from 49%)
 - UNT Dallas will take survey in March

Goal: Achieve Efficient and Effective System



UNT System Administration Functional Reviews Update

Goal: Achieve Efficient and Effective System



UNT System Administration Functional Reviews Update

Scope

Per Board Order from August, 2017, review administrative costs throughout the System with the goal of improving services, eliminating duplication, and reducing overhead expenses wherever possible in both campus and System operations.

Deliverables

Report with preliminary findings to the Board of Regents not later than the May, 2018 meeting.

Motion – Item #12

I move approval of item 12, Approval of the FY18 UNT System Consolidated budget, with the commitment that the Board will, in collaboration with the Chancellor, establish an ad hoc work group to review administrative costs throughout the System with the goal of improving services, eliminating duplication, and reducing overhead expenses wherever possible in both campus and System operations. The Chancellor will be expected to report to this Board with preliminary findings not later than the May, 2018 meeting.

Reviews Include:

- Establish and implement a shared system governance/decision structure with Chancellor and University Presidents
 - Determine Cost : Benefit for each service (Focus on Value)
 - Identify duplication of functions and staffing, focusing on delayering and service delivery
 - Institutionalize continuous improvement to increase service quality and lower costs
 - Create clear baseline/core services and associated costs with a clear cost collection structure
 - Clarify roles and responsibilities of administrative service units
 - Quantify current cost savings efforts and project future saving opportunities
 - Consider outsourcing opportunities to improve customer service and lower costs.
 - Improve communications between service organizations and users.
- **All reviews are internal (no consultants) and include university and system administration subject matter experts, Chancellor, Presidents, CFOs, and VCs.**
 - **Decisions are made at Chancellor's Council with inclusion of Presidents/Legal/VC for Finance/VC for Academic Affairs and Student Success.**

System	Review(s)	Chancellor Council	Implementation Start
Communications	October 10, 2017	Vetted with UNT System and UNT Dallas leadership – October 10, 2017	In Implementation Strategic Communications March 22, 2018
Finance	October 11, 16-17, 2017	Structure and Phasing - January 3, 2018 and February 2, 2018	March 1, 2018
Business Services	October 11, 16-17, 2017 December 12, 2017	Structure and Phasing - January 3, 2018 and February 2, 2018 Contracting Thresholds – January 17, 2018	March 1, 2018 Board – February 22-23, 2018
Facilities	October 16-17, 2017	December 14, 2017 1901 Main Brief – January 10, 2018 1901 Main Strategy – May, 2018	In Implementation Law School Moves June 2019
Human Resources (HR)	October 16-17, 2017 December 8, 2017, January 9, 2018 January 25, 2018 February 26, 2018	Telework/Flex Policy -January 23, 2018 On-Boarding-March 14, 2018 HRIS Data Project – March 2018	March - April – 2018 March – May 2018
Information Technology (IT)	October 16-18, 2017 December 14, January 30, 2018 February 16, 2018 March 30, 2018	IT Security – April 2018	TBD
Legal	February 5, 2018 February 16, 2018	TBD	TBD
Audit	February 16, 2018	TBD	TBD
System Shared Decision Making/Governance	January 23, 2018 January 30, 2018	March 14, 2018	March – May 2018

Results of Reviews to Date:

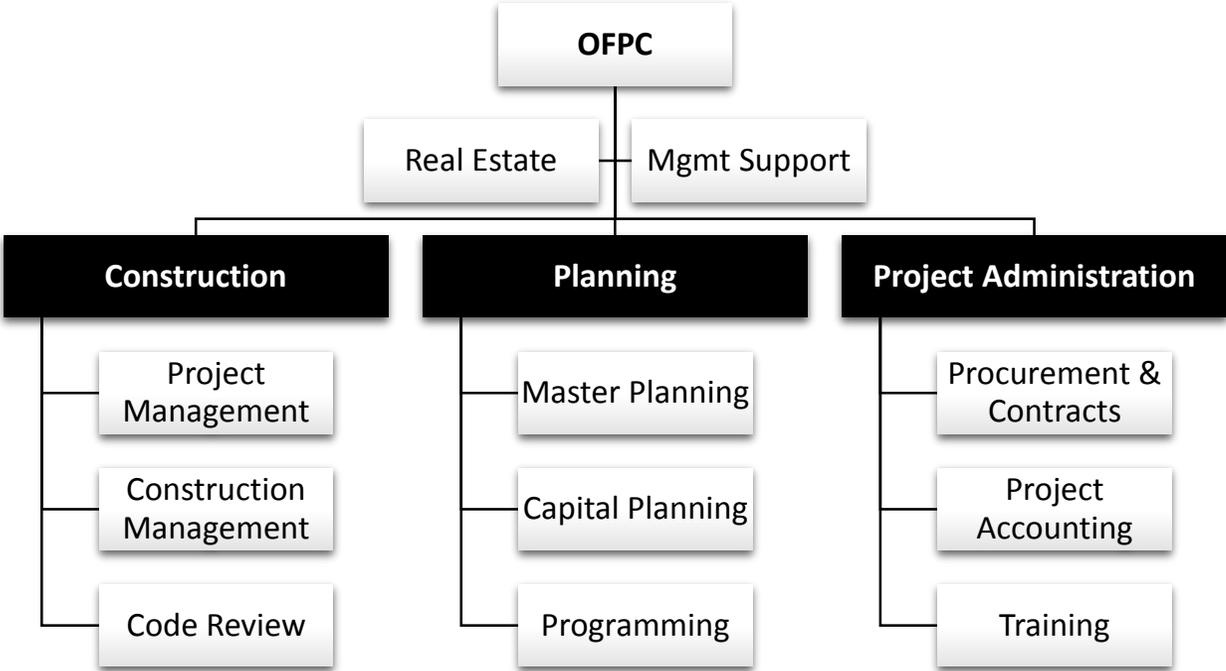
- **Facilities**
- Student Accounting
- Communications

UNTS Office of Facilities Planning & Construction (OFPC)



Manages planning, development and construction of major capital projects for UNT System institutions

**Maintain Current
Functional Organization
With No Change**



OFPC Workload	FY 2018	FY 2019 (Est)
Planning		
Total Projects	17	8
Total Project Value	\$77,084,500	\$97,600,000
Design & Construction		
Total Projects	15	16
Total Project Value	\$505,610,000	\$506,310,000

Operates scalable organization based on workload while continuing to provide core services

Results from Recent Review

- Reduce OFPF project management fee for projects valued over \$85,000,000
 - Estimated Fee Reduction for Current Project \$165,000
 - Estimated Fee Reduction for Future Projects \$140,000
- Revise project threshold of major capital project delegating more projects to campus management
 - Projected OFPC Fee Reduction for Planned Projects \$766,500
- Evaluate and refine design communication process to improve coordination in project team
- Evaluate cost of campus management of projects

Results of Reviews to Date:

- Facilities
- **Student Accounting**
- Communications

Student Accounting Review

Improve Customer Service while Reducing Expenses and maintaining Strong Compliance

- The student accounting operations that impact students services will transition to member oversight.
- Shared responsibilities will remain centralized under specific member institutions.
- Uniform and unified processes will seek to find efficiencies with either member or shared responsibilities.

Staffing & Budget Changes

- UNTS will transition 28 FTEs to member institutions along with \$1,730,662 in annual budget.
- UNTD will receive 3 FTEs and setup an service level agreement with UNT to manage specific operations.
- UNTHSC will receive 5 FTEs and add 1 internal staff to oversee operations.
- UNT will receive 20 FTEs to combine with 5 internal staff working in student customer services.
- UNT will save approximately \$96k in budget from two vacant FTEs as part of the transition.

Member Responsibilities	Shared Responsibilities
<ul style="list-style-type: none">• Student Billing & Collections• Tuition & Fee set-up and testing• Administer Loan & Payment Plans• Cashiering & Customer Service• Process Refunds and Credit balances• Sponsored Billing (Third Party/Veterans/Hazelwood)	<ul style="list-style-type: none">• Software Implementation & Administration• Accounting & Reporting• Account Receivable Reconciliation and Correction• Payment Card Industry Data Security Standard Compliance• 1098-T Tax Reporting• Item Type Setup for Accounting Recognition

Results of Reviews to Date:

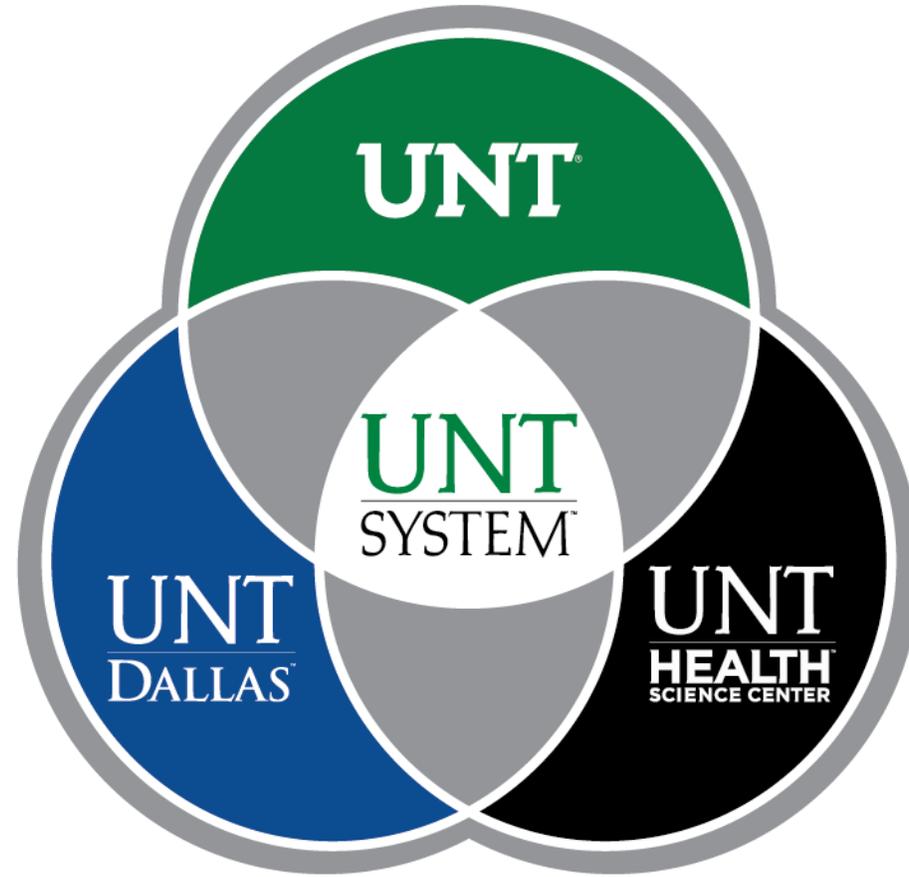
- Facilities
- Student Accounting
- **Communications**

UNT System did not have a central Office of Marketing & Communications.

- UNT System communications positions existed in silos, each reporting to a different supervisor
- A System-wide strategic communications plan did not exist
- A centralized approval process for communications (internal and external) did not exist, thus messaging lacked consistency and strategy
- Collaboration between System and university communications teams was sporadic
- The UNT System and UNT Dallas staffs for marketing & communications teams lacked essential skills, especially graphic design and web programming, which led to elevated outsourcing costs

UNT System did not have a central Office of Marketing & Communications.

- All UNT System communications positions now report to Associate Vice Chancellor, Marketing & Communications
- A System-wide strategic communications plan, created in collaboration with university teams, will be presented to Chancellor's Council in March, 2018
- New, centralized approval processes for communications (internal and external) fosters consistency and follows strategic communications plan
- Collaboration between System and university communications teams has increased and includes sharing of people resources between UNT System and UNT Dallas
- The reorganization of UNT System Marketing & Communications will save approximately \$40,000+ annual salaries and \$50,000+ outsourcing costs, while modernizing and diversifying from a work-skills standpoint





MINUTES

BOARD OF REGENTS Academic Affairs and Student Success Committee November 16-17, 2017

Thursday, November 16, 2017

The Academic Affairs and Student Success Committee of the Board of Regents of the University of North Texas System convened on Thursday, November 16, 2017, in Room 333 of the University Union, University of North Texas, 1155 Union Circle, Denton, Texas, with the following committee members in attendance: Regents Al Silva, Milton Lee, A.K. Mago, and Gwyn Shea.

There being a quorum present, the meeting was called to order by Committee Chairman, Regent Al Silva, at 11:55 a.m.

The minutes of the August 17-18, 2017, Academic Affairs and Student Success Committee meeting were approved on a 4-0 vote following a motion by Regent A.K. Mago, seconded by Regent Milton Lee.

Regent Silva noted that the Committee had two briefings on the agenda. The first, **North Texas Athletics: Student-Athlete Recruiting**, was provided by Wren Baker, Director of Athletics at UNT.

UNT Vice President for Enrollment Shannon Goodman briefed the Committee on a **National Merit Scholar Update**.

There being no further business, the Academic Affairs and Student Success Committee meeting recessed at 12:54 p.m. until Friday, November 17, at 9:00 a.m.

Friday, November 17, 2017

The Academic Affairs and Student Success Committee of the Board of Regents of the University of North Texas System reconvened on Friday, November 17, 2017, in Room 333 of the University Union, University of North Texas, 1155 Union Circle, Denton, Texas, with the following committee members in attendance: Regents Al Silva, Milton Lee, A.K. Mago, and Gwyn Shea.

There being a quorum present the meeting was called to order by Committee Chair Silva at 9:01 a.m.

UNIVERSITY OF NORTH TEXAS SYSTEM BOARD OF REGENTS

ACADEMIC AFFAIRS AND STUDENT SUCCESS COMMITTEE CHARTER

The Academic Affairs and Student Success Committee is responsible for reviewing and making recommendations to the full Board regarding UNTS' institutions' academic missions, as well as policies and resources needed to realize missions, execute academic strategic priorities, ensure the quality and integrity of each institution's programs and instruction, and to promote the welfare of students attending any UNTS institution. The Committee shall provide governance oversight on the quality of teaching and learning, institutional and System alignment and progress toward fulfillment of mission and vision, compliance with state and federal expectations, and the academic success and well-being of the student body at each institution.

In carrying out these responsibilities, the Academic Affairs and Student Success Committee will:

- Confirm that the System's strategic plan and institutional plans are built upon a comprehensive academic plan;
- Review institutional academic policies and propose new or revised policies, as appropriate, to the full Board;
- Review, on an annual basis, the admission standards for each institution and the language used in publicizing such standards;
- Review significant findings and recommendations received from the Southern Association of Colleges and Schools – Council on Colleges (SACS-COC) and specialized accrediting agencies, and ensure that any recommendations and requirements are addressed appropriately within designated timeframes;
- Represent students' interests in all policy decisions made by the Board;
- Review financial aid strategies to ensure alignment with enrollment strategies;
- Review System institutional data and peer institution data regarding comparative status, performance, quality, and value, and ensure that academic Key Performance Indicators are appropriately set and met;
- Pursue educational opportunities regarding key topics in higher education affecting UNTS institutions;
- Review faculty personnel policies, including criteria and standards for tenure and promotion to see that they are clearly articulated, accessible to faculty members, and applied systematically and equitably across the institutions;
- Approve faculty candidates for appointment with tenure, or awarding of tenure status to faculty on the tenure track;
- Review student support services and monitor student retention and persistence to graduation;
- Monitor research funding for consistency with the institutions' missions.

The Academic Affairs and Student Success Committee will also:

- Conduct an annual self-evaluation of the Committee's performance and the effectiveness and compliance with this charter;
- Report Committee actions to the Board with such recommendations the Committee may deem appropriate;
- Bring to the attention of the Board any matters concerning the System's institutions' academic programs or faculty on which it requires guidance or direction from the Board;
- Consider and advise on any matter referred to it by the Board, the Presidents, the Vice Chancellor for Academic Affairs and Student Success, or the Chancellor;
- Perform other governance oversight as assigned by the Board.

Adopted: 02.20.15

Effective: 02.20.15

Revised: 02.24.17

Seamless Transfer Leading to Degree Completion

“Research Shows Many Community College Students Failed by Current System of Transfer...”

-ncsnews.org, Jan. 19, 2016

A report issued by the Community College Research Center, the Aspen Institute College Excellence Program, and the National Student Clearinghouse Research Center shows the following:

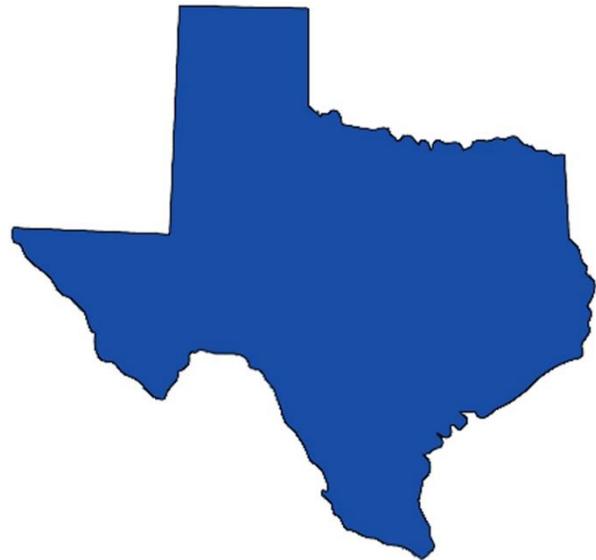
- 80% of new community college students want to earn a bachelor’s degree
- 14% of the 720,000 degree-seeking students in the study – who enrolled in college for the first time in 2007 – transferred to and graduated from a four-year university, within six years of entry
- Among those who started at community college and successfully transferred, only 42% completed a bachelor’s degree
- The attainment rate for those starting at public four-year colleges is 60%, much higher than the attainment rate of those beginning at community college

Sources:

<https://ccrc.tc.columbia.edu/publications/tracking-transfer-institutional-state-effectiveness.html>

<https://ncsnews.org/new-report-ranks-states-based-on-colleges-performance-in-helping-students-transfer-to-four-year-universities-and-earn-bachelors-degrees/>

The State of College Transfer in Texas



12%

First-time transfer rate from CC to 4-yr institutions

\$40M

Potential unrealized revenues at 4-yr systems in North Texas

\$28,203

Potential average income lost per CC student not transferring

\$108M

Loss of potential earnings for Dallas/Fort Worth economy

- Lower-income students, who are more likely to start at community colleges, do worse on almost all transfer measurements than their higher-income peers
- There is huge variation in the effectiveness of the community colleges and 4-year universities in helping students transfer and complete bachelor's degrees.
- The practices of colleges – their programs for transfer students and collaboration between two and four-year destination colleges – can make a big difference in whether transfer students are successful.
- It is imperative for two- and four-year institutions to work collaboratively to fix the transfer problem.



Transfer Student Support & Services

What is Dual Credit & Why UNT Should Care



Per THECB “dual credit as a process by which a high school student is allowed to earn high school credit and college credit at the same time.” Eligible courses include: university core courses; foreign language courses, and technical courses

Dual credit offerings in Texas have grown from Fall 2000 to Fall 2016 at a 650% increase

84th Legislature removed the 12 hour SCH cap and limitations for juniors/seniors

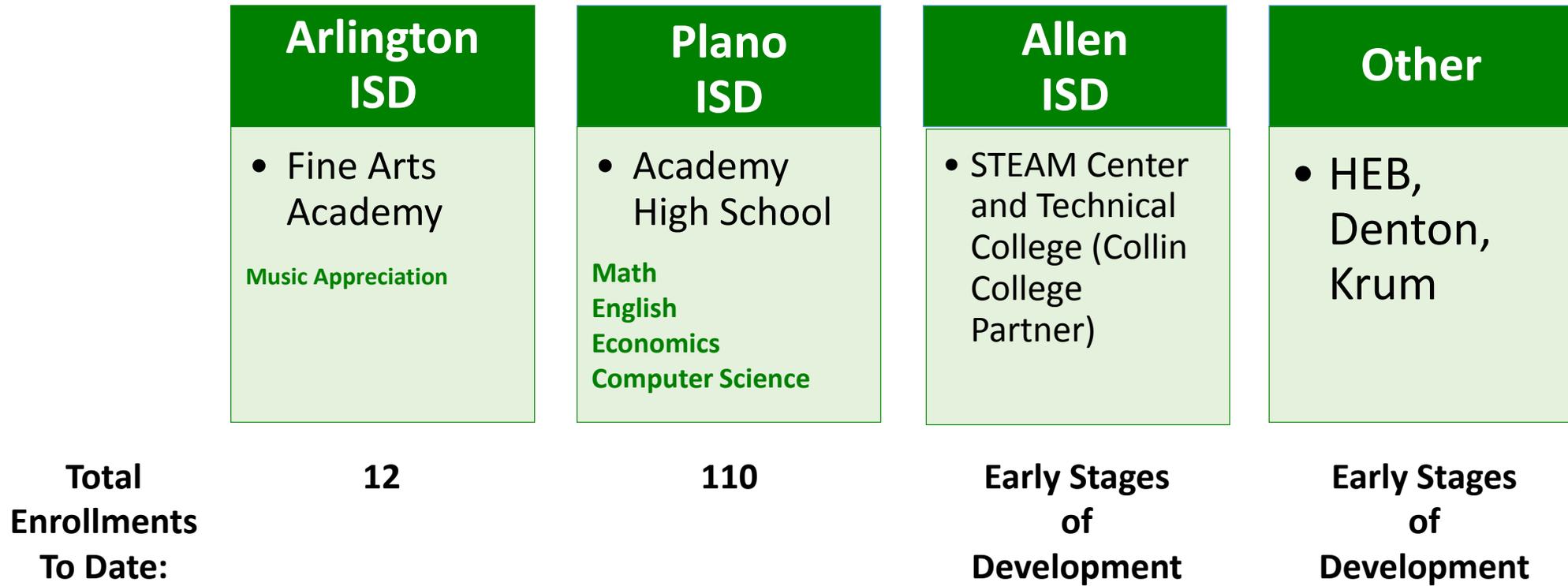
HS Freshmen/sophomores may enroll if they meet TSI readiness

Charged the \$50.00 State mandated dual credit rate and required \$75.00 application fee. Fees waived for students on Free/Reduced Lunch.

UNT has generated \$10K through these partnerships, yet the revenue is secondary to developing reputation and brand awareness

Dual Credit & UNT's Role

UNT is offering dual credit opportunities in markets that are underserved by community college partners.



Pros and Cons of Dual Credit

Advantages

Provides significant cost savings to student.

Dual credit students are 'college going' students with over 2/3 (68%) enrolling in a public or private university.

Having a presence in local ISDs enhances UNT's reputation and brand awareness.

Students have opportunity to complete college courses, providing timely progression to degree. Meet's state mandate.

Possible Drawbacks

May negatively impact relationship with community colleges if not appropriately developed.

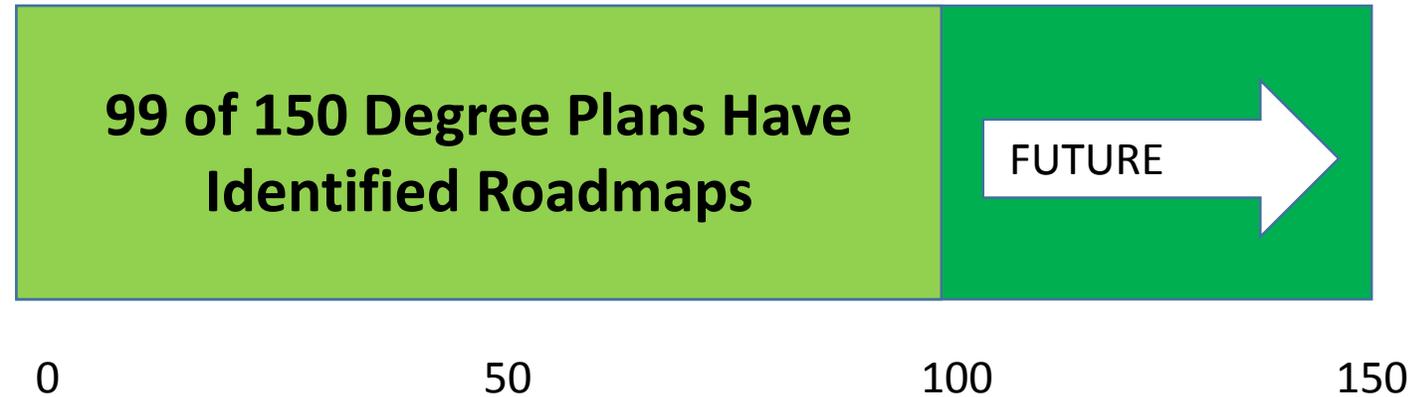
If we don't, someone else will (and already has).

Requires heavy lifting of many units; Admission, Registrar, Student Accounting, CLEAR, Learning Center, and Academic Units.

Identifying qualified faculty at HS per Accreditation

Degree in Three: An Innovative Idea

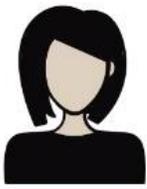
- A degree in three is a representation of the 120 credit hour degree program as a three year pathway alternative. This allows students and parents to see how students could complete their degree in an accelerated time period.
- 12% of incoming freshman completed 30+ credit hours
- 47% of students transfer, most often with 30+ credit hours
- Strong participation across majority of Schools and Colleges



Seamless Transfer Pathway: A Different Approach

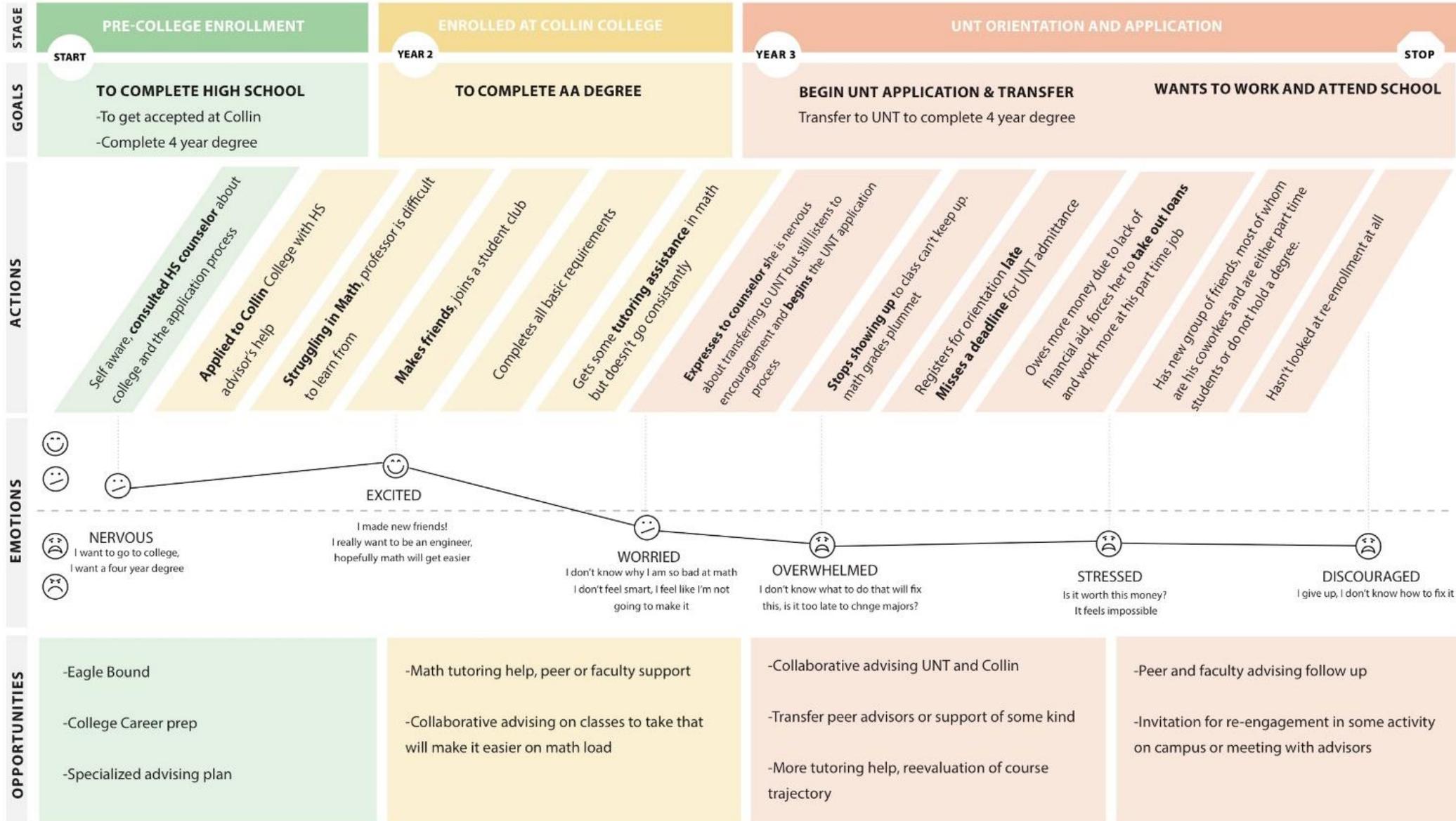


- UNT is partnering with Collin College on developing an easy pathway for transfers to enroll at UNT to complete a BBA degree
- Program (Education Design Lab) funded by the **Dell Foundation** to support innovation in seamless pathways



TERRI Undecided Community College Student

Terri lives at home with her parents and commutes. She works part-time in the restaurant industry and depends on financial aid to fund education. She is currently exploring different options and is interested in business marketing, social media, and advertising. She does not regularly attend events on campus due to her work and class schedule.



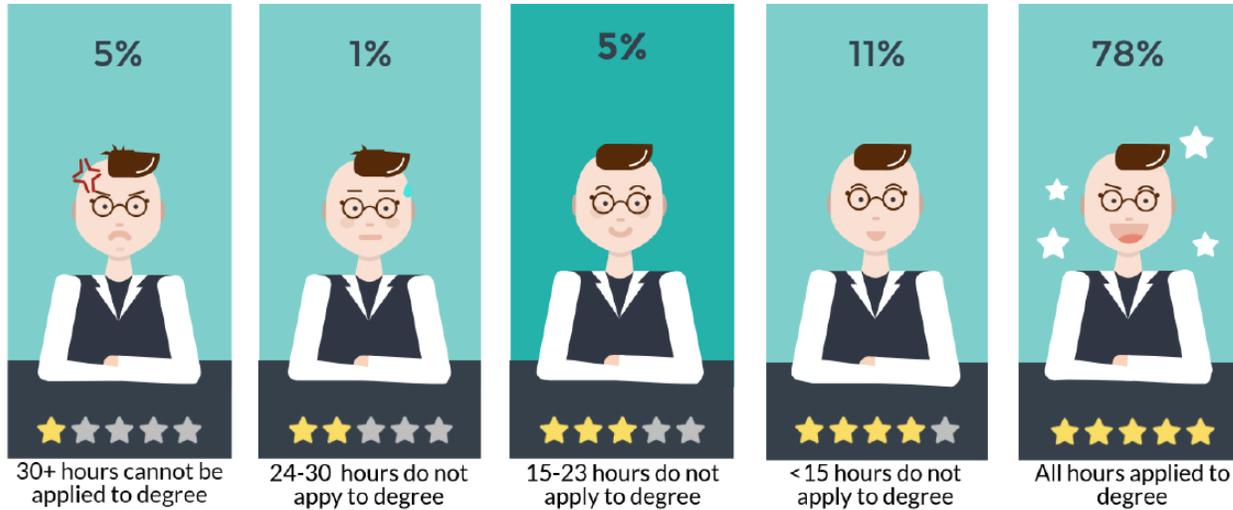


COLLIN-UNT TRANSFER RESULTS FALL 2017

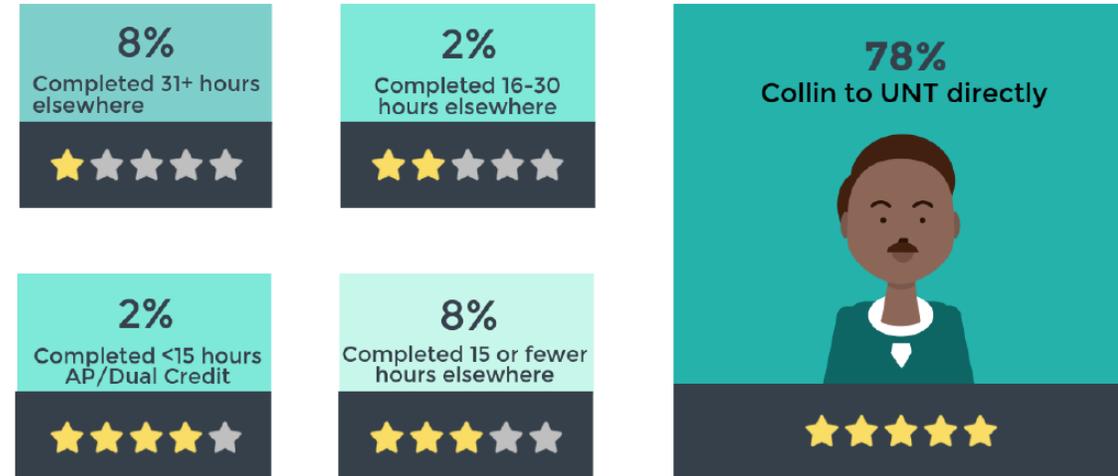
In collaboration with Education Design Lab

Results of a sample of 51 students who transferred from Collin College to UNT in the Fall of 2017

Students on Track to Complete a UNT Bachelor's Degree (based on transferability of COMPLETED credit hours)



What Portion of Students Take the Collin to UNT Pathway?



Transfer Student Support & Services

Overview

- **UNT Dallas is an ardent supporter of 60X30**
- **Goal will not be achieved without improved high school/community college/4-year clear transfer pathways**
- **Current transfer system is not clearly defined**
- **More friction-free transfer equals higher college participation**
- **Must reduce excessive and wasteful community college credit hours**
- **UNT Dallas is actively working to solve transfer friction**

Success Measures

- **Increase students enrolled in guided pathway programs**
- **Increase number of students who declare intent to transfer to 4-year institutions**
 - Currently only one-third of associates degree graduates in DCCCD transfer to 4-year institutions
- **Increase reverse transfers back to community college to incentivize community colleges to collaborate**

Recruitment

- **Designated Admissions Staff**
 - Marketing collateral and messaging
 - Application fee waivers for participation in transfer-centric events
 - Transfer Scholarships
- **Bimonthly Information Sessions specifically for transfer students**
 - Focus on admissions requirements, financial aid, and course equivalencies
 - Building personal relationships between admissions staff and student

Student Support

- **Mandatory Transfer orientation and advising sessions**
- **Assigned academic advisor for students with 80+ transfer hours to assist with most timely track to degree completion**
- **Tutoring, early alerts from professors for students/texting reminders**
- **Additional advising and retention support**

Recruitment and Enrichment Initiatives

- **Early College High School partnerships with Sunset, Lincoln and Seagoville**
 - Education
 - Public Health
 - Hospitality Management
 - Logistics
 - Information Technology
- **Dallas Connect with Eastfield College**
 - Business
 - Criminal Justice
 - Education

Recruitment and Enrichment Initiatives

- **Dallas Promise Partner**
 - Increase college participation in north Texas
 - Reduce time spent in community colleges
 - Decrease the cost of college
 - Reduce debt
 - Accelerate workforce entry

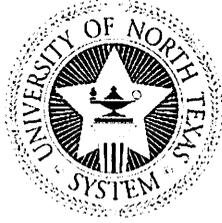
Community College Pathways

- **UNT Dallas is partnering with DCCCD and TCCD**
- **Streamlining pathways in:**
 - Math
 - Criminal Justice
 - Human Services
 - Business
 - Education
 - More to come

Innovative Efforts

- **Dallas Police Department/Carter High School/UNTD recruitment pathway discussion**
- **Collaboration between UNT HSC/UNTD/Dallas Police Department/Fire Safety**
 - A new effort that will result in additional recruits for police and fire as well as students for UNT HSC and UNTD

Student Experience



MINUTES

BOARD OF REGENTS Finance and Facilities Committee November 16-17, 2017

Thursday, November 16, 2017

The Finance and Facilities Committee of the Board of Regents of the University of North Texas System convened on Thursday, November 16, 2017, in Room 333 of the University Union, University of North Texas, 1155 Union Circle, Denton, Texas, with the following committee members in attendance: Regents Don Potts, Rusty Reid, Glen Whitley, and Laura Wright.

There being a quorum present, the meeting was called to order by Committee Chairman, Regent Rusty Reid, at 2:55 p.m.

The minutes of the August 17-18, 2017, Finance and Facilities Committee meeting were approved on a 4-0 vote following a motion by Regent Glen Whitley, seconded by Regent Don Potts.

The first item of business was the **Annual Foundation and Investment Update**. Chair Reid introduced James Meintjes and Kyle Hitchcock of J.P. Morgan Chase, who presented a briefing on UNTHSC Foundation investments. The status of UNT Foundation investments was presented by Mike Mlinac, President and CEO, and Al Lockwood, Director of Investments and Client Relations, UNT Foundation.

Janet Waldron, UNT System Vice Chancellor for Finance, discussed the **System Quarterly Update**.

The next briefing was on **Risk Management: Crime Statistics and Safety Strategies** and was presented by Ed Reynolds, Police Chief, and Maureen McGuinness, Dean of Students and Assistant Vice President for Student Affairs at UNT, Laurie Clouse, Police Chief, and Trisha Van Duser, Executive Director, Student Services and Title IX Coordinator, at UNTHSC, and Dan Edelman, Chief Financial Officer, and Jamaica Chapple, Dean of Students, at UNT Dallas.

James Maguire, UNT System Vice Chancellor for Facilities Planning and Construction, briefed the Committee on the **UNT Campus Master Plan Update**.

There being no further business, the Finance and Facilities Committee meeting recessed at 4:43 p.m. until Friday, November 17, at 9:20 a.m.

Friday, November 17, 2017

The Finance and Facilities Committee of the Board of Regents of the University of North Texas System reconvened on Friday November 17, 2017, in Room 333 of the University Union, University of North Texas, 1155 Union Circle, Denton, Texas, with the following committee members in attendance: Regents Don Potts, Rusty Reid, Glen Whitley, and Laura Wright.

There being a quorum present the meeting was called to order by Committee Chair Reid at 9:07 a.m.

Chair Reid noted that the Committee had seven action items to consider. Vice Chancellor and General Counsel Nancy Footer presented the first action item.

11. UNTS Amendment to Regents Rules 03.300, Board Ethics and Standards of Conduct, and 05.700, System Administration and Institution Ethics and Standards of Conduct

Pursuant to a motion by Regent Glen Whitley and seconded by Regent Don Potts, the Committee approved the above item on a 4-0 vote.

The next action item was presented by Vice Chancellor Janet Waldron.

12. UNTS Approval to Request to Exceed the Full-Time Equivalent Limitation for Fiscal Year 2018

Pursuant to a motion by Regent Don Potts and seconded by Regent Laura Wright, the Committee approved the above item on a 4-0 vote.

Vice Chancellor James Maguire presented the next action item related to amendment of the UNTS FY18 Capital Improvement Plan.

13. UNTS Authorization to Amend the UNTS FY18 Capital Improvement Plan to add Athletics Indoor Practice Facility at UNT

Pursuant to a motion by Regent Laura Wright and seconded by Regent Don Potts, the Committee approved the above item on a 4-0 vote.

The next action item related to a proposed increase in UNT room and board rates for FY19 and was presented by Elizabeth With, UNT Vice President for Student Affairs.

14. UNT Request for Increase of UNT Room and Board Rates for FY19

Pursuant to a motion by Regent Glenn Whitley and seconded by Regent Laura Wright, the Committee approved the above item on a 4-0 vote.

The next two action items were presented by UNT Provost Jennifer Cowley. The first related to a proposal to establish differential tuition for some undergraduate programs at UNT.

**15. UNT Approval of UNT Undergraduate Differential Tuition
Beginning Fall 2018**

Pursuant to a motion by Regent Glen Whitley and seconded by Regent Laura Whitley, the Committee approved the above item on a 4-0 vote.

The next action item proposed differential tuition for some graduate programs at UNT.

**16. UNT Approval of UNT Graduate Differential Tuition Beginning
Fall 2018**

Pursuant to a motion by Regent Glen Whitley and seconded by Regent Don Potts, the Committee approved the above item on a 4-0 vote.

Elizabeth With presented the last action item for the Committee's consideration.

17. UNT Request for Increase of the Recreational Facility Fee

Pursuant to a motion by Regent Glen Whitley and seconded by Regent Laura Wright, the Committee approved the above item on a 4-0 vote.

There being no further business, the Committee meeting adjourned at 9:54 a.m.

Submitted By:

Rosemary R. Haggett
Rosemary R. Haggett
Board Secretary

Date: Dec 7, 2017

**BOARD OF REGENTS
UNIVERSITY OF NORTH TEXAS SYSTEM
FINANCE AND FACILITIES COMMITTEE CHARTER**

The Finance and Facilities Committee oversees the fiscal stability and long term economic health of the University of North Texas System. The committee monitors the System and component institutions' financial operations, debt level, and investment performance; requires the maintenance of accurate and complete financial records; and maintains open lines of communication with the Board of Regents about the System and component institutions' financial condition. The Finance and Facilities Committee shall also have responsibility to review all capital project schedules and construction contracts, evaluate land and property purchase opportunities, recommend to the Board any required actions concerning the naming of buildings, facilities, real property, streets and programs as required by the Regents Rules, and review contracts and purchases of goods and services as required by the Regents Rules.

Specifically, the Finance and Facilities Committee shall review and recommend to the Board:

- The consolidated annual operating budget;
- Financial components of the capital budget;
- Changes to tuition and those fees and charges requiring Board approval;
- Contracts and purchases of goods and services as required by the Regents Rules;
- The issuance of debt;
- Changes to the consolidated annual budget as required by the Regents Rules;
- Investment regulations and policies, including investment goals, model asset allocations, distribution policies and performance benchmarks;
- Capital project plans and schedules;
- Capital project design and construction contracts as required by Regents Rules;
- The naming of buildings, facilities , real property, streets and programs as required by the Regents Rules;
- Administration of System real property, including both surface and mineral interests
- Campus Master Plans;
- The Finance and Facilities Committee charter

The Finance and Facilities Committee also provides governance oversight to:

- Budget to actual status;
- Long-range financial planning strategies;
- Total indebtedness of the System and component institutions;
- The investment portfolio, including performance of investments compared to relevant benchmarks or indices and investment costs; and
- The financial relationship between the System and component institutions' and their respective affiliates, including affiliated foundations, clinical operations, and external entities

The Finance and Facilities Committee may also review:

- Selected financial metrics that measure the System and component institutions' fiscal condition
- Capital financing and debt-management reports
- The report on central reserves
- Asset management reports
- Other external and internal reporting requirements
- Other financial reports as requested by the committee

Adopted: 02.20.15

Effective: 02.20.15

Revised: 05.22.15

UNT Dallas Campus Master Plan Update

UNT System Board of Regents

Presented by:

Bob Mong, President, UNT Dallas

James Maguire, Vice Chancellor for Facilities Planning and Construction

February 22-23, 2018



Update on Progress of Campus Master Plan

THE UNIVERSITY OF NORTH TEXAS

DALLAS CAMPUS MASTER PLAN - 2005

Approved by the UNT System Board of Regents on August 19, 2005

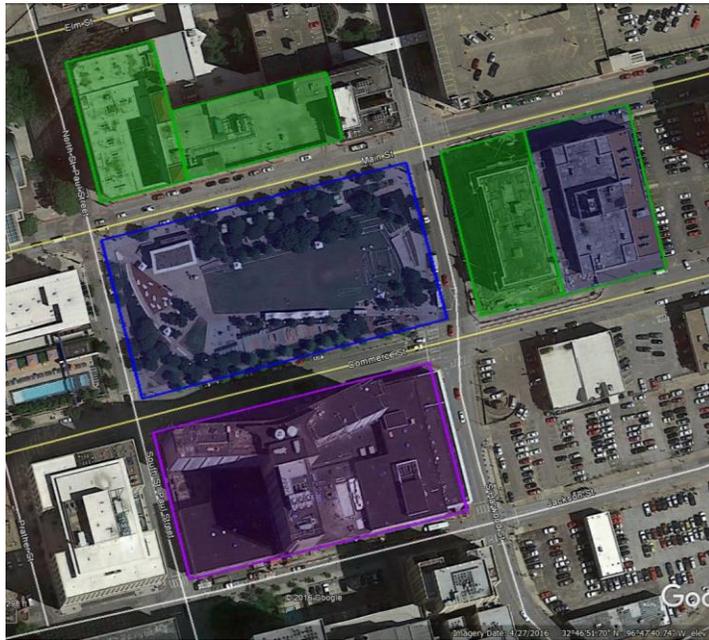
S A S A K I

UNT Dallas Context



- 245 acres at Camp Wisdom and University Hills Boulevard
- Only regionally accredited, public university in the City of Dallas
- Fall 2017 enrollment over 3,500
- 27 undergraduate programs
- 8 graduate programs
- 5 Academic schools

Downtown Dallas

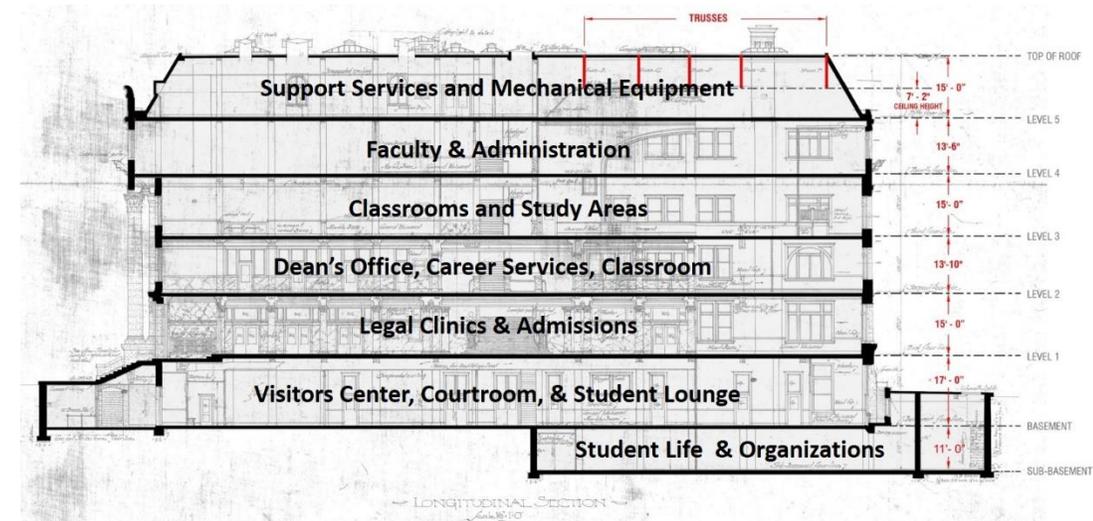


- UNT Property**
 - 1901 Main (UNTS)
 - 1900 Elm (Apartments)
 - 106 S Harwood (COL)
- Dallas Property/UNT Option**
 - 2014 Main (Annex)
- Dallas Property**
 - Main Street Gardens
- Private**
 - 1914 Commerce (Statler)
 - 1954 Commerce (Library)



UNT Dallas College of Law

- Restoration and rehabilitation of Dallas Municipal Building for permanent home UNT Dallas College of Law
- Partnership with City of Dallas
- \$16M Exterior restoration by City of Dallas
- \$56M TRB funded Interior Rehabilitation in progress
- Estimated completion January 2019



Campus Master Plan



Supports Strategic Vision

MISSION

Empower. Transform. Strengthen.

UNT Dallas empowers student,
transforms lives, strengthens
communities

VISION

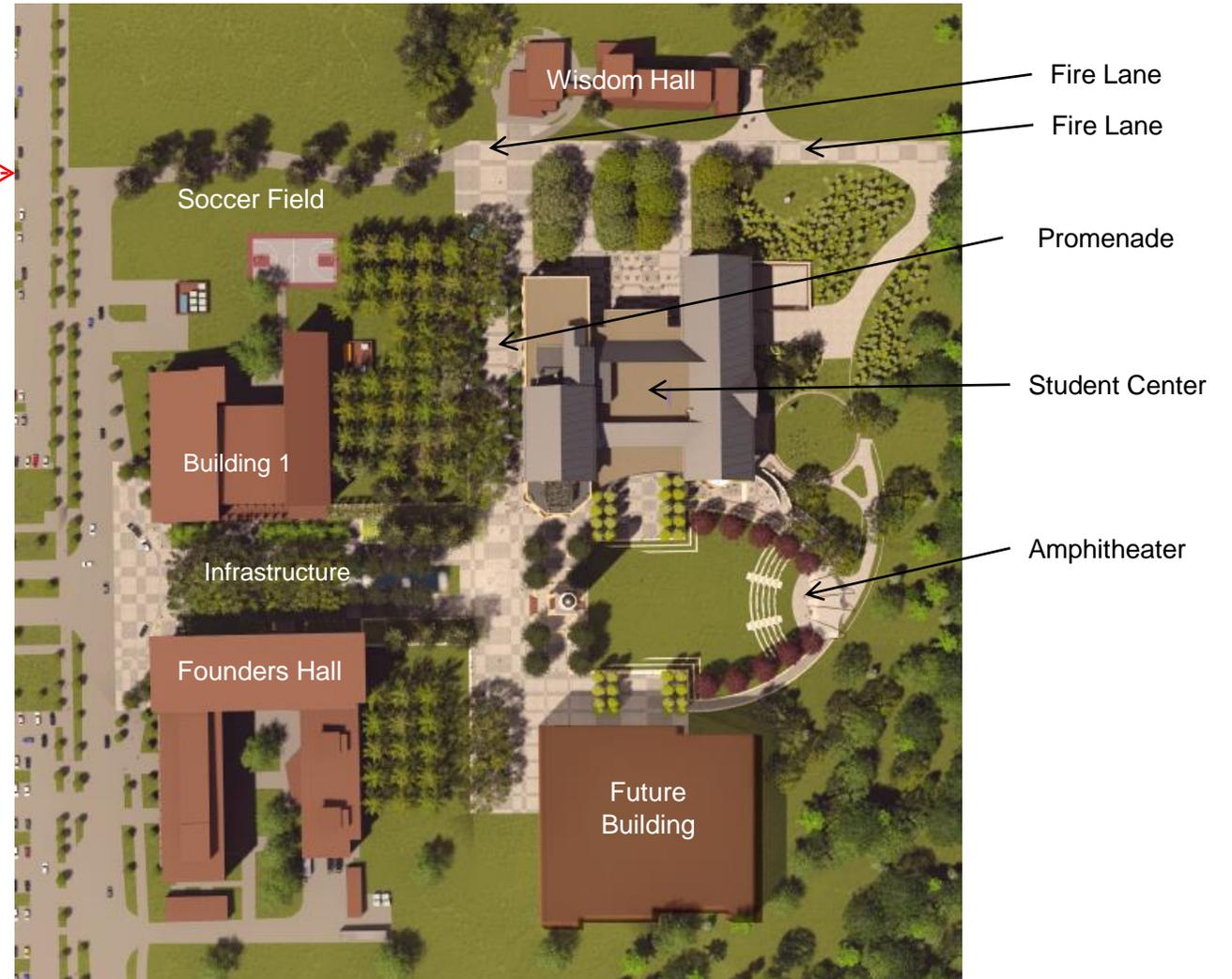
Through education and community
connectedness, UNT Dallas aspires to
be the pathway to social mobility.

Campus Master Plan



- Compelling Vision for the Campus environment
- Providing Facilities and Amenities that Support Campus Life
- Pedestrian Experience – Squares and Promenade
- Providing a Center for the Community
- Plan provides for 1,600 beds on-campus housing when the headcounts reach 16,000 students

University Promenade



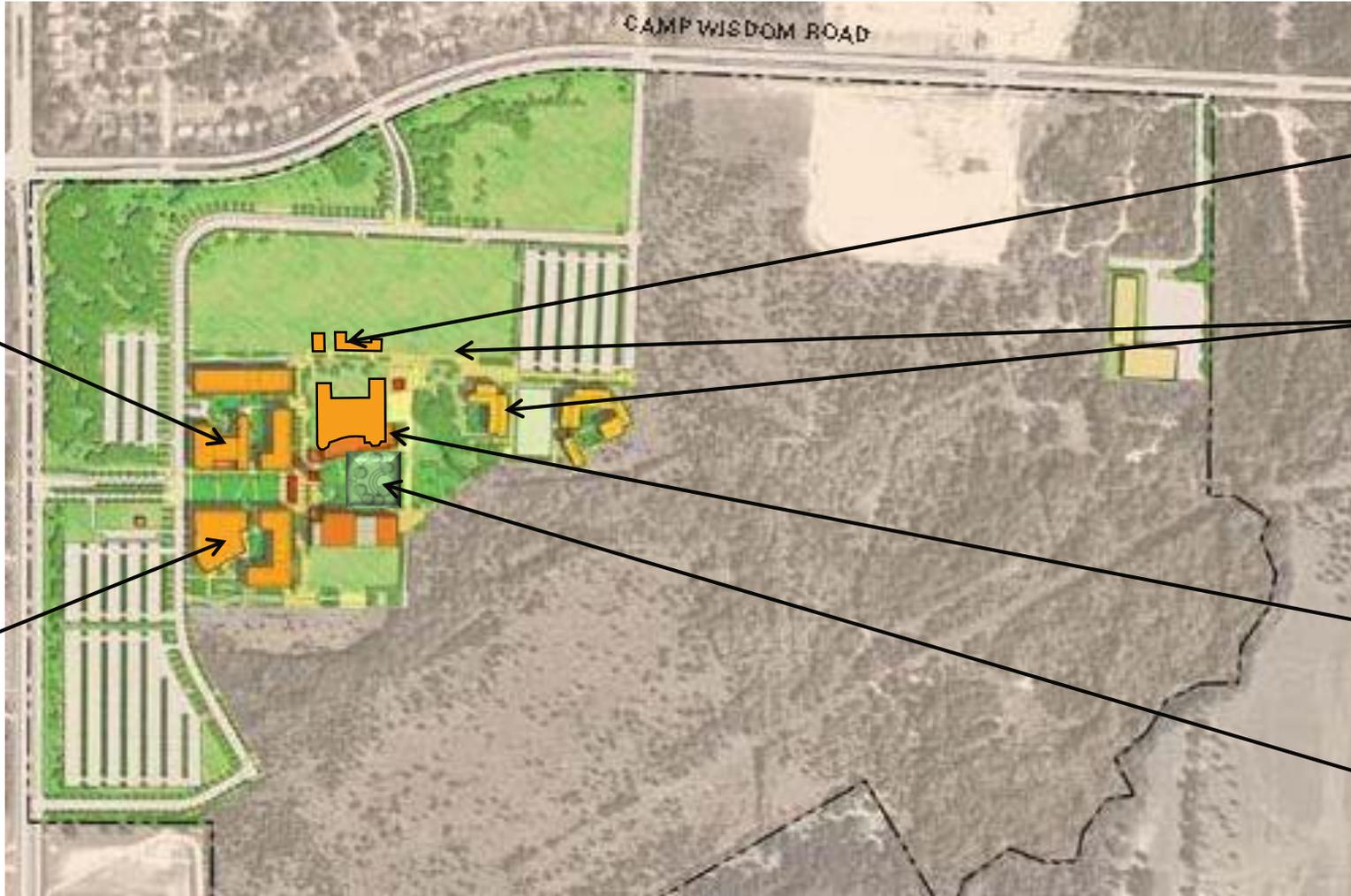
University Promenade



Current Development



2005 Master Plan Phase 1



Building 1

Founders Hall

Wisdom Hall

Planned Locations for Future Housing

Location for Student Center (SLSC)

Amphitheater Location

Wisdom Hall

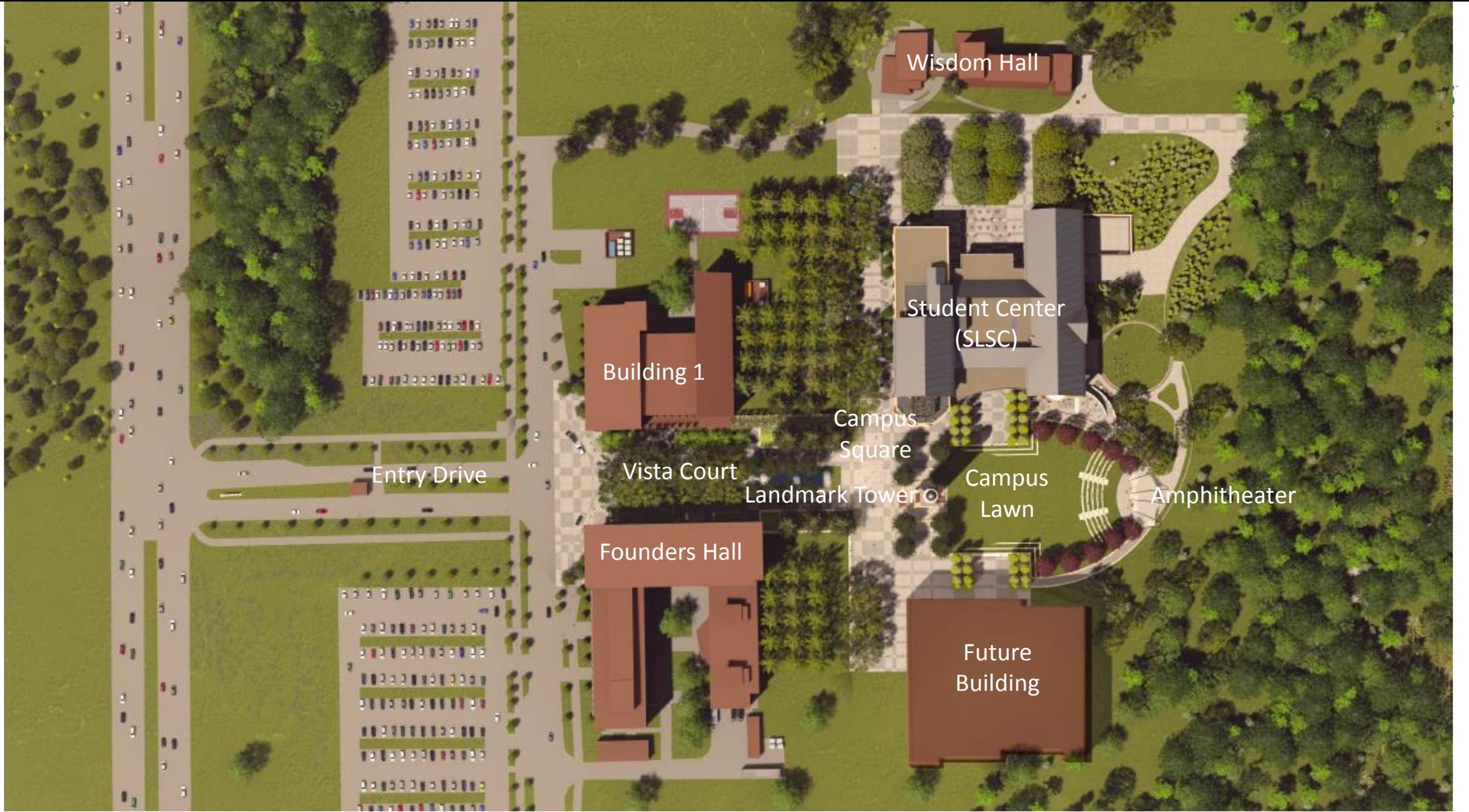


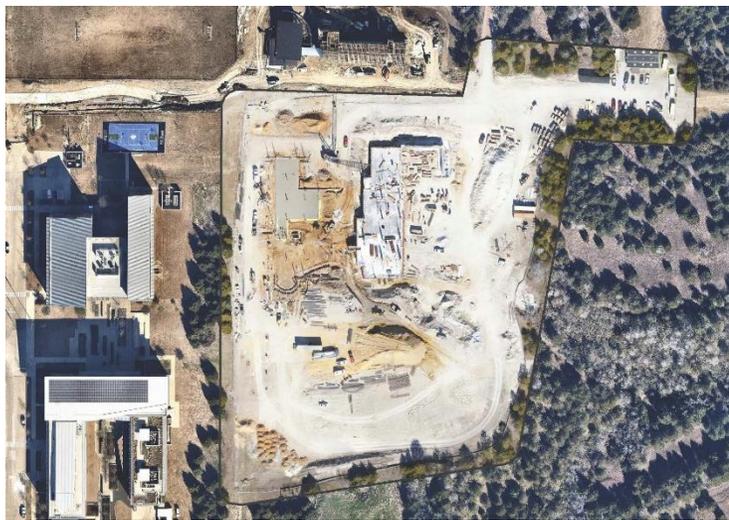
Student Center (SLSC)

- Approx. 129,300 GSF
- Project Budget: \$63,000,000
 - Tuition Revenue Bonds
- Program Space:
 - Library/Learning Commons
 - Student Services including:
 - admissions
 - financial aid
 - student advising
 - tutoring
 - aptitude testing
 - student employment
 - student life
 - counseling
 - enrollment management
- Flexible large gathering space
- Fitness Facility



Building
Site

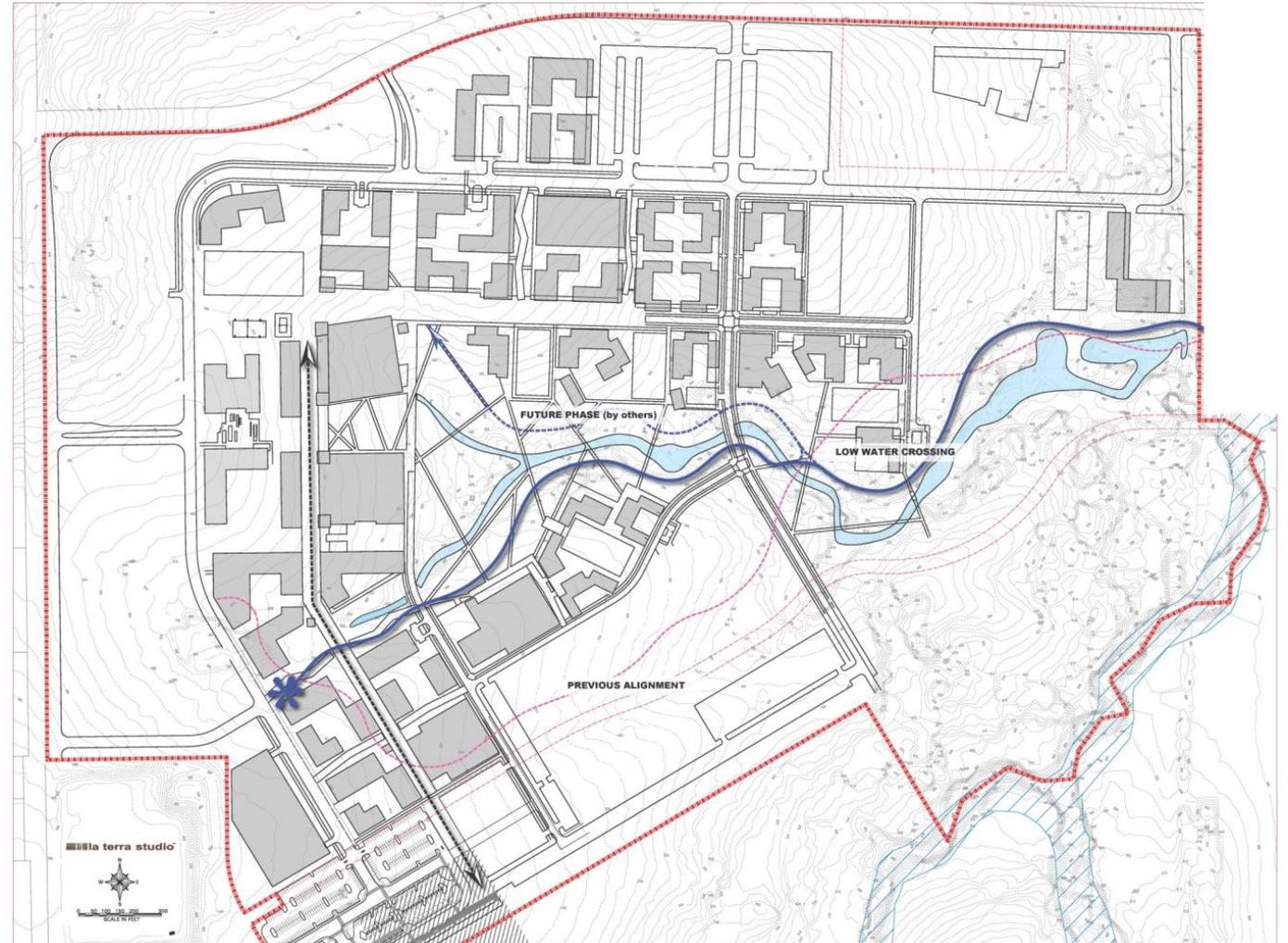




Amphitheater



Runyon Creek Trail



Capital Project Planning

1. STEM Building
2. Field House
3. Second Residence Hall
4. Business Technology Building
5. Expanded Parking
6. Landmark Tower





Consolidated Financial Ratios & Financial Highlights Fiscal Year 2017

Agenda

- FY2017 CAFR and External Audit Process
- Consolidated Composite Financial Index
- Statement of Net Position
- Statement of Revenues, Expenses, and Changes in Net Position
- Deeper Look at Revenues and Expenses
- Upcoming GASB Pronouncements
- FY2018 Financial Improvement Projects

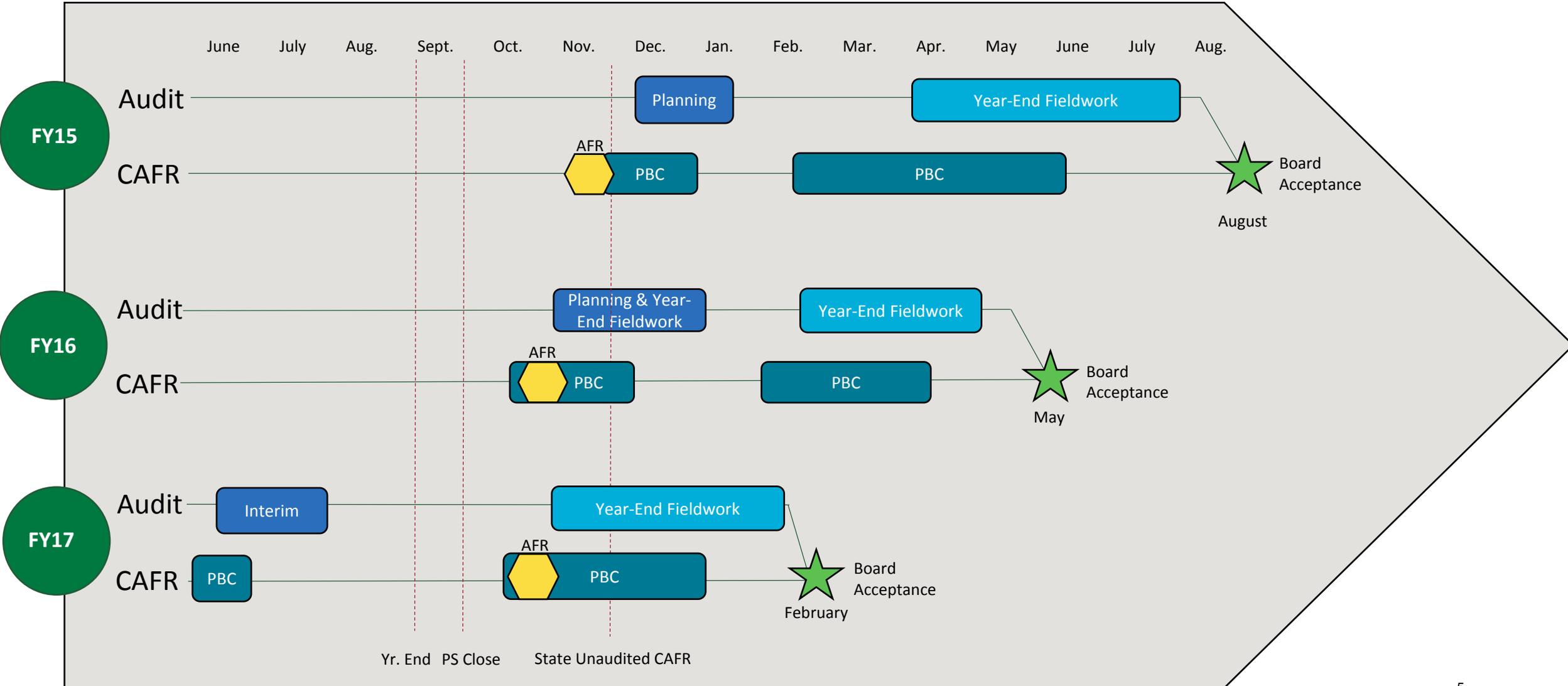
Fiscal Year 2017 CAFR

- Developed consistent reporting templates for all members
 - AFR and CAFR Variance Reports
 - APS011 – Benefits Proportionality Report
 - General Revenue Reconciliation Report
- State of Texas Comptroller provided training for AFR and APS 011 preparation
- Performed a CAFR improvement project to track and document the CAFR process
 - Created a detailed CAFR work plan with procedures for footnotes
 - Implemented a desk review for member AFRs, CAFR & other state reports
 - Documented baselines and measurements of success
- Conducted a post CAFR survey and debrief meeting with accounting and reporting staff to identify future areas of improvement

Fiscal Year 2017 External Audit

- State & Audit Versions
 - 15 Member Draft AFRs for analysis prior to CAFR work
 - Multiple Draft CAFRs created for review throughout process
- GT Provided by Client Work
 - 198 PBC Requests
 - 78 Subsequent Requests
 - Over 1,500 Files Provided
- FY2017 Firsts
 - Implemented an interim audit process
 - Controls testing on Payroll and Disbursements
- Accelerated audit by three months compared to prior year
- Auditors and management are discussing timing and testing for the FY2018 audit

External Audit Timeline



Composite Financial Index (CFI) Defined



Four ratios comprise the CFI in order to evaluate financial health and answer several fundamental questions:

Ratio	Analysis	CFI Weight	Benchmark	Formula
Primary Reserve Ratio	Resources sufficient and flexible?	35%	.40x (5 months)	Expendable Net Position*/ Total Expenses
Net Operating Revenues	Living within available resources?	10%	2% - 4%	Operating Inc (Loss) + Net Non-Op Rev (Exp)/ Operating Rev + Non-Op Rev
Return on Net Position	Asset performance and management support the strategic direction?	20%	3%	Change in Net Position/ Total Beginning of Year Net Position
Viability Ratio	Financial resources, including debt, managed strategically?	35%	1.25x	Expendable Net Position*/ Outstanding Debt

*excluding net position restricted for capital investments

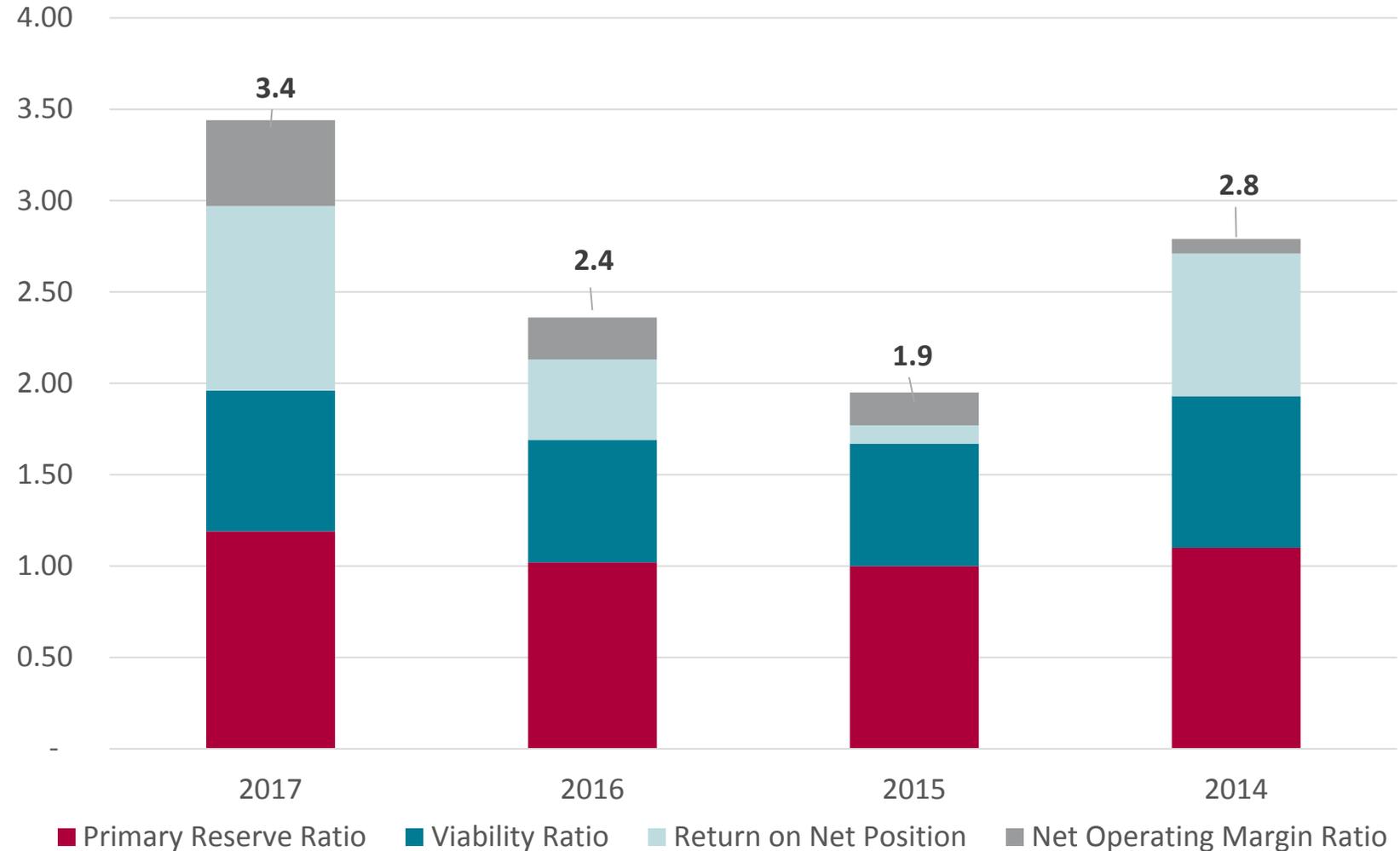
CFI Trend is Notably Improved

	<i>Resources Flexibility and Sufficiency</i>	<i>Operating Results</i>	<i>Financial Asset Performance</i>	<i>Debt Management</i>	<i>Consolidated*</i>
<i>Overall Financial Health</i> →	Primary Reserve Ratio	Net Operating Revenues	Return on Net Position	Viability Ratio →	Composite Financial Index
	.40x	2% - 4%	3%	1.25x	Score
FY14	.42x	0.55%	7.80%	.99x	2.8
FY15 (ex. GASB 68)	.38x	1.23%	1.01%	.80x	1.9
FY16 (ex. GASB 68)	.39x	1.62%	4.41%	.80x	2.4
FY17 (ex. GASB 68)	.45x	3.26%	10.09%	.91x	3.4
FY15 (inc. GASB 68)	.25x	1.28%	1.21%	.53x	1.4
FY16 (inc. GASB 68)	.27x	1.63%	5.04%	.55x	1.9
FY17 (inc. GASB 68)	.33x	3.20%	11.38%	.67x	3.0

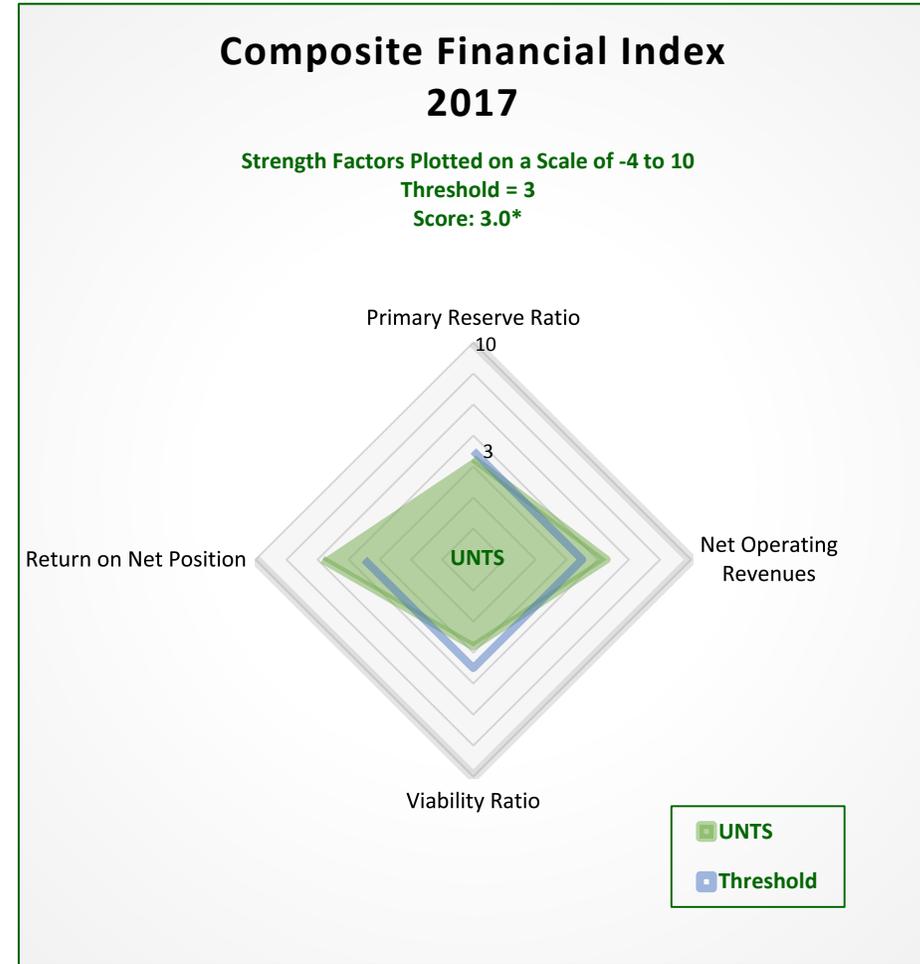
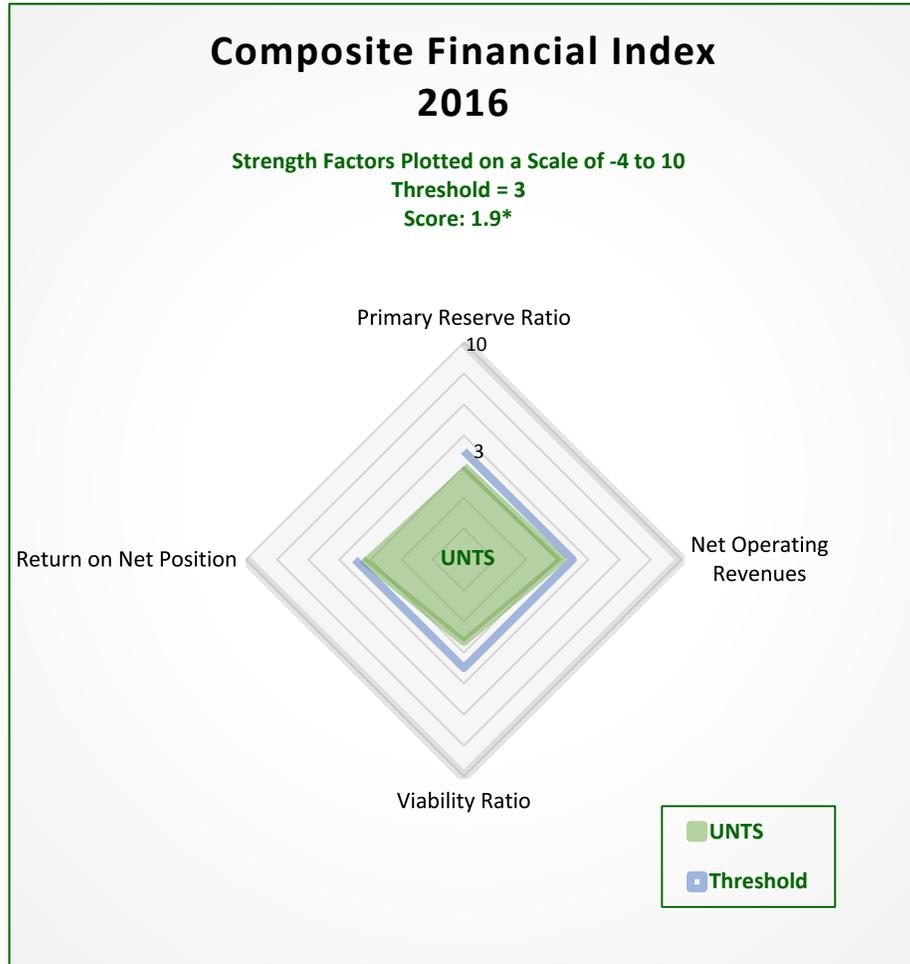
**The Viability Ratio excludes the financial burden of Tuition Revenue Bonds*

Return on Net Position Reflects Market Volatility

- Change in Net Position led to Significant Increase
 - Fluctuations in Investments
 - Legislative transfers and capital appropriations

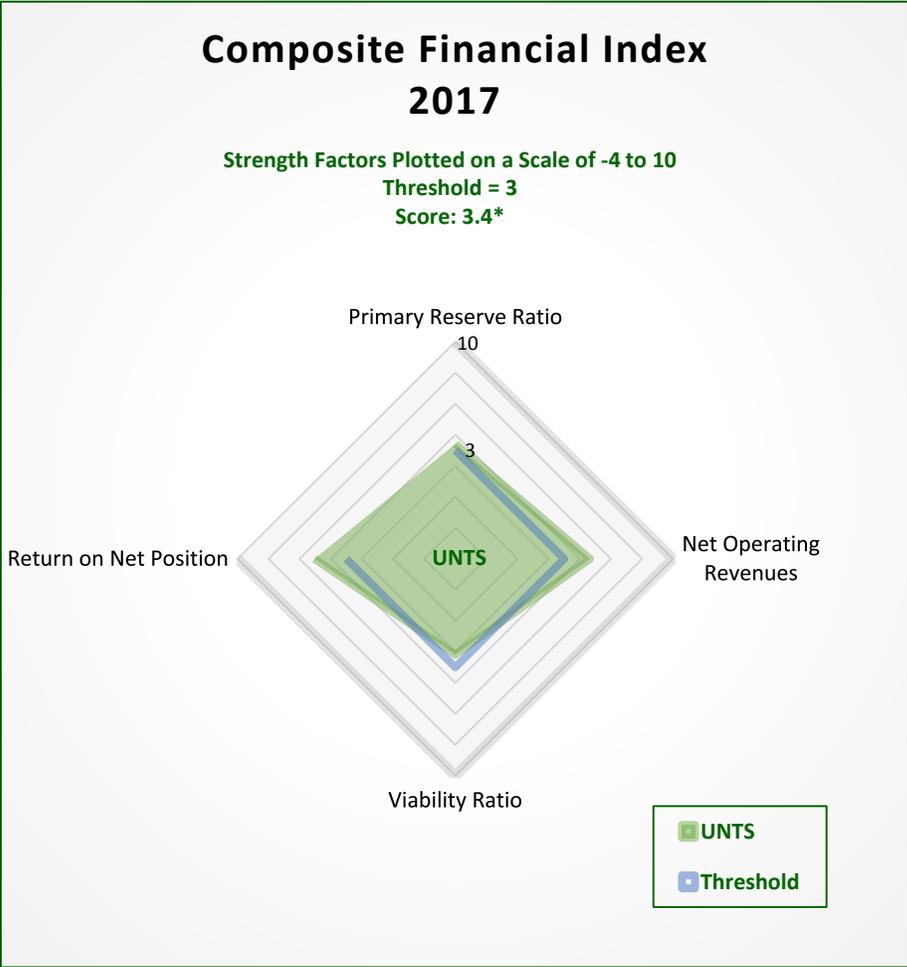
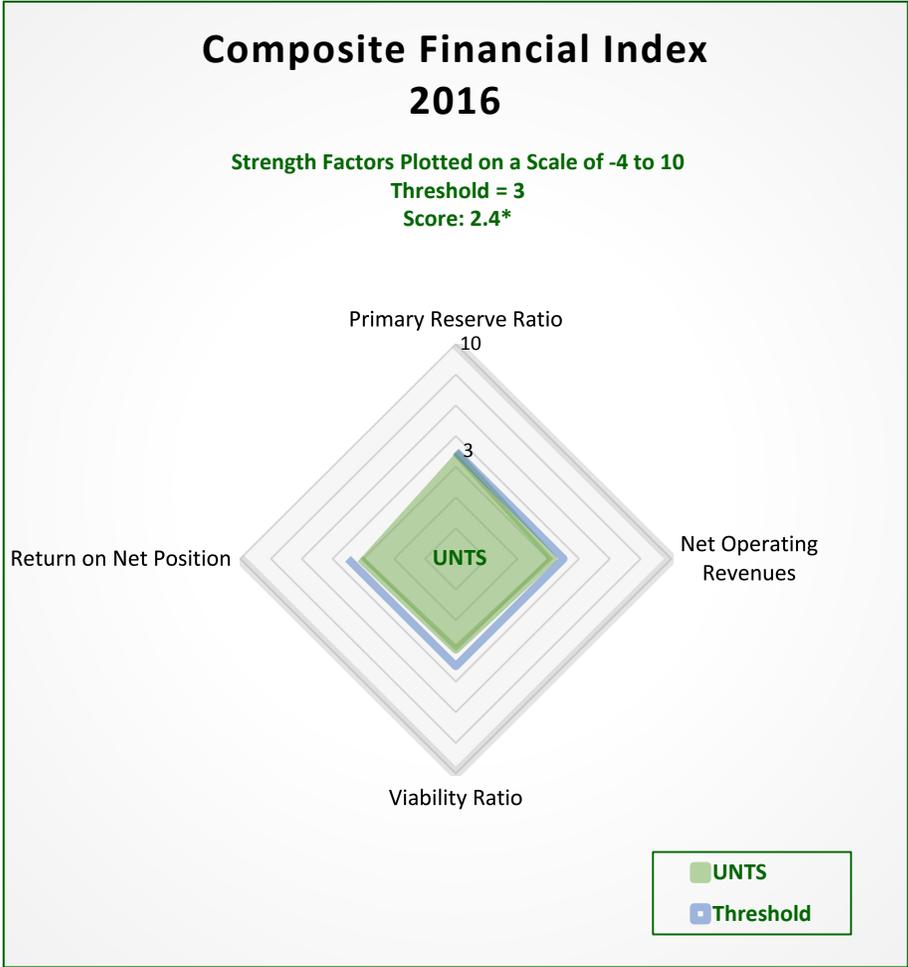


Consolidated UNT System – More Green is Good



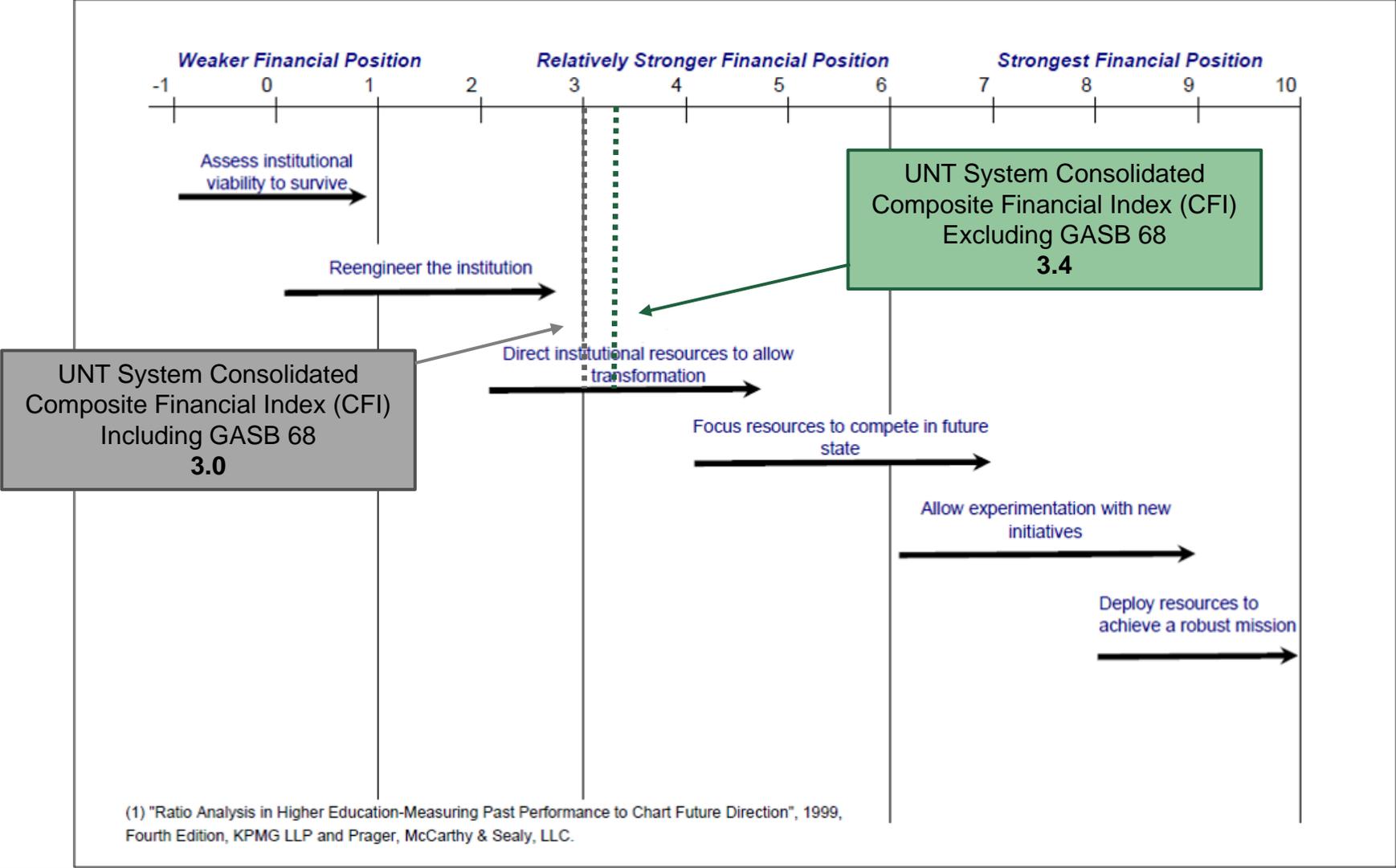
*The CFI Scores above exclude Tuition Revenue Bond principal and includes the effects of GASB 68.

Consolidated UNT System (excluding the effect of GASB 68)



*The CFI Scores above exclude Tuition Revenue Bond principal as well as the effect of GASB 68.

Transformational Scale – Fiscal Year 2016



Statement of Net Position

For the Year Ended August 31, 2017

Total Assets & Deferred Outflows



- Increased to \$2.17B from \$1.83B
- \$182.9M increase in cash from bond proceeds
- \$45.9M increase in net capital assets
- \$89.6M increase in non-current investments
- \$13.3M increase for pension plans (GASB 68)

	2017	2016	% Increase (Decrease)
Assets and Deferred Outflows of Resources			
Current Assets	\$ 733,234	\$ 544,986	34.5%
Non-Current Assets:			
Capital Assets, Net	1,070,890	1,025,004	4.5%
Other Non-Current Assets	324,759	233,585	39.0%
Deferred Outflows of Resources	43,570	25,854	68.5%
Total Assets and Deferred Outflows of Resources	\$ 2,172,453	\$ 1,829,429	18.8%

Statement of Net Position

For the Year Ended August 31, 2017

	2017	2016	% Increase (Decrease)
Liabilities and Deferred Inflows of Resources			
Current Liabilities	\$ 435,674	\$ 407,949	6.8%
Non-Current Liabilities:			
Bonded Indebtedness	731,380	514,046	42.3%
Other Non-Current Liabilities	144,960	142,377	1.8%
Deferred Inflows of Resources	34,634	22,123	56.6%
Total Liabilities and Deferred Inflows of Resources	\$ 1,346,648	\$ 1,086,495	23.9%

Total Liabilities & Deferred Inflows



- Increased to \$1.35B from \$1.09B
- \$18.8M increase in current notes and bonds payable
- \$5.1M increase in unearned revenue
- \$217.3M increase in tuition revenue bonds
- \$14.0M increase for pension plans (GASB 68)

Statement of Net Position

For the Year Ended August 31, 2017

Net Position

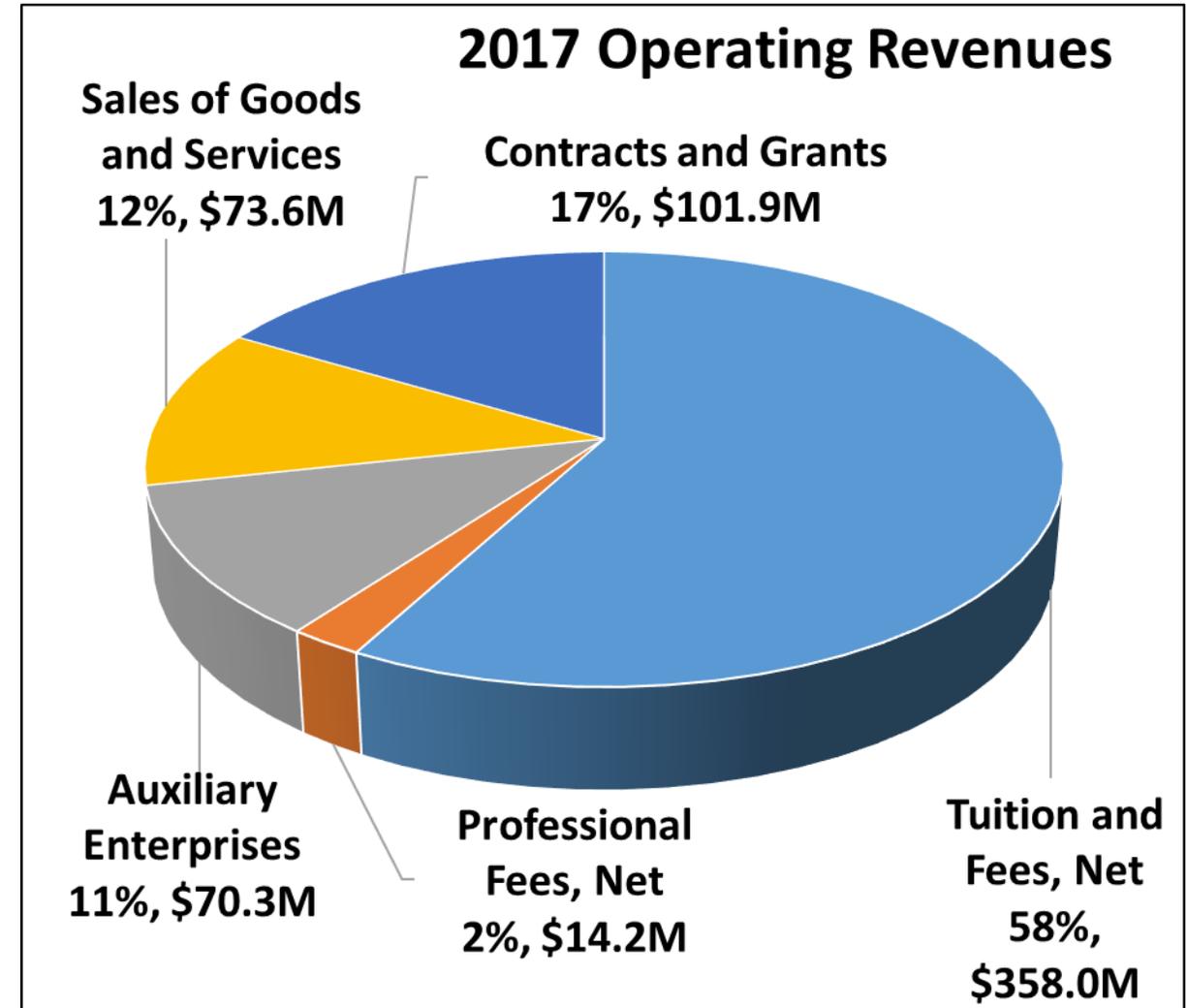


- \$82.9M increase in net position
- \$18.0M increase in net investment in capital assets
- \$10.3M increase in restricted net position
- \$54.6M increase in unrestricted net position

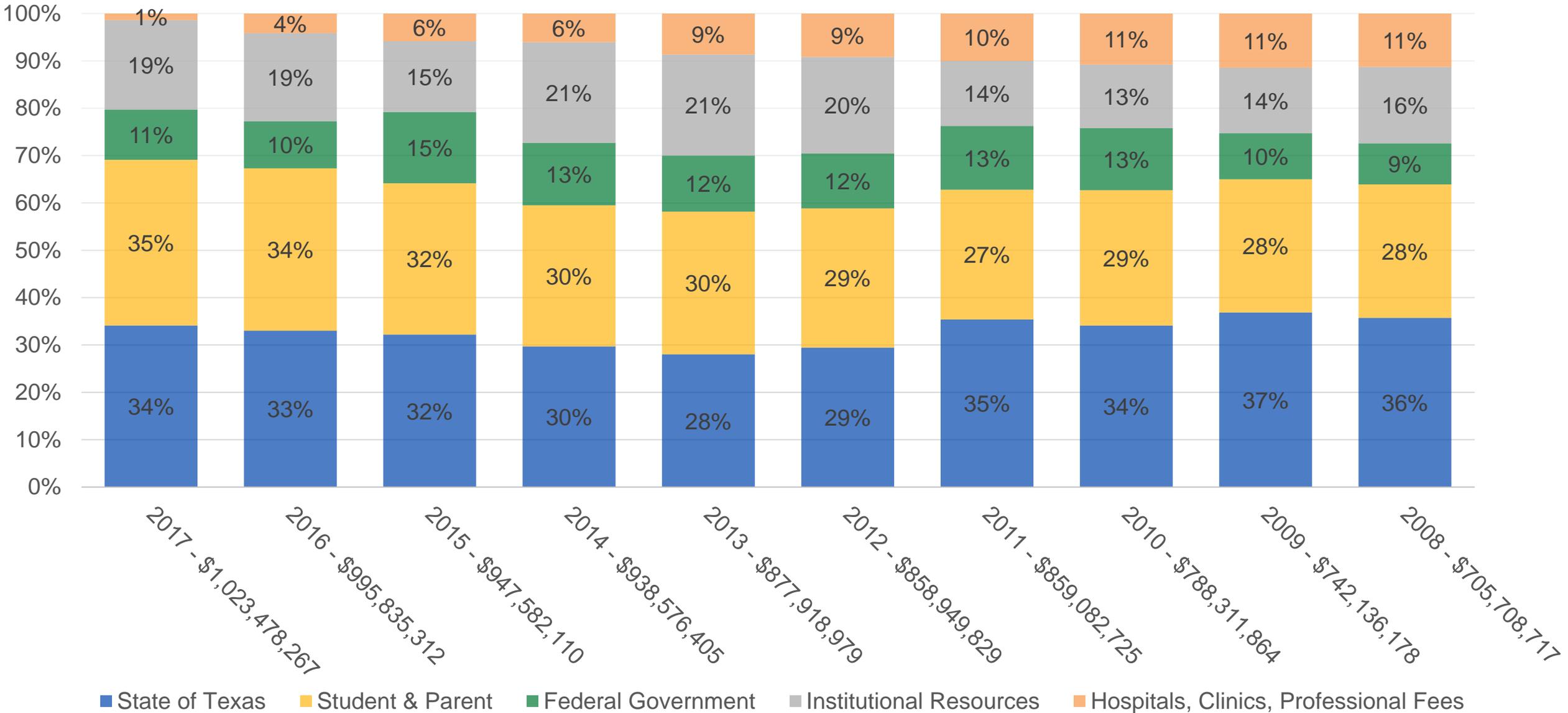
	<u>2017</u>	<u>2016</u>	<u>% Increase (Decrease)</u>
Net Position			
Net Investment in Capital Assets	\$ 483,233	\$ 465,252	3.9%
Restricted:			
Funds Held as Permanent Investments:			
Non-Expendable	47,683	46,960	1.5%
Expendable	26,655	18,161	46.8%
Other Restricted	40,247	39,135	2.8%
Total Restricted	<u>114,585</u>	<u>104,256</u>	<u>9.9%</u>
Unrestricted	<u>227,987</u>	<u>173,426</u>	<u>31.5%</u>
Total Net Position	<u>\$ 825,805</u>	<u>\$ 742,934</u>	<u>11.2%</u>
Total Liabilities and Net Position	<u>\$ 2,172,453</u>	<u>\$ 1,829,429</u>	<u>18.8%</u>

Statement of Revenues, Expenses, and Changes in Net Position For the Year Ended August 31, 2017

<ul style="list-style-type: none"> Operating revenues decreased by 1.9% 	↓	\$12.1M
<ul style="list-style-type: none"> Operating expenses increased by 1.9% 	↑	\$17.5M
<ul style="list-style-type: none"> Gift revenue increased \$8.3M Investment income increased \$8.8M Net increase in fair value of investments was \$3.8M over prior year 	↑	\$20.9M
<ul style="list-style-type: none"> State appropriation revenue increased by 12.6% over prior year 	↑	\$37.3M

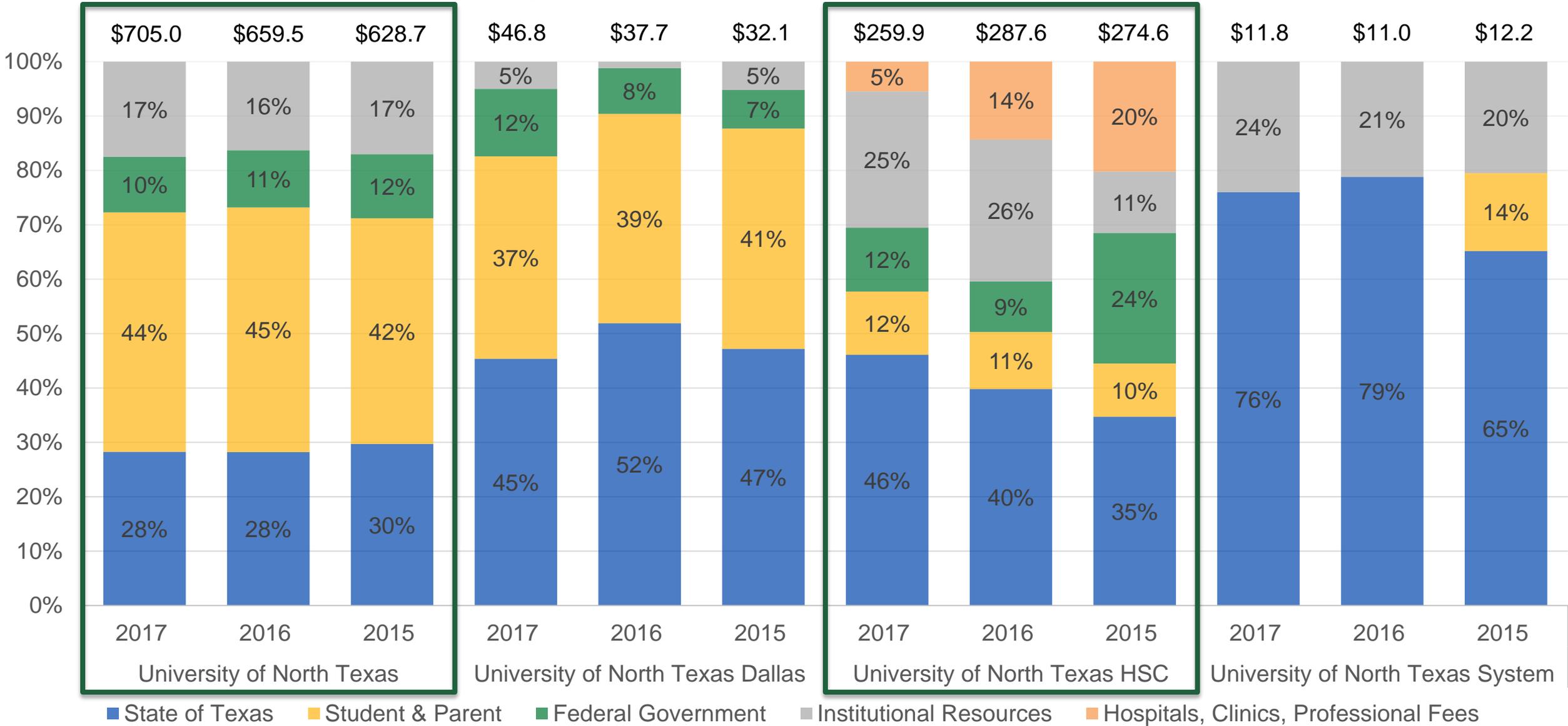


CONSOLIDATED REVENUE SOURCES BY CATEGORY

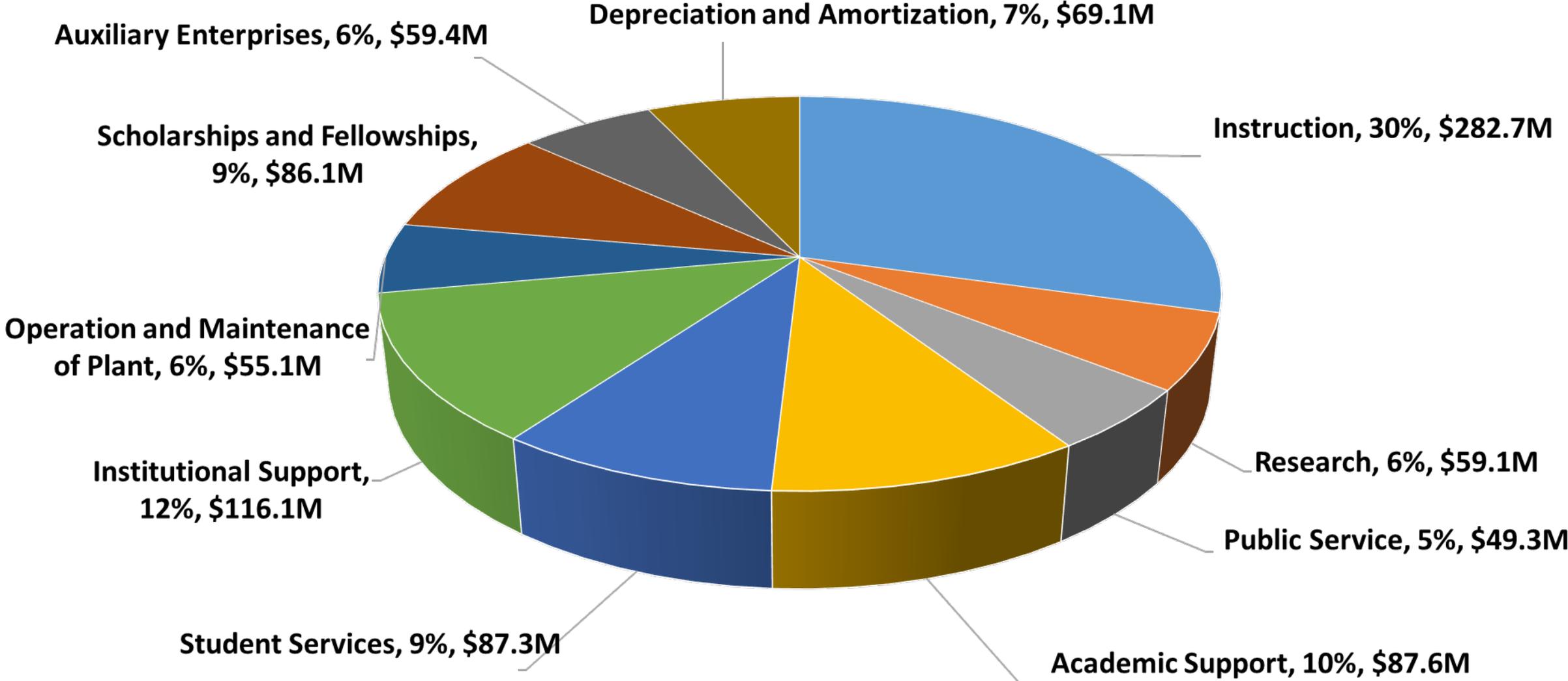


REVENUE SOURCES BY MEMBER INSTITUTION

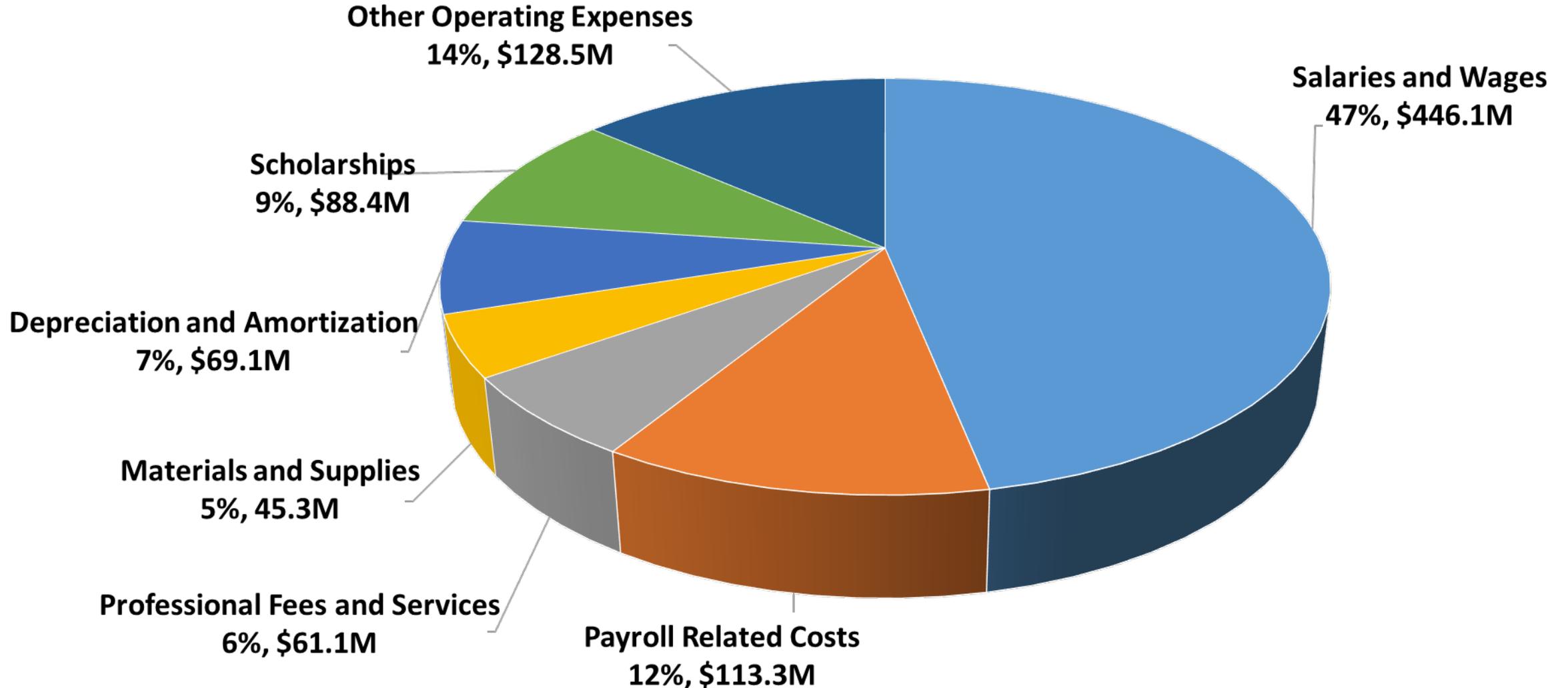
(\$ IN MILLIONS & NOT ADJUSTED FOR INFLATION)



Operating Expenses – NACUBO Function

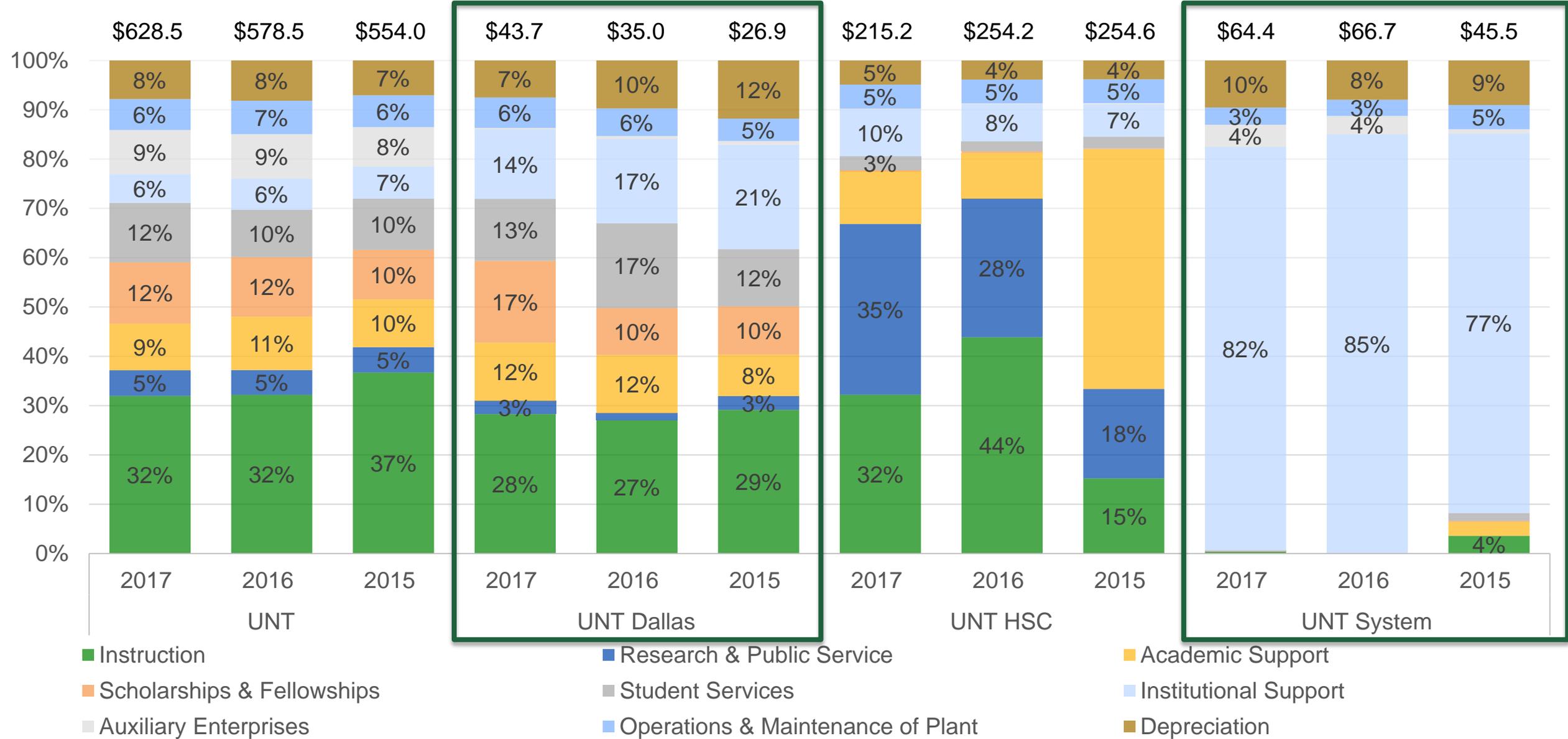


Operating Expenses - Natural Classification



NACUBO EXPENSES CATEGORY BY MEMBER INSTITUTION

(\$ IN MILLIONS & NOT ADJUSTED FOR INFLATION)



Upcoming GASB Pronouncements

- GASB Statement No. 75, Accounting and Financial Reporting for Postemployment Benefits Other Than Pensions
 - This pronouncement requires reporting OPEB liability on the face of the financial statements along with more extensive note disclosures and required supplemental information about OPEB liabilities. The statement will be implemented in fiscal year 2018. UNTS anticipates a significant impact to the financial statements. UNTS is waiting for guidance from the State Comptroller's Office as to how to implement and at what agency level this will be reported.
- GASB Statement No. 87, Leases
 - This pronouncement creates a single model for lease accounting. It requires recognition of certain lease assets and liabilities for leases that previously were classified as operating leases. This statement will be implemented in fiscal year 2021. The System is in process of analyzing current operating leases to assess impact of this statement. Moderate impact to the consolidated financial statements is expected.

FY2018 Financial Improvement Projects

- Continuing Projects from FY2017
 - Review and correct data for the new Chart of Accounts
 - Create quarterly financial reports for management
 - Burst key management reports out of Cognos
 - Increase automated reporting tools for AFRs and PBCs
 - Automation of Travel and Expense
- New Projects
 - Implement an OCR system for accounts payable to speed up data entry
 - Conduct a efficiency review with ADP for payroll operations
 - Accounting & Financial Reporting Process Improvement Plan created for FY 18 & 19

Questions?



MINUTES

BOARD OF REGENTS Audit Committee November 16, 2017

The Audit Committee of the Board of Regents of the University of North Texas System convened on Thursday, November 16, 2017, in Room 333 of the University Union, University of North Texas, 1155 Union Circle, Denton, Texas, with the following committee members in attendance: Regents Don Potts, Glen Whitley, and Laura Wright.

There being a quorum present, the meeting was called to order by Committee Chairman, Regent Glen Whitley, at 1:55 p.m. Regent Rusty Reid arrived and joined the meeting at 2:21 p.m.

The minutes of the August 17, 2017, Audit Committee meetings were approved on a 3-0 vote following a motion by Regent Don Potts, seconded by Regent Laura Wright.

Tracy Grunig, UNT System Chief Audit Executive, presented three briefings: 1) **Report of Audit Activities**, 2) **UNT System Enterprise Audit Report Inventory**, and 3) **FY17 UNT System Internal Audit Recap**.

The next two briefings addressed the UNT System Compliance program. Desiree Ramirez, UNTHSC Chief Compliance Officer, and Anissa Breaux-Schropp, UNT Compliance Officer, provided the **UNT System-Wide Compliance and Integrity Program Regulation**. A **UNT System-Wide High Risk Update** was given by Steve Hill, UNT Compliance Officer.

There being no further business, the Audit Committee meeting adjourned at 2:55 p.m.

Submitted By:

Rosemary R. Haggett
Rosemary R. Haggett
Board Secretary

Date: Dec. 7, 2017



MINUTES

BOARD OF REGENTS Audit Committee January 22, 2018

The Audit Committee of the Board of Regents of the University of North Texas System convened on Monday, January 22, 2018, in Room 712 A&B of the UNT System Lee F. Jackson Building, 1901 Main Street, Dallas, Texas, with the following committee members in attendance: Regents Don Potts, Glen Whitley, and Laura Wright.

There being a quorum present, the meeting was called to order by Committee Chairman, Regent Glen Whitley, at 1:00 p.m.

The first briefing addressed institutional compliance. Steve Hill, UNT Director of Institutional Compliance and UNT System Compliance Officer, Desiree Ramirez, UNTHSC Chief Compliance and Integrity Officer, and Anissa Breaux-Schropp, UNT Compliance Officer, briefed the Committee on a **Review of Compliance Function**.

Tracy Grunig, UNT System Chief Audit Executive, shared the current **Audit Committee Charter** for review and possible revision at the February Board meeting. Tracy then presented a **Review of Internal Audit Function**.

There being no further business, the Audit Committee meeting adjourned at 2:16 p.m.

Submitted By:

Rosemary R. Haggett
Rosemary R. Haggett
Board Secretary

Date: Jan. 30, 2018

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BOARD OF REGENTS AUDIT COMMITTEE CHARTER

Purpose and Authority

The Audit Committee of the Board of Regents ("Board") of the University of North Texas System (System) assists the Board in fulfilling its responsibilities for:

1. Oversight of the quality and integrity of the accounting financial, and non-financial reporting practices;
2. Oversight of the internal audit function, any external auditors who the Committee may employ, and engagements with the State Auditor;
3. Oversight of the internal control environment;
4. Oversight of legal and regulatory compliance;
5. Oversight of information security and controls;
6. Oversight of the integrity of the System's financial statements and the System's accounting and financial reporting processes and financial statement audits;
7. Oversight of the System's disclosure control processes and procedures, internal controls over financial reporting, and compliance with ethical standards adopted by the System; and
8. Oversight of the System's risk management process.

The Audit Committee should encourage continuous improvement, and should foster adherence to the System's policies, procedures, and practices at all levels. The Audit Committee should also provide for open communication among the external auditor, financial and senior management, the internal audit function, and the Board of Regents.

The Audit Committee has the authority to conduct investigations into any matters within its scope of responsibility and obtain advice and assistance from outside legal, accounting, or other advisers, as necessary, to perform its duties and responsibilities. In carrying out its duties and responsibilities, the Audit Committee shall also have the authority to meet with and seek any information it requires from employees, Regents, or external parties.

The System will provide appropriate funding, as determined by the Audit Committee, to fund the internal audit activity, to compensate the external auditor, to compensate any advisers that the Audit Committee chooses to engage, and for payment of ordinary administrative expenses of the Audit Committee that are necessary or appropriate in carrying out its duties.

The Audit Committee's principal responsibility is one of oversight. The fundamental responsibility for the integrity of the System's financial statements and disclosures rests with management and the external auditor.

Membership and Meetings

The Audit Committee is a standing committee of the Board and is composed of not fewer than four members of the Board. The Chairman of the Finance Committee shall serve as an ex officio voting member of the Audit Committee.

Committee members will be appointed by the Chairman of the Board of Regents.

The Audit Committee members will be financially literate and the Committee will provide its members with continuing education opportunities in financial reporting and other relevant areas. The Audit Committee should include at least one financial expert.

The Audit Committee will meet four times annually or more frequently as circumstances require. The Audit Committee may meet in executive session as needed and as allowed by law. The Audit Committee should meet with the external auditors, internal auditors and management in separate executive sessions.

The agenda for Committee meetings will be developed by the Audit Committee Chairman in

consultation with the Chancellor and the Chief Internal Auditor.

The committee will meet periodically with the external auditor and management to discuss the annual audited financial statements and quarterly financial statements.

Independence

The Board of Regents and management must be independent from vendors. If overlapping financial interests or personal relationships exist, they must be fully disclosed, and the appropriate personnel must recuse themselves from discussions and voting on related matters.

Accounting / Financial Information Review Duties

1. Review the annual financial statements and related footnotes and discuss with management, the Chief Internal Auditor and the external auditor.
2. Review the financial statements and all of its disclosures, especially fees paid to vendors, activities unrelated to the System's purpose and transactions with related entities.

External Auditor Review Duties

1. Appoint, compensate, retain, and oversee the work performed by the external auditor retained for the purpose of preparing or issuing an audit report or related work. Review the performance and independence of the external auditor and remove the external auditor if circumstances warrant. The external auditor will report directly to the Audit Committee and the Audit Committee will oversee the resolution of disagreements between management and the external auditor if they arise.
2. Review both the audit and non-audit services to be provided by the external auditor.
3. Consider whether the external auditor's provision of permissible non-audit services is compatible with the auditor's independence. Actively engage in dialogue with the external auditor with respect to any disclosed relationships or services that may affect the independence and objectivity of the auditor and take appropriate actions to oversee the independence of the external auditor.
4. Review with the external auditor any problems or difficulties with respect to the audit and obtain reports on significant findings and recommendations, together with management responses.
5. Hold timely discussions with the external auditor regarding the following:
 - a. All critical accounting policies and practices.
 - b. All alternative treatments of financial information within generally accepted accounting principles (GAAP) related to material items that have been discussed with management, ramifications of the use of such alternative disclosures and treatments, and the treatment preferred by the external auditor.
6. At least annually, obtain and review a report by the external auditor describing:
 - a. The external auditor's internal quality-control procedures.
 - b. Any material issues raised by the most recent internal quality-control review or peer review, or by any inquiry or investigation by governmental or professional authorities within the preceding five years with respect to independent audits carried out by the external auditor, and any steps taken to deal with such issues.
 - c. All relationships between the external auditor and the System.
7. Annually, evaluate the external auditor's qualifications, performance, and independence. Further, the Audit Committee will review the experience and qualifications of the lead partner. The Audit Committee will also consider whether there should be rotation of the external auditor itself. The Audit Committee should present its conclusions to the board.
8. Set policies for hiring personnel of the external auditor.

Financial Reporting Processes, Accounting Policies, and Internal Control Structure Duties

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1. Discuss with the external auditor, the internal audit function, and management, the extent to which changes or improvements in financial or accounting practices have been implemented.
2. In consultation with the external auditor and the internal audit function, review the integrity of the System's financial reporting processes (both internal and external).
3. Understand the scope of the external auditor's review of internal control over financial reporting.
4. Monitor the effectiveness of the internal control systems. Receive and review any disclosures from the System's CFOs and Controllers made in connection with the certification of the System's financial reports: a) significant deficiencies and material weaknesses in the design or operation of internal control over financial reporting which are reasonably likely to adversely affect the university's ability to record, process, summarize, and report financial data; and b) any fraud, whether or not material, that involves management or other employees who have a significant role in the university's internal controls.
5. Review major issues regarding accounting principles and financial statement presentations, including any significant changes in the System's selection or application of accounting principles; major issues as to the adequacy of the university's internal controls.
6. Review analyses prepared by management and the external auditor setting forth significant financial reporting issues and judgments made in connection with the preparation of the financial statements, including analyses of the effects of alternative GAAP methods on the financial statements for state and local governments.
7. Review and approve all related-party transactions.
8. Establish and oversee procedures for the receipt, retention, and treatment of complaints regarding accounting, internal accounting controls, or auditing matters, including procedures for confidential, anonymous submissions by System employees regarding questionable accounting or auditing matters.
9. Confirm that significant findings and recommendations made by the internal and external auditors are received, discussed and acted upon appropriately and promptly.
10. Assure that management is setting the appropriate tone in communicating the importance of internal controls and in establishing policies and procedures to mitigate risk.

Internal Audit Duties

1. Review all internal audit reports and responses and monitor the implementation of recommendations.
2. Recommend for approval by the Board the hiring, removal, and evaluation of the Chief Internal Auditor who shall report to the Board through the Audit Committee.
3. Annually review the performance of the Chief Internal Auditor.
4. Review the effectiveness of the internal audit function, including staffing, training, independence, and adequacy of resources.
5. Approve the internal audit purpose statement, charter, and other governance documents related to internal audit activities, and annually review and recommended changes, as needed, of the internal audit governance documents (including the purpose statement and charter).
6. Periodically review, with the Chief Internal Auditor, any significant difficulties, disagreements with management, or scope restrictions encountered in the course of conducting their work.
7. Periodically review the internal audit function's responsibility, budget, and staffing.
8. Meet with the Chief Internal Auditor in private without representation from administration present.

Ethical Compliance, Legal Compliance, and Risk Management Duties

1. Oversee, review, and periodically update the System's code of conduct and how the System assures compliance with and enforces this code.
2. Oversee the System's risk management process.
3. Review any legal and regulatory matters that may have a material impact on the financial statements, internal auditing, and/or compliance activities.
4. Recommend the annual System-wide risk assessment and annual internal audit plan for review and approval by the Board of Regents.
5. Initiate audits and compliance activities as deemed necessary to ensure appropriate risk management and control processes within the System.
6. Review all compliance reports and monitor the implementation of recommendations.
7. Consider the risk of management's ability to override the internal controls.

Reporting and Duties

1. Recommend to the Board of Regents that the audited financial statements be included in the System's annual report.
2. Report regularly to the Board of Regents regarding the execution of the Audit Committee's duties, responsibilities, activities, and any issues encountered.

Other Responsibilities and Duties

1. Recommend an Audit Committee Charter for review and approval by the Board of Regents; and review this charter at least annually and recommend to the Board of Regents any necessary amendments.
2. Conduct an annual performance assessment relative to the Audit Committee's purpose, duties, and responsibilities outlined herein.
3. Perform any other activities consistent with this charter, the System's bylaws, and governing laws that the Board of Regents or Audit Committee determine are necessary or appropriate.

Audit Committee Chair

Chief Internal Auditor

Date:

Adopted: 02.20.15

Effective: 02.20.15

Revised:

Board Briefing



Committee: Audit

Date Filed: February 12, 2018

Title: Acceptance of the Externally Audited UNT System FY17 Consolidated Annual Financial Report

Background:

Grant Thornton will present results of the External Audit of UNT System FY17 Consolidated Annual Financial Report. The UNT System Audit Committee Charter, adopted February 19, 2015 established a responsibility for the Audit Committee to recommend to the Board of Regents action related to an externally audited Consolidated Annual Financial Report.

Financial Analysis/History:

The Board of Regents directed management to obtain an external audit of the FY17 Consolidated Annual Financial Report.

Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Vice Chancellor/General Counsel

Schedule:

The External Audit Opinion of UNT System's FY17 Consolidated Annual Financial Report will be included in the System's annual report upon approval.

Recommendation:

It is recommended that the Board of Regents accept the Externally Audited UNT System FY17 Consolidated Annual Financial Report, and direct that the External Audit Opinion Letter be included in the System's Annual Report.

Recommended By:

UNTS Chief Audit Executive

Chancellor

Attachments Filed Electronically:

- Grant Thornton Presentation on the External Audit of UNT System FY17 Consolidated Annual Financial Report.
- Final External Audit Opinion of UNT System FY17 Consolidated Annual Financial Report (Grant Thornton)
- Management Representation Letter



Board Order

Title: Acceptance of the Externally Audited UNT System FY17 Consolidated Annual Financial Report

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, the UNT System Audit Committee Charter, adopted February 19, 2015 established a responsibility for the Audit Committee to recommend to the Board of Regents that the UNT System Consolidated Annual Financial Report be externally audited, and

Whereas, the external audit firm, Grant Thornton, LLP, conducted an audit of UNT System FY2017 Consolidated Annual Financial Statements, and provided an unmodified opinion, which is the best possible opinion, and

Whereas, the Board of Regents has reviewed the FY2017 Consolidated Annual Financial Report and the final audit opinion of the UNT System FY17 Consolidated Annual Financial Report,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Acceptance of the Externally Audited UNT System FY17 Consolidated Annual Financial Report
 2. Inclusion of the Audit Opinion letter in the final UNT System FY17 Consolidated Annual Financial Report
-

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Presentation to the Audit Committee of the Board of Regents – 2017 Audit Results

University of North Texas System ("System")

February 22, 2018

Attendees:

Ben Kohnle – Partner

Juliet Williams – Experienced Manager

Our Values are CLEARR

To achieve our global vision, we capitalize on our strengths by embracing the following values:

- Unite through global **Collaboration**
- Demonstrate **Leadership** in all we do
- Promote a consistent culture of **Excellence**
- Act with **Agility**
- Ensure deep **Respect** for people
- Take **Responsibility** for our actions

Our values serve as the foundation of each step we take toward achieving our vision. They guide our decision-making and provide a framework for our people to make correct and appropriate choices.



Responsibilities



Our Responsibilities

We are responsible for:

Performing an audit under US GAAS and *Government Auditing Standards* of the financial statements prepared by management, with your oversight

Forming and expressing an opinion about whether the financial statements are presented fairly, in all material respects in accordance with US GAAP

Forming and expressing an opinion about whether certain required supplementary information is fairly stated in relation to the financial statements as a whole.

Reading other information and considering whether it is materially inconsistent with the financial statements

- Read the other information (we do not corroborate it)
- Consider whether it is materially inconsistent with the financial statements
- Call to management's attention identified material inconsistencies or misstatements of fact, if any

Communicating specific matters to you on a timely basis; we do not design our audit for this purpose.

An audit provides reasonable, not absolute, assurance that the financial statements do not contain material misstatements due to fraud or error. It does not relieve you or management of your responsibilities. Our respective responsibilities are described further in our engagement letter.



Those Charged with Governance and Management Responsibilities

Those Charged with Governance

Those charged with governance are responsible for:

- Overseeing the financial reporting process
- Setting a positive tone at the top and challenging the System's activities in the financial arena
- Discussing significant accounting and internal control matters with management
- Informing us about fraud or suspected fraud, including its views about fraud risks
- Informing us about other matters that are relevant to our audit, such as:
 - System strategies and related business risks that may result in heightened risks of material misstatement
 - Matters warranting particular audit attention
 - Significant communications with regulators
 - Matters related to the effectiveness of internal control and your oversight responsibilities
 - Your views regarding our current communications and your actions regarding previous communications

Management

Management is responsible for:

- Preparing and fairly presenting the financial statements in accordance with US GAAP
- Designing, implementing, evaluating, and maintaining effective internal control over financial reporting
- Communicating significant accounting and internal control matters to those charged with governance
- Providing us with unrestricted access to all persons and all information relevant to our audit
- Informing us about fraud, illegal acts, significant deficiencies, and material weaknesses
- Adjusting the financial statements, including disclosures, to correct material misstatements
- Informing us of subsequent events
- Providing us with written representations

Audit Scope & Results



Audit Timeline

	FY15	FY16	FY17	Proposed FY18
Planning/Interim	Dec. 2015	Nov. – Dec. 2016	June – July 2017	June – July 2017
Final Fieldwork	April – Aug. 2016	Feb. – April 2017	Oct. – Jan. 2018	Oct. – Jan. 2018
Issue Financial Statements	August 2016	May 2017	Feb. 2018	Feb. 2018



Materiality

Materiality is the magnitude of an omission or misstatement that likely influences a reasonable person's judgment. It is ordinarily evaluated against relevant financial statement benchmark.

We believe that **total assets** is the relevant benchmark for the System.

Financial statement items greater than materiality are within our audit scope. Other accounts or classes of transactions less than materiality may be in our scope if qualitative risk factors are present (for example, related party relationships or significant unusual transactions).



Use of the Work of Others

Specialists

The audit team utilized an actuary within our Compensation and Benefits Consulting Practice to review the work of actuary used by the Teachers' Retirement System (TRS).

Subcontractors

Additionally, the audit team received direct assistance from two independent subcontractors during our audit testing. Serna & Company, P.C. and Gradient Solutions. This work was reviewed and managed by the Grant Thornton engagement team.

Assistance was provided by Serna & Company related to the testing of identified balances using procedures provided by and reviewed by GT personnel.

Gradient Solutions specializes in the use of computer data acquisition and analysis primarily for governmental entities. Assistance was provided by Gradient Solutions related to the performance of computer data acquisition and analysis in the areas of vendor disbursements, human resources and payroll.

Other Auditors

Our auditor's report on the System makes reference to the audit performed by Hankins, Eastup, Deaton, Tonn & Seay for the University of North Texas Foundation, Inc.

Significant Risks

The following provides an overview of the areas of significant audit focus based on our risk assessments.

Areas of focus	
Cash and Cash Equivalents	Tuition and fee revenue, discounts and allowances
Investments and Investment return	Federal, state, and other grant revenue
Accounts receivable and other assets	Legislative appropriations
Capital assets, depreciation, and amortization	Other revenues
Notes, loans and revenue bonds payable	Operating expenses
Accounts payable and other liabilities	Intercomponent Transfers
Payroll and related liabilities	
Net position classification	



Results of Financial Statement Audit

- Unmodified 'clean' opinion
- No scope limitations
- Open and effective communications with management
- No unresolved audit issues



Summary of Adjustments

- The below entries were identified by Grant Thornton and agreed to by management during the FY17 audit. The financial statements were revised for the two recorded entries.
- The magnitude of the recorded and unrecorded adjustments by financial statement category are as follows:

Description	Debit/(Credit) to:			
	Assets	Liabilities	Net Position	Net Income Effect
<u>Recorded adjustments</u>				
Amort Expense - Computer Software			1,844,627	1,844,627
Accumulated Amort - Computer Software	(1,844,627)			
Other Non-Op Expense			4,357,387	4,357,387
Accounts Payable		(4,357,387)		
Net impact	\$ (1,844,627)	\$ (4,357,387)	\$ 6,202,014	\$ 6,202,014

Unrecorded adjustments

Disc & Allow - Tuition and Fee				1,072,187
Disc & Allow - Scholarship Exp				(1,072,187)
Net impact	\$ -	\$ -	\$ -	\$ -
FY17 Report Balance, before PAJE	2,128,882,930	1,312,013,473	825,804,641	82,871,023
% Impact on Report Balance	0.00%	0.00%	0.00%	0.00%

- Details of the above entries can be found in the management representation letter

Internal Control Matters

Our responsibility

- Obtain reasonable assurance about whether the financial statements are free of material misstatement
- Our audit included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the System's internal control
- We express no opinion on the effectiveness of internal control
- Control deficiencies that are of a lesser magnitude than a significant deficiency were communicated to management.

Definitions

- A deficiency in internal control ("control deficiency") exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect, misstatements on a timely basis.
- A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the System's annual will not be prevented or detected on a timely basis.
- A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those responsible for oversight of the System's financial reporting.

Outflow Data Analysis – Computer Data Acquisition and Analysis

- Our audit included data analysis which focused on the outflow side of the System's operations and involved the following data files:
- Vendor Data Information
- Disbursement Data Information
- Human Resources and Payroll Data Information

The presentation summarizing the result of this analysis, and thoughts to optimize the results of the analysis, were presented to the University of North Texas System management team on January 31, 2018.



Other Required Communications

Disagreements with management

We had no disagreements with management, whether or not satisfactorily resolved, about matters that individually or in the aggregate could be significant to the System's financial statements or the auditor's report.

Management's consultations with other accountants

We are not aware of any consultations by management with other accountants.

Significant issues discussed with management

We encountered no significant difficulties, including:

- Significant delays in providing information
- Unnecessarily brief time to complete
- Unavailability of expected information or System personnel
- Restrictions imposed by management
- Extensive unexpected effort to obtain evidence

Quality of Accounting Practices and Alternative Treatments



Quality of Accounting Practices and Alternative Treatments

Accounting policies

Accounting principles used by the System are considered appropriate in all material respects.

Modifications to the auditor's report

There were no modifications to the audit report.

Accounting estimates

- Valuation of investments
- Contributions receivable
- Allowance for uncollectible accounts, including patient receivables
- Accruals for self insurance and pension plans based on actuarial assumptions

We performed tests to satisfy ourselves that these amounts were free from material misstatements.

Disclosures

- We assessed the footnote disclosures and consolidated financial statements for clarity and completeness.
- Footnote disclosures in the financial statements appear overall to be neutral, consistent, and clear.

Other Matters



Selected pronouncements effective for the year ended August 31, 2017 or subsequent periods

ASU	Effective Date	Impact
GASB 75 , Accounting and Financial Reporting for Postemployment Benefits Other than Pensions	Financial Reporting periods beginning after December 15, 2016.	Addresses accounting and financial reporting for OPEB that is provided to the employees of state and local government entities.
GASB 81 , Irrevocable Split-Interest Agreements	Financial Reporting periods beginning after December 15, 2016.	Addresses accounting and financial reporting for irrevocable split-interest agreements by providing recognition and measurement guidance for situations in which a government is a beneficiary of the agreement.
GASB 82 , Pension Issues – an amendment of GASB Statements No. 67, No. 68, and No. 73	Financial Reporting periods beginning after June 15, 2016.	Addresses certain issues that have been raised with respect to Statements No. 67, No. 68, and No. 73.

Selected pronouncements effective for the year ended August 31, 2017 or subsequent periods

ASU	Effective Date	Impact
GASB 83 , Certain Asset Retirement Obligations	Financial Reporting periods beginning after June 15, 2018.	Addresses the timing and pattern of recognition of a liability and corresponding deferred outflow of resources for asset retirement obligations (ARO).
GASB 84 , Fiduciary Activities	Financial Reporting periods beginning after December 15, 2019.	Addresses criteria for identifying fiduciary activities of all state and local governments.
GASB 86 , Certain Debt Extinguishment Issues	Financial Reporting periods beginning after June 15, 2017.	Addresses the guidance for transactions in which cash and other monetary assets acquired with existing resources, other than the proceeds of refunding debt, are placed in an irrevocable trust for the sole purpose of extinguishing debt.
GASB 87 , Leases	Financial Reporting periods beginning after December 15, 2019.	Addresses and creates a single model for lease accounting.

Commitment to Promote Ethical and Professional Excellence

We are committed to promoting ethical and professional excellence. To advance this commitment, we have put in place a phone and Internet-based hotline system.

The Ethics Hotline (1.866.739.4134) provides individuals a means to call and report ethical concerns.

The EthicsPoint URL link

- Can be found on our internal website
- Can be accessed from our external website
(https://secure.ethicspoint.com/domain/en/report_custom.asp?clientid=15191)

Disclaimer: EthicsPoint is not meant to act as a substitute for a System's "whistleblower" obligations.

Grant Thornton's Client Service Cycle

Grant Thornton's Client Service Cycle is our model for delivering high quality, personalized service. Our commitment to this recurring process helps us ascertain that you receive the full benefits of working with us, year after year.

- What issues/challenges are most important to you and your organization?
- What are your expectations for this project?
- How would you rate the team's overall service delivery?
- How can your Grant Thornton team bring additional value to your organization?
- Would you refer Grant Thornton to a friend or colleague?



This communication is intended solely for the information and use of management and the Audit Committee of the Board of Regents of the University of North Texas System and is not intended to be and should not be used by anyone other than these specified parties.



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February 22, 2018

Grant Thornton LLP
 1717 Main Street, Suite 1800
 Dallas, Texas 75201

Dear Sir or Madam:

We are providing this letter in connection with your audit of the consolidated financial statements of the business-type activities and discretely presented component unit of the University of North Texas System (“System”), which collectively comprise the statement of net position, statement of revenues, expenses and changes in net position, and statement of cash flows as of August 31, 2017, and the year then ended, and the related notes to the consolidated financial statements. We understand that your audit was made for the purpose of expressing an opinion as to whether the consolidated financial statements are presented fairly, in all material respects, in accordance with accounting principles generally accepted in the United States of America (“US GAAP”).

We have fulfilled our responsibility, as set out in the terms of the Engagement Letter, for the preparation and fair presentation in the consolidated financial statements of the respective financial position of the System, its discretely presented component unit, and the respective changes in financial position and cash flows in accordance with US GAAP. We acknowledge our responsibility for understanding and complying with the laws, regulations, and provisions of contracts and grant agreements and other matters applicable to the System. We further acknowledge our responsibility for the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to error or fraud, including programs and controls to prevent and detect fraud.

Certain representations in this letter are described as being limited to matters that are material. Items are considered to be material, regardless of size, if they involve an omission or misstatement of accounting information that, in light of the surrounding circumstances, makes it probable that the judgment of a reasonable person relying on the information would be changed or influenced by the omission or misstatement.

We confirm, to the best of our knowledge and belief, having made such inquiries as we considered necessary for the purpose of appropriately informing ourselves, as of February 22, 2018, the following representations made to you during your audit.

1. The consolidated financial statements referred to above, including the related notes, have been prepared and are fairly presented in conformity with US GAAP.
2. We have provided you with:
 - a. Access to all information of which we are aware that is relevant to the preparation and fair presentation of the consolidated financial statements, including all financial records, documentation of internal control over financial reporting, and related information

- b. Additional information you have requested for audit purposes
 - c. Unrestricted access to persons from whom you determined it was necessary to obtain audit evidence
 - d. Minutes of the meetings of Board of Regents and summaries of actions of recent meetings for which minutes have not yet been prepared. All significant board and committee actions are included in the summaries.
3. There have been no communications, written or oral, from regulatory agencies or others concerning noncompliance with, or deficiencies in, financial reporting practices.
4. We believe that the effects of the uncorrected financial statement misstatements in the accompanying schedule are immaterial, both individually and in the aggregate, to the consolidated financial statements taken as a whole. Refer to attachment A at the end of this letter.
5. There are no side agreements or other arrangements (either written or oral) that have not been disclosed to you.
6. There are no significant deficiencies or material weaknesses in the design or operation of internal control over financial reporting of which we are aware.
7. Except as disclosed to you, we have no knowledge of fraud or suspected fraud affecting the System involving:
 - a. Management
 - b. Employees who have significant roles in internal control, or
 - c. Others where the fraud could have a material effect on the financial statements.
8. We have no knowledge of any allegations of fraud or suspected fraud affecting the System's consolidated financial statements received in communications from employees, former employees, analysts, regulators, or others.
9. Except as disclosed to you, there are no known violations or possible violations of, or no known instances of noncompliance or suspected noncompliance with, provisions of laws, regulations, contracts, or grant agreements, or other matters whose effects should be considered by management when preparing the consolidated financial statements, as a basis for recording a loss contingency or for disclosure other than those discussed in the Notes to the consolidated financial statements and we have complied with all laws, regulations, contracts, grant agreements, and other matters, including all laws and regulations in adopting, approving, and amending budgets.
10. We have identified and disclosed to you all laws, regulations, contracts and grant agreements and other matters that have a direct and material effect on the determination of financial statement amounts.
11. We have established and maintained a process to address and track the status of audit findings, conclusions, and recommendations. We have provided to you our views on such matters, as well as planned corrective actions to be included in the report. We have also identified and informed you of findings and recommendations from previous audits, attestation engagements, or other studies that could have a material effect on the consolidated financial statements and whether any related recommendations were implemented or corrective actions taken.
12. The System has no plans or intentions that may materially affect the carrying value or classification of assets and liabilities.
13. We have disclosed to you the identity of the System's related parties and all related party relationships and transactions of which we are aware. Related party relationships and transactions and related amounts receivable from or payable to related parties (including sales, purchases, loans, transfers, leasing arrangements, and guarantees) have been properly accounted for and disclosed in the consolidated financial statements in accordance with US GAAP.

We understand that “related parties” include (1) affiliates of the System; (2) entities for which investments in their equity securities would be required to be accounted for by the equity method by the investing entity; (3) trusts for the benefit of employees, such as pension and profit-sharing trusts that are managed by or under the trusteeship of management; (4) principal bond holders of the System and members of their immediate families; and (5) management of the System and members of their immediate families.

Related parties also include (1) other parties with which the System may deal if one party controls or can significantly influence the management or operating policies of the other to an extent that one of the transacting parties might be prevented from fully pursuing its own separate interests; and (2) other parties that can significantly influence the management or operating policies of the transacting parties or that have an ownership interest in one of the transacting parties and can significantly influence the other to an extent that one or more of the transacting parties might be prevented from fully pursuing its own separate interests.

14. Significant estimates and material concentrations known to management that are required to be disclosed in accordance with GASB Statement No. 62 (GASB-62), *Codification of Accounting and Financial Reporting Guidance Contained in Pre-November 30, 1989 FASB and AICPA Pronouncements*, are properly disclosed in the financial statements.

Significant estimates are estimates at the date of the statement of financial position that could change materially within the next year. Concentrations refer to volumes of business, revenues, available sources of supply, or markets or geographic areas for which events could occur that would significantly disrupt normal finances within the next year.

15. The methods and significant assumptions used in making accounting estimates, including those measured at fair value, are reasonable, consistently applied, and result in a measurement appropriate for financial statement and disclosure purposes. Disclosures related to these estimates and fair value measurements are adequate, complete, and accurate. No events have occurred subsequent to the date of the consolidated financial statements through the date of this letter that would require adjustment to these estimates and fair value measurements, or the related disclosures included in the financial statements.
16. There are no financial instruments with off-balance sheet risk or financial instruments with concentrations of credit risk.
17. There are no guarantees, whether written or oral, under which the System is contingently liable.
18. Components of net position (net investment in capital assets, restricted, and unrestricted) are properly classified and, if applicable, approved.
19. Investments held by endowments are properly valued.
20. Provisions for uncollectible receivables have been properly identified and recorded.
21. The basis for the allocation of functional expenses is reasonable and complies with the requirements of the System’s various funding sources. In addition, the costs of joint activities are appropriately accounted for in accordance with the National Association of College and University Business Officers functional (programmatic) categories.
22. Revenues are appropriately classified in the statement of revenues, expenses and change in net position.
23. Interfund, internal, and intra-system activity and balances have been appropriately classified and reported.

24. Amounts due to and due from related components are valid payables/receivables and will be paid to/by respective System components.
25. Risk disclosures associated with deposit and investment securities and derivative transactions are presented in accordance with GASB requirements.
26. Capital assets, including infrastructure assets, are properly capitalized, reported, and if applicable, depreciated.
27. The accounting practice regarding whether to first apply restricted or unrestricted resources when an expense is incurred for purposes for which both restricted and unrestricted net position is available is appropriately disclosed.
28. The System's ability to continue as a going concern was evaluated and appropriate disclosures are made in the financial statements as necessary under GASB requirements.
29. There are no known actual or possible litigation, claims, or assessments that our legal counsel has advised us are probable of assertion whose effects should be considered by management when preparing the consolidated financial statements and that should be accounted for and disclosed in accordance with GASB Statement No. 62 (GASB-62), *Codification of Accounting and Financial Reporting Guidance Contained in Pre-November 30, 1989 FASB and AICPA Pronouncements* (FASB Accounting Standards Codification® (ASC) 450, *Contingencies*), except as disclosed in the consolidated financial statements.
30. There are no other liabilities or gain or loss contingencies that are required to be accrued or disclosed in accordance with GASB-62.
31. The System has satisfactory title to all owned assets, and there are no liens or encumbrances on such assets, nor has any asset been pledged as collateral, except as disclosed in the consolidated financial statements.
32. We acknowledge our responsibility for the Management Discussion and Analysis, the Schedule of the System's Proportionate Share of the Net Pension Liability, and the Schedule of the System's Contributions, which the Government Accounting Standards Board considers to be an essential part of financial reporting for placing the consolidated financial statements in an appropriate operational, economic, or historical context. Such required supplementary information is measured and presented in accordance with U.S. GAAP. The methods of measurement and presentation of the required supplementary information are consistent with those used in the prior period. We have disclosed to you the significant assumptions and interpretations underlying the measurement and presentation of the required supplementary information.
33. Except as disclosed to you, we believe any grant expenditures that could potentially be disallowed, and not reimbursed by the grantor, would be immaterial.
34. There were no material outstanding unemployment or workers' compensation claims pending at August 31, 2017.
35. There are no other receivables or payables that are greater than or equal to 5% of total receivables or payables and must be disclosed separately.
36. We believe that all information obtained from the Texas Retirement System (TRS) to include the audited consolidated financial statements, actuary reports, and disclosures related to pension and post-retirement liabilities and costs are appropriate in the circumstances, in accordance with GASB Statement No. 68 (GASB 68), *Accounting and Financial Reporting for Pensions* – an amendment of GASB Statement No. 27. The System has complied with all accounting and disclosure requirements of GASB 68.

37. In accordance with GASB Statement No. 72, Fair Value Measurements and Application, the University has categorized its financial instruments, based on the priority of inputs to the valuation technique, into a three-level fair value hierarchy. The fair value gives the highest priority to quoted prices in active markets for identical assets or liabilities (Level 1) and the lowest priority to unobservable inputs (Level 3). If the inputs that are used to measure the securities fall within different levels of the hierarchy, the categorization is based on the lowest level input that is significant to the fair value measurement of the instrument.
38. The University invests in certain external investment pools that meet the requirements outlined in GASB Statement No. 79, 'Certain External Pools and Pool Participants' to measure their investments at amortized cost for financial reporting purposes. According to this Statement, if an external investment pool meets the criteria in this Statement and measures all of its investments at amortized cost, the pool's participants also should measure their investments in that external investment pool at amortized cost for financial reporting purposes. As a result, we believe these investment pools are appropriately excluded from the tiering requirements of GASB Statement 72.
39. Bonuses in the amount of \$414,019 accrued at August 31, 2017 represents amounts earned in the period ending August 31, 2017. \$414,019 was paid subsequent to year end.
40. There are no known split-interest agreements or trusts held by third parties.
41. All events subsequent to the date of the consolidated financial statements through the date of this letter and for which US GAAP requires recognition or disclosure have been recognized or disclosed.

Very truly yours,

UNIVERSITY OF NORTH TEXAS SYSTEM

Lesa Roe, UNT System Chancellor

Bob Brown, Interim Vice Chancellor for Finance

Attachment A

Schedule of Uncorrected and Corrected Misstatements as of and for the year ended August 31, 2017

(1) Client PAJE 1 – Discount and Allowances were over-recognized for FY17

<u>Account Description</u>	<u>Amount DR (CR)</u>
Disc. & Allow – Tuition & Fee	\$1,072,187.22
Disc. & Allow – Tuition & Fee Exp.	(1,072,187.22)

(2) Client AJE 1 – To depreciate two assets put into service FY16

<u>Account Description</u>	<u>Amount DR (CR)</u>
Amort. – Computer Software	\$1,844,626.60
Acc. Amort. – Computer Software	(1,844,626.60)

Schedule of Subsequent Events as of and for the year ended August 31, 2017

(1) Client AJE 2 – Subsequent Event to 8/31/2017. HSC executed an agreement with the federal government to pay an additional amount, constituting a penalty, of \$4,357,387.41 to resolve the matter.

<u>Account Description</u>	<u>Amount DR (CR)</u>
Other Non-Op Expense	\$4,357,387.41
Accounts Payable	(4,357,387.41)

Quarterly Report of Audit Activities

Activities reflected within are as of December 31, 2017

Summary Statement

The information below is presented in more detail on the following slides:

- Audit and Management Advisory Services Reports Issued Matrix
- FY18 Annual Audit Plan Status
- Summary of Follow-up Activity
- Detail for Follow-up Activity

In response to the Board of Regents and Senior Leadership, Internal Audit is strengthening financial processes to meet challenges and be a trusted and valuable business partner.

Internal Audit concentrated on regulatory, compliance and process audits this quarter as well as completing the required Institute of Internal Auditors Quality Assurance Review Self-Assessment. Internal Audit teamed with the University Chief Compliance Officers to ensure a smooth transition to meet the requirements of new System Regulation 02.1000.

Audit and Management Advisory Services Reports Issued Matrix



Audit Name	Component Institution				Original Objectives	Issues Identified
	UNTS	UNT	UNTHSC	UNT Dallas		
Investments	X				Provide assurance that controls surrounding the investing activities are effective and efficient; as well as adherence with System Regulation 08.2000, UNT System Board of Regents Rule 10.100, and Public Funds Investment Act (PFIA) including State Auditor’s Office (SAO) Article III, Rider 5 reporting requirements.	<ul style="list-style-type: none"> Lack of adequate review process resulted in unauthorized sweep investment vehicles. Accrued investment interest income not recorded in the General Ledger.
THECB Family Medicine Residency Program (FMRP) Audit			X		Provide assurance that UNTHSC is in compliance with the FMRP Agreement requirements and the FMRP Guidelines for Funding.	<ul style="list-style-type: none"> Unexpended balance from prior year not spent due to inadequate monitoring process for expenditures. Annual Financial Report Instructions and Family Medicine Residency Program Guidelines were not followed. Fringe benefits were inaccurately categorized in the Annual Financial Report (AFR).
THECB Faculty Development Center Grant Audit (FDC)			X		Assess whether THECB program funds were expended in accordance with the terms and conditions of the FDC State Grant Agreement requirements and the FMRP Guidelines for Funding Operational and Support Programs.	<ul style="list-style-type: none"> Unallowable Costs were paid with THECB Funds (alcohol, tips and gratuities). Salaries and benefits recorded in the Annual Financial Report did not reconcile to the General Ledger. Expenditures recorded on the AFR were not recorded or reconciled in the General Ledger or Uniform Statewide Accounting System (USAS). Non-Compliance with Purchasing Card (P-Card) Guidelines due to transactions including State of Texas sales tax.

Audit and Management Advisory Services Reports Issued Matrix



Audit Name	Component Institution				Original Objectives	Issues Identified
	UNTS	UNT	UNTHSC	UNT Dallas		
Chancellor's Expenditure Review	X				Determine the expenditures' business purpose, reasonableness and whether the expenditures were in compliance with UNT System regulations and University policies, employment agreement provisions and state/federal laws.	No issues identified
President's Expenditure Review		X			Determine the expenditures' business purpose, reasonableness and whether the expenditures were in compliance with UNT System regulations and University policies, employment agreement provisions and state/federal laws.	<ul style="list-style-type: none"> • International Travel registration • Social Organization Membership Reimbursement calculations • Personally Identifiable Information
President's Expenditure Review			X		Determine the expenditures' business purpose, reasonableness and whether the expenditures were in compliance with UNT System regulations and University policies, employment agreement provisions and state/federal laws.	<ul style="list-style-type: none"> • Social Organization Membership Reimbursement calculations
President's Expenditure Review				X	Determine the expenditures' business purpose, reasonableness and whether the expenditures were in compliance with UNT System regulations and University policies, employment agreement provisions and state/federal laws.	No issues identified

Audit and Management Advisory Services Reports Issued Matrix



Audit Name	Component Institution				Original Objectives	Issues Identified
	UNTS	UNT	UNTHSC	UNT Dallas		
Provost Transition Audit		X			Determine whether processes, procedures and controls for cash handling, purchasing, travel expenses, and asset inventory were in compliance with University policies, System regulations, and best business practices.	<ul style="list-style-type: none"> Charn Faculty Award Funds were deposited into the incorrect faculty project ID, deposited late, and unused funds have not been returned to UNT Foundation since March 2016. Personally Identifiable Information
Enrollment Audit (Co-Sourced)		X			Assess the processes and controls related to accuracy and completeness of enrollment reported by the UNT Institutions. In addition, procedures and controls related to student attendance and accuracy and completeness of the audit roll were also assessed.	<ul style="list-style-type: none"> Evidence is not retained of monitoring to confirm timely audit roll completion
Enrollment Audit (Co-Sourced)			X		Assess the processes and controls related to accuracy and completeness of enrollment reported by the UNT Institutions. In addition, procedures and controls related to student attendance and accuracy and completeness of the audit roll were also assessed.	No issues identified
Enrollment Audit (Co-Sourced)				X	Assess the processes and controls related to accuracy and completeness of enrollment reported by the UNT Institutions. In addition, procedures and controls related to student attendance and accuracy and completeness of the audit roll were also assessed.	No issues identified

Audit and Management Advisory Services Reports Issued Matrix



Audit Name	Component Institution				Original Objectives	Issues Identified
	UNTS	UNT	UNTHSC	UNT Dallas		
Business Continuity Plan – College of Education		X			Provide Senior Leadership with an evaluation of the college's business continuity preparedness in the event of an IT service disruption. Determine if an inventory of IT services used by the college exists; determine if a Business Impact Analysis (BIA) has been performed; determine if a Business Continuity Plan (BCP) exists; and determine if the BCP has been tested.	A documented IT business continuity plan did not exist.
Business Continuity Plan – College of Business		X			Provide Senior Leadership with an evaluation of the college's business continuity preparedness in the event of an IT service disruption. Determine if an inventory of IT services used by the college exists; determine if a Business Impact Analysis (BIA) has been performed; determine if a Business Continuity Plan (BCP) exists; and determine if the BCP has been tested.	A documented IT business continuity plan did not exist.

Audit and Management Advisory Services Reports Issued Matrix



Audit Name	Component Institution				Original Objectives	Issues Identified
	UNTS	UNT	UNTHSC	UNT Dallas		
HSC Inventory Control Mgmt. Adv Services			X		<p>Review process of transferring state property (computers) to employees upon leaving UNTHSC.</p>	<p>Identified institutional practices that facilitated the following:</p> <ul style="list-style-type: none"> • Improper transfer of state property (computers) to individuals • Inappropriate reimbursement to individuals for personal expenditures • Potential federal income tax and payroll tax consequences <p>Identified operational inefficiencies related to handling of electronic equipment subject to litigation hold requests.</p> <p>Areas identified resulted in establishment of procedures to promote institutional effectiveness and increased compliance with UNTHSC policy and related state requirements.</p>

University of North Texas System Internal Audit FY18 Annual Audit Plan



Source	Functional Area	Title of Audit	Status	Component Institution				Identified Risk
				System	UNT	UNTHSC	UNT Dallas	
FY17 Audits Requiring FY18 Audit Hours:								
MGMT REQUEST	MANAGEMENT ADVISORY SERVICES	Graduate School of Biomedical Sciences 70/30 Rule	Mgmt Advisory Services Work Performed at Direction of HSC IA Work Completed			X		Financial; Operational; Reputational
CO-SOURCED	COMPLIANCE	Student-Managed Investment Fund (SMIF)	Issued November 2017 AC		X			Compliance; Reputational; Financial; Operational
CO-SOURCED	ACADEMIC	Scholarships Review	Issued November 2017 AC				X	Compliance; Reputational; Operational
INTERNAL AUDIT	FINANCIAL	Minor Capital Projects	Issued November 2017 AC		X			Financial; Operational; Contract Compliance; Economical and Efficient Use of Resources
MGMT REQUEST	FINANCIAL	Community Counseling	Issued November 2017 AC				X	Compliance; Reputational; Financial; Operational; Regulatory
INTERNAL AUDIT	FINANCIAL	Faculty and Staff International Travel	Issued November 2017 AC		X			Compliance; Operational; Reputational
MGMT REQUEST	FINANCIAL	College of Music Transition Audit	Issued November 2017 AC		X			Compliance; Reputational; Financial; Operational; Regulatory
MGMT REQUEST	FINANCIAL	Lifelong Learning & Professional Development Business Process Review	Issued November 2017 AC		X			Compliance; Reputational; Financial; Operational; Regulatory
CO-SOURCED	FINANCIAL	Financial Review of UNTHSC Reserves	Issued November 2017 AC			X		Financial; Operational; Reputational
MGMT REQUEST	INFORMATION TECHNOLOGY	Classroom Support Services	Issued November 2017 AC		X			Compliance; Operational; Reputational
MGMT REQUEST	MANAGEMENT ADVISORY SERVICES	Registrar's Office Business Process	Issued November 2017 AC				X	Reputational; Operational; Compliance with Policies

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 2)



Source	Functional Area		Title of Audit	Status	Component Institution				Identified Risk
					System	UNT	UNTHSC	UNT Dallas	
MGMT REQUEST	MANAGEMENT ADVISORY SERVICES		Graduate Medical Education	In-Process			X		Financial; Operational; Reputational
Total FY18 Hours Allocated for FY17 Audit Projects									
FY18 Hours Allocated for FY18 Audit Projects:									
MGMT REQUEST	COMPLIANCE	U	Primary Care Innovation Grant Validation	Mgmt Advisory Services Work Performed at Direction of THECB IA Work Completed			X		Compliance; Reputational; Financial; Operational; Regulatory
MGMT REQUEST	INTEGRITY UNIT	U	Inventory Control Management	Mgmt Advisory Services Work Performed at Direction of HSC IA Work Completed			X		Financial; Operational; Regulatory
REQ-BOARD OF REGENTS	COMPLIANCE		Chancellor's/President's Expenditure Reviews	Final Draft Report February 2018 AC	X	X	X	X	Compliance; Reputational; Financial; Operational
REQ-REGENT RULES AND ENTERPRISE POLICIES	COMPLIANCE		Investments	Final Draft Report February 2018 AC	X				Compliance; Reputational; Financial; Operational; Regulatory
REQ-REG AGENCY	COMPLIANCE		THECB Faculty Development Center Award	Final Draft Report February 2018 AC			X		Compliance; Reputational; Financial; Operational; Regulatory
REQ-REG AGENCY	COMPLIANCE		THECB Plaza Medical Center Family Medicine Residency Program (FMRP)	Final Draft Report February 2018 AC			X		Compliance; Reputational; Financial; Operational; Regulatory
MGMT REQUEST	FINANCIAL		Provost Transition Audit	Final Draft Report February 2018 AC		X			Compliance; Reputational; Financial; Operational
CO-SOURCED	STUDENTS		Enrollment	Final Draft Report February 2018 AC		X	X	X	Compliance; Reputational; Financial; Operational; Regulatory
INTERNAL AUDIT	INFORMATION TECHNOLOGY		Business Continuity Planning - College of Education	Final Draft Report February 2018 AC		X			Information Technology Security; Operational; Compliance with Policies; Reputational

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 3)



Source	Functional Area		Title of Audit	Status	Component Institution				Identified Risk
					System	UNT	UNTHSC	UNT Dallas	
INTERNAL AUDIT	INFORMATION TECHNOLOGY		Business Continuity Planning - College of Business	Final Draft Report February 2018 AC		X			Information Technology Security; Operational; Compliance with Policies; Reputational
MGMT REQUEST	COMPLIANCE	U	Out-of-State Teaching Fee Research Project	In-Process		X			Compliance; Reputational; Financial; Regulatory
REQ-REG AGENCY	COMPLIANCE		Cancer Prevention and Research Institute of Texas (CPRIT)				X		Compliance; Reputational; Financial; Operational; Regulatory
REQ-REG AGENCY	COMPLIANCE		Student-Managed Investment Fund (SMIF)			X			Compliance; Reputational; Financial; Operational
REQ-REG AGENCY	COMPLIANCE		Senate Bill 20 Mandated Internal Audit		X				Compliance; Reputational; Financial; Operational; Regulatory
CO-SOURCED	COMPLIANCE		Benefits Proportionality			X	X	X	Compliance; Reputational; Financial; Operational; Regulatory
INTERNAL AUDIT	ACADEMIC		Scholarships Review				X		Compliance; Reputational; Operational
MGMT REQUEST	ACADEMIC		Health Center/Counseling Center Audit	In-Process		X			Compliance; Reputational; Financial; Operational; Regulatory
MGMT REQUEST	FINANCIAL	U	Provost Transition Audit	In-Process			X		Compliance; Reputational; Financial; Operational
MGMT REQUEST	FINANCIAL	U	Deloitte Financial Remediation	In-Process	X				Compliance; Financial; Operational
MGMT REQUEST	FINANCIAL		School of Health Professions Financial Review	In-Process			X		Financial; Operational

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 4)



Source	Functional Area		Title of Audit	Status	Component Institution				Identified Risk
					System	UNT	UNTHSC	UNT Dallas	
MGMT REQUEST	FINANCIAL		College of Pharmacy Financial Review	In-Process			X		Financial; Operational
INTERNAL AUDIT	FINANCIAL		Minor Capital Projects Construction Audit			X			Compliance; Reputational; Financial; Operational; Regulatory
INTERNAL AUDIT	INFORMATION TECHNOLOGY		IT Asset Inventory Controls Audit	In-Process				X	Financial; Asset Misappropriation; Economical and Efficient Use of Resources; Safeguarding of Assets
INTERNAL AUDIT	INFORMATION TECHNOLOGY		Business Continuity Planning	In-Process		X	X	X	Information Technology Security; Operational; Compliance with Policies; Reputational
CO-SOURCED	INFORMATION TECHNOLOGY		IT Risk Assessment	In-Process	X				Information Technology Security; Operational; Compliance with Policies; Reputational
CO-SOURCED	INFORMATION TECHNOLOGY		IT Governance	In-Process	X				Information Technology Security; Operational; Compliance with Policies
MGMT REQUEST	INFORMATION TECHNOLOGY	U	Data Security for Network Multifunction Printers	In-Process				X	
INTERNAL AUDIT	NCAA		NCAA Compliance			X			Regulatory; Reputational; Compliance with Policies; Operational

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 5)



Source	Functional Area		Title of Audit	Status	Component Institution				Identified Risk
					System	UNT	UNTHSC	UNT Dallas	
INTERNAL AUDIT	OPERATIONAL	U	Property Management	In-Process			X		
MGMT REQUEST	OPERATIONAL	U	Biological Sciences Audit	In-Process		X			Financial; Operational
MGMT REQUEST	STUDENTS		Student Awareness and Training- Lab Safety	In-Process		X	X	X	Student Safety; Operational; Compliance with Policies; Reputational
INTERNAL AUDIT	RESEARCH		Grants and Contracts Compliance			X	X		Compliance; Reputational; Financial; Operational; Regulatory
INTERNAL AUDIT	RESEARCH		Effort Reporting Process			X	X		Compliance; Reputational; Financial; Operational; Regulatory
INTERNAL AUDIT	INTEGRITY UNIT		Investigations		X	X	X	X	
INTERNAL AUDIT	UNALLOCATED HOURS-UNTS				X				Financial; Operational; Asset Misappropriation; Economical and Efficient Use of Resources; Compliance with Policies; Safeguarding of Assets
INTERNAL AUDIT	UNALLOCATED HOURS-UNT					X			Financial; Operational; Asset Misappropriation; Economical and Efficient Use of Resources; Compliance with Policies; Safeguarding of Assets

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 6)



Source	Functional Area	Title of Audit	Status	Component Institution				Identified Risk
				System	UNT	UNTHSC	UNT Dallas	
INTERNAL AUDIT	UNALLOCATED HOURS-UNTHSC					X		Financial; Operational; Asset Misappropriation; Economical and Efficient Use of Resources; Compliance with Policies; Safeguarding of Assets
INTERNAL AUDIT	UNALLOCATED HOURS-UNT Dallas						X	Financial; Operational; Asset Misappropriation; Economical and Efficient Use of Resources; Compliance with Policies; Safeguarding of Assets
INTERNAL AUDIT	UNALLOCATED HOURS-IT			X	X	X	X	Information Technology Security; IT System Network Authorization and Access; Operational; Compliance with Policies; Reputational
INTERNAL AUDIT	UNALLOCATED HOURS-INTEGRITY UNIT			X	X	X	X	Financial; Operational; Asset Misappropriation; Economical and Efficient Use of Resources; Compliance with Policies; Safeguarding of Assets; Employee Misconduct, Conflicts of Interest; Reputational
REG-IIA PROFESSIONAL STANDARDS		Follow-Up of Prior Audits		X	X	X	X	Due Diligence

University of North Texas System Internal Audit FY18 Annual Audit Plan (page 7)



Source	Functional Area	Title of Audit	Status	Component Institution				Identified Risk
				System	UNT	UNTHSC	UNT Dallas	
FY18 Hours Allocated for Value-Added Management Services:								
		IA Quality Assurance Review Recommendation Triage						
		Workgroup - Payroll and Employee Benefit Process Review						
		Workgroup - Journal Entry Process Review						
		Workgroup - PCI DSS Compliance Process Review						
		Workgroup - UNTHSC Information Security/Privacy Committee						
		Workgroup - Co-Sourcing with PwC						
		Workgroup - NextGen						
		Workgroup - Clinical Billing, Coding and Revenue Cycle Compliance Committee/UNTHSC Compliance Committee						
		UNT Workgroups/Committees/Staff Meetings						
		Workgroup - UNT Academic Computing Workgroup						
		Workgroup - PeopleSoft Grants Billing and Accounts Receivable						
		Resource/Training - Subject Matter Experts to Stakeholders on Business and IT Processes, Policies and Procedures						
		Resource - Academic Course Faculty Supplemental Presentation						
		Resource - Shared Services Presentation						
		Ad Hoc Requests from Management						

Summary of Follow-up Activity

	Beginning Balance Open Recommendations (Past Due and Not Yet Due)	New Recommendations During This Quarter	Total Closed Recommendations During This Quarter	Total Current Open Recommendations	Open Recommendations- Not Yet Due	Open Recommendations- Past Due
UNTS	14	0	(2)	12	12	0
UNT	36	83	(47)	72	56	16
UNTHSC	34	6	(8)	32	32	0
UNT Dallas	1	40	(1)	40	40	0
Total	85	129	(58)	156	140	16

Questions?

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: Student Data Access Audit (Issued July 28, 2017)</p> <p>Report Number: 16-108 SYS</p> <p>Component Institution: UNT</p> <p>Department: Student Data Access</p> <p>Brief Description of Audit: Securing student safety in all aspects is the most important responsibility of the University. With this as the basis, Internal Audit initiated an audit directed toward the security of student cell phone information and what could be done to limit the risk exposure.</p>	<p>75 unauthorized users had access to personal student data including cell phone numbers on February 3, 2017.</p> <p>Recommendation for UNT Senior Director, Registrar:</p> <p>1.1.b. Separate the certification of completed FERPA training from the form to obtain access to student data, so that all users trained do not automatically receive access.</p>	<p>We agree. The registrar’s office will re-engineer current procedures and will separate the certification of completed FERPA training from the form to obtain access to student data, so that all users trained do not automatically receive access.</p> <p>Party responsible for implementation: Lynn McCreary, Registrar, UNT</p> <p>Expected Implementation Date: December 15, 2017</p> <p>Revised Implementation Date: March 1, 2018</p>	<p>2 months past due</p> <p>The Registrar’s Office underwent staffing challenges that delayed the implementation of this recommendation.</p> <p>To date, 4 of the 7 findings (57%) have been completed.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: Student Data Access Audit (Issued July 28, 2017)</p> <p>Report Number: 16-108 SYS</p> <p>Component Institution: UNT</p> <p>Department: Student Data Access</p> <p>Brief Description of Audit: Securing student safety in all aspects is the most important responsibility of the University. With this as the basis, Internal Audit initiated an audit directed toward the security of student cell phone information and what could be done to limit the risk exposure.</p>	<p>75 unauthorized users had access to personal student data including cell phone numbers on February 3, 2017.</p> <p>Recommendation for UNT Senior Director, Registrar:</p> <p>1.1.c. Change the access authorization form to include the user's job and employment status.</p>	<p>We agree. The registrar's office will redesign the access authorization form to include the users job and employment status.</p> <p>Party responsible for implementation: Lynn McCreary, Registrar, UNT</p> <p>Expected Implementation Date: December 15, 2017</p> <p>Revised Implementation Date: March 1, 2018</p>	<p>2 months past due</p> <p>The Registrar's Office underwent staffing challenges that delayed the implementation of this recommendation.</p> <p>To date, 4 of the 7 findings (57%) have been completed.</p>

UNT-Detail for Follow-up Activity



Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: Student Data Access Audit (Issued July 28, 2017)</p> <p>Report Number: 16-108 SYS</p> <p>Component Institution: UNT</p> <p>Department: Student Data Access</p> <p>Brief Description of Audit: Securing student safety in all aspects is the most important responsibility of the University. With this as the basis, Internal Audit initiated an audit directed toward the security of student cell phone information and what could be done to limit the risk exposure.</p>	<p>75 unauthorized users had access to personal student data including cell phone numbers on February 3, 2017.</p> <p>Recommendation for UNT Senior Director, Registrar:</p> <p>1.1.e. Annually review access lists based on documented risk management decisions.</p>	<p>We agree. The Registrar’s office will annual review access lists based on documented risk management decisions.</p> <p>Party responsible for implementation: Lynn McCreary, Registrar, UNT</p> <p>Expected Implementation Date: December 15, 2017</p> <p>Revised Implementation Date: May 15, 2018</p>	<p>2 months past due</p> <p>The department faced unexpected challenges related to job reclassifications and obtaining HR data. The Registrar’s Office has a new plan in place and expect the access list review to take place Spring 2018.</p> <p>To date, 4 of the 7 findings (57%) have been completed.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: PwC</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: Moderate</p> <p>Report Name: UNT Endowments (Issued February 6, 2017)</p> <p>Report Number: 16-303 UNT</p> <p>Component Institution: UNT</p> <p>Department: UNT Endowments</p> <p>Brief Description of Audit: The primary objective of this audit was to assess the effectiveness of processes in place to expend endowment distributions in compliance with donor agreements and institutional guidance. The review also included an evaluation of the processes related to gift planning/acceptance, management, and reporting.</p>	<p>There are communication and information gaps between the UNT Foundation, Advancement, SFAS, and the Colleges/Departments; and there is not a clear delineation of responsibilities and authority for the management of university disbursement accounts.</p> <p>1.1.a UNT should create a formal process for managing remaining balances in disbursement accounts and consider implementing a plan to cease distributions based on aging performed for each disbursement account's remaining balance. Additionally, UNT management should monitor the balances through the distribution of a university disbursement accounts report, addressed to the President of UNT, showing all disbursement account balances on a periodic basis.</p>	<p>Utilizing the new chart of accounts, UNT shall create a comprehensive report of all endowment/scholarship funds that shows the distribution of university disbursement account balances. Financial Aid and Scholarships will work in collaboration with Budget and Analytics, and Advancement, to develop the report. This report shall be generated monthly and distributed to the President, Divisional VP's, Deans and the Steering Committee. Each division and college shall designate a responsible party to review and reconcile the account balances. The Steering Committee (newly formed) shall monitor this activity, work to resolve issues, and escalate to division VP's and Deans as appropriate.</p> <p>I. The Division of Advancement has hired an Assistant Director of Donor Relations for Scholarship Stewardship and Compliance who will serve as a member of the university-wide team to create a formal process for managing balances in disbursement accounts.</p> <p>Party responsible for implementation: Collaboration between Mike Mlinac, UNT Foundation President & CEO; Finley Graves, Provost and Vice President for Academic Affairs; David Wolf, Vice President for Advancement; Shannon Goodman, Vice President for Enrollment; and Bob Brown, Vice President for Finance and Administration</p> <p>Expected Implementation Date: December 22, 2017 Revised Implementation Date: April 30, 2018</p>	<p>2 months past due</p> <p>The Vice President for University Advancement has discussed the need for a university-wide steering committee to investigate and implement processes. Due to competing priorities, issues regarding the implementation of the chart of accounts and lack of resources (due to the extended hiring freeze in 2017), the project has been delayed.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: PwC</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: Moderate</p> <p>Report Name: UNT Endowments (Issued February 6, 2017)</p> <p>Report Number: 16-303 UNT</p> <p>Component Institution: UNT</p> <p>Department: UNT Endowments</p> <p>Brief Description of Audit: The primary objective of this audit was to assess the effectiveness of processes in place to expend endowment distributions in compliance with donor agreements and institutional guidance. The review also included an evaluation of the processes related to gift planning/acceptance, management, and reporting.</p>	<p>There are communication and information gaps between the UNT Foundation, Advancement, SFAS, and the Colleges/Departments; and there is not a clear delineation of responsibilities and authority for the management of university disbursement accounts.</p> <p>1.3.a UNT should create a steering committee responsible for determining and implementing policies, processes, authority, and responsibility for various aspects related to managing scholarship and endowment awards and disbursement accounts. Specifically, UNT should consider implementation of an annual account-holder MOU-compliance certification letter and establishment of procedures for requiring Scholarship Committee Meeting Minutes as well as performing continuous monitoring of scholarship selections through review of the Minutes to ensure that MOUs are being followed appropriately and in alignment with donor wishes. The steering committee would also be responsible for 1) enhancement and distribution of existing policies and procedures to personnel responsible for managing endowment funds, 2) creating additional policies and procedures for internal reporting, and time limits/thresholds for accumulated unspent endowment distributions, 3) developing a detailed process flow document for the entire endowments process to be distributed to Colleges/Departments, and 4) implementation of formal endowment disbursement account training for all Colleges/Departments.</p> <p>Additionally, UNT should consider the use of new or existing software to assist in recording/monitoring the endowments process. For example, UNT should assess whether PeopleSoft 9.2 can be used to monitor transactions and balances as well as interface with the other established systems (i.e. Raiser's Edge in Advancement).</p>	<p>The Division of Enrollment has appointed the Executive Director of Financial Aid and Scholarships and the Director of Scholarships to serve as members on the recommended steering committee.</p> <p>The Division of Advancement has hired an Assistant Director of Donor Relations for Scholarship Stewardship and Compliance who will serve as a member of the recommended steering committee, along with the Director of Donor Relations and the Assistant Vice President for Donor Relations and Advancement Services.</p> <p>Party responsible for implementation: Collaboration between Mike Mlinac, UNT Foundation President & CEO; Finley Graves, Provost and Vice President for Academic Affairs; David Wolf, Vice President for Advancement; Shannon Goodman, Vice President for Enrollment; and Bob Brown, Vice President for Finance and Administration</p> <p>Expected Implementation Date: December 22, 2017</p> <p>Revised Implementation Date: April 30, 2018</p>	<p>2 months past due</p> <p>The Vice President for University Advancement has discussed the need for a university-wide steering committee to investigate and implement processes. Due to competing priorities, issues regarding the implementation of the chart of accounts and lack of resources (due to the extended hiring freeze in 2017), the project has been delayed.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: PwC</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: Moderate</p> <p>Report Name: UNT Endowments (Issued February 6, 2017)</p> <p>Report Number: 16-303 UNT</p> <p>Component Institution: UNT</p> <p>Department: UNT Endowments</p> <p>Brief Description of Audit: The primary objective of this audit was to assess the effectiveness of processes in place to expend endowment distributions in compliance with donor agreements and institutional guidance. The review also included an evaluation of the processes related to gift planning/acceptance, management, and reporting.</p>	<p>One out of the 14 MOUs sampled did not include all of the appropriate criteria in the revised gift agreement updated by the Office of Advancement.</p> <p>Advancement, responsible for donor solicitation as well as donor stewardship, revised a donor gift agreement for one sampled endowment in the College of Information in order to bring the gift agreement in alignment with current policy as the donor is deceased. However, the revisions made to the agreement did not include all of the required donor criteria from the original agreement, including GPA and career path requirements. Furthermore, the personnel responsible for the related disbursement account in the College of Information did not receive the updated gift agreement from Advancement. As a result, a review or reconciliation of the original and updated donor agreements to ensure that all criteria were appropriately transferred could not be performed.</p> <p>2.1.a The Office of Advancement should update the incorrect donor gift agreement for the endowment in the College of Information to include the correct criteria. Also, Advancement should consider formally documenting policies and procedures related to updating or changing MOUs and other gift agreements, including a review process to ensure all details of the updated memo are captured appropriately.</p>	<p>The Director of Donor Relations is drafting a memo to address the conflicting documentation for the endowment in question and will formally document the correct spending criteria according to original donor intent. This memo will be distributed to all appropriate parties on campus for record keeping purposes. Additionally, a Gift Agreement Handbook is currently in draft form written by Donor Relations to outline procedures currently used for writing new gift agreements/ memos and amending existing fund documentation. This handbook will include applicable laws the University must follow in regard to scholarship criteria, as well as University and UNT System policies that must be complied with in regard to gift acceptance and naming.</p> <p>Party responsible for implementation: Rachel Kimball, Director of Donor Relations</p> <p>Expected Implementation Date: December 22, 2017</p> <p>Revised Implementation Date: April 1, 2018</p>	<p>2 months past due</p> <p>The Gift Agreement Handbook is in the process of being drafted. There remains a lot of detail to be added and one of the key personnel is out on extended leave starting December 2017.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: Residence Hall - Student Safety Practices (Issued July 28, 2017)</p> <p>Report Number: 17-021 UNT</p> <p>Component Institution: UNT</p> <p>Department: Residence Halls</p> <p>Brief Description of Audit: Internal Audit performed an audit of the safety of students residing in University residence housing. Additionally, Internal Audit evaluated current evacuation plans and other safety measures. This audit is part of the approved audit plan for fiscal year 2017. The scope of this audit pertained to the current safety practices in place during fiscal year 2017.</p>	<p>No Background Check for Non-Employee Spouse Living in Residence Hall</p> <p>There is no background check performed for either a non-employee spouse or a non-employee acquaintance of a UNT employee when both are living in a UNT residence hall.</p> <p>This includes Hall Directors, Assistant Hall Directors, and Faculty-in-Residence. The current practice is to perform background checks of employees.</p> <p>Recommendation for Gina Vanacore, Director of Housing and Residence Life:</p> <p>2.1.a. Coordinate with UNT System Human Resources to ensure that a background check for either the spouse or the non-employee acquaintance of a UNT employee living in a residence hall be performed.</p>	<p>Human resources does not complete background checks for non-employees Director Vanacore will consult with university counsel and risk management to advise about whether or not background checks for the spouses of live in staff members is necessary and if so how to request and manage them.</p> <p>Party responsible for implementation: Gina M. Vanacore, Director of Housing and Residence Life</p> <p>Expected Implementation Date: December 31, 2017</p> <p>Revised Implementation Date: July 31, 2018</p>	<p>1 month past due</p> <p>Housing and Residence Life is working with the Office of General Counsel to determine next steps.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: Residence Hall - Student Safety Practices (Issued July 28, 2017)</p> <p>Report Number: 17-021 UNT</p> <p>Component Institution: UNT</p> <p>Department: Residence Halls</p> <p>Brief Description of Audit: Internal Audit performed an audit of the safety of students residing in University residence housing. Additionally, Internal Audit evaluated current evacuation plans and other safety measures. This audit is part of the approved audit plan for fiscal year 2017. The scope of this audit pertained to the current safety practices in place during fiscal year 2017.</p>	<p>Victory Hall Stairwell Tower</p> <p>Inadequate gate and barrier access to observation deck in Victory Hall.</p> <p>Currently, the gate is kept locked, but the observation deck can easily be accessed. Internal Audit noted evidence of people having been in the tower.</p> <p>Recommendations for Gina Vanacore, Director of Housing and Residence Life:</p> <p>8.1.a. Take appropriate action to properly prevent unauthorized access to the top observation level of the southwest tower Victory Hall location.</p>	<p>Director Vanacore will have a meeting with the UNT Police Department, Risk Management, Facilities, and housing and residence life staff regarding how to best secure and manage this area as it relates to code.</p> <p>Party responsible for implementation: Gina M. Vanacore, Director of Housing and Residence Life</p> <p>Expected Implementation Date: December 31, 2017</p> <p>Revised Implementation Date: July 31, 2018</p>	<p>1 month past due</p> <p>A design and procurement has been put in place for a structure to restrict access to this area. Given the work that needs to be done, it was determined it would be best to complete without students present at residence hall.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: Residence Hall - Student Safety Practices (Issued July 28, 2017)</p> <p>Report Number: 17-021 UNT</p> <p>Component Institution: UNT</p> <p>Department: Residence Halls</p> <p>Brief Description of Audit: Internal Audit performed an audit of the safety of students residing in University residence housing. Additionally, Internal Audit evaluated current evacuation plans and other safety measures. This audit is part of the approved audit plan for fiscal year 2017. The scope of this audit pertained to the current safety practices in place during fiscal year 2017.</p>	<p>Bruce Hall Access</p> <p>Floor door access to residence rooms do not have swipe card equipment to allow only residents to enter. Bruce Hall is open to all residents and non-residents because of the dining hall cafeteria. Once in the residence hall an individual has the ability to access floors with residents' rooms. In addition, once inside residence hall one has the ability to exit building thru some of the emergency exit doors that do not have surveillance cameras.</p> <p>Recommendation for Gina Vanacore, Director of Housing and Residence Life:</p> <p>9.1.a. Ensure a site evaluation is performed at Bruce Hall including Housing management and UNT Police department to determine the best alternatives to secure access to resident rooms in Bruce Hall.</p>	<p>Director Vanacore will conduct a meeting with the UNT Police Department, Risk Management, Facilities, and housing and residence life staff to determine the best alternatives to secure access to resident rooms in Bruce Hall as it relates to Code.</p> <p>Party responsible for implementation: Gina M. Vanacore, Director of Housing and Residence Life</p> <p>Expected Implementation Date: December 31, 2017</p> <p>Revised Implementation Date: July 31, 2018</p>	<p>1 month past due</p> <p>A design and procurement has been put in place for a structure to restrict access to these areas. Facilities is working with Purchasing to obtain all necessary materials.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: Moderate</p> <p>Report Name: Residence Hall - Student Safety Practices (Issued July 28, 2017)</p> <p>Report Number: 17-021 UNT</p> <p>Component Institution: UNT</p> <p>Department: Residence Halls</p> <p>Brief Description of Audit: Internal Audit performed an audit of the safety of students residing in University residence housing. Additionally, Internal Audit evaluated current evacuation plans and other safety measures. This audit is part of the approved audit plan for fiscal year 2017. The scope of this audit pertained to the current safety practices in place during fiscal year 2017.</p>	<p>Site Review</p> <p>Concerns with outdoor lighting, exit lighting, surveillance cameras, and exit door closures.</p> <p>Recommendation for Gina Vanacore, Director of Housing and Residence Life:</p> <p>17.1.a. Coordinate and conduct a safety site review at all the residence halls and their surrounding areas. Housing should include the following departments: UNT Police, Risk Management, and Facilities.</p>	<p>Director Vanacore will coordinate a residence hall safety advisory committee to review and evaluate recommendations for safety additions and enhancements. Members of this advisory committee will include students of the residence hall association, UNT PD, risk management, and facilities staff. Facilities will coordinate to make sure that housing facilities meet all applicable codes. UNT has a standard for outdoor lighting that housing facilities should meet.</p> <p>Party responsible for implementation: Gina M. Vanacore, Director of Housing and Residence Life</p> <p>Expected Implementation Date: December 31, 2017 Revised Implementation Date: July 31, 2018</p>	<p>1 month past due</p> <p>A site visit has been completed and they are currently working to compile and submit the report and determine any necessary actions that are warranted to be taken.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: PwC</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: Low</p> <p>Report Name: Student Managed Investment Fund (SMIF) (Issued August 24, 2017)</p> <p>Report Number: 17-303 UNT</p> <p>Component Institution: UNT</p> <p>Department: Student Investment Group (SIG)</p> <p>Brief Description of Audit: The objective of this review was to assess controls implemented around the SMIF and evaluate compliance with the donor agreement between the University of North Texas and Colonel Guy M. Cloud, Jr.</p>	<p>A broker services evaluation was not performed since appointment of Fidelity as the investment broker as required by the MOU. The Fidelity broker services have not been re-evaluated since establishing the account in 2008 as required by Section 9-2 of the MOU. The MOU requires the SIG under the supervision of faculty to “search for and retain the discount broker offering the lowest commission rates commensurate with the level and quality of service required.”</p> <p>1.1.a. The SIG should implement a documented Policy & process for periodically evaluating the cost and benefits of the investment broker.</p>	<p>Because of the small balance in the fund and the fact that the fund is currently with a leading low cost broker (Fidelity), the probability of acquiring better service for less cost seems unlikely. Regardless, the SIG shall implement and document a process for periodically evaluating the cost and benefits of the current broker compared to other options in the market.</p> <p>Party responsible for implementation: SIG Board of Directors</p> <p>Expected Implementation Date: December 31, 2017</p> <p>Revised Implementation Date: March 31, 2018</p>	<p>1 month past due</p> <p>This is delayed as SIG is a student run organization and has been delayed to accommodate holiday break and student time commitments.</p>

UNT-Detail for Follow-up Activity



Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: PwC</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: Low</p> <p>Report Name: Student Managed Investment Fund (SMIF) (Issued August 24, 2017)</p> <p>Report Number: 17-303 UNT</p> <p>Component Institution: UNT</p> <p>Department: Student Investment Group (SIG)</p> <p>Brief Description of Audit: The objective of this review was to assess controls implemented around the SMIF and evaluate compliance with the donor agreement between the University of North Texas and Colonel Guy M. Cloud, Jr.</p>	<p>Formally documented policies and procedures providing guidance on how day to day operations should be executed by the SIG do not exist. Although the SIG performs their day-to-day investment procedures following good practices, there is no documented procedure manuals to provide guidance to SIG members on executing day-to-day transactions. Due to the nature of the SIG and the regular turnover experienced with student members continuously changing, it would be beneficial for the day-to-day procedures to be formally documented in order to provide guidance to new members and serve as reference to current members when executing procedures, including executing transactions, preparing or reviewing the annual report, ensuring member meetings follow proper protocol, etc.</p> <p>2.1.a.The SIG should document their day to day controls and procedures in standard operating procedures to assist with training new SIG members or serve as reference to ensure actions are appropriately executed, including entering into purchase/sale transactions from inception to finalization, procedures followed during meetings, documenting meeting minutes, evaluating the investment broker as well as preparing/reviewing the annual report.</p>	<p>SIG shall formalize and adopt checklists and/or flow charts to provide guidelines to the SIG for day-to-day activities including meeting related procedures, trade approvals and evaluation of the SIG broker relationship.</p> <p>Party responsible for implementation: SIG Board of Directors</p> <p>Expected Implementation Date: December 31, 2017 Revised Implementation Date: March 31, 2018</p>	<p>1 month past due</p> <p>This is delayed as SIG is a student run organization and has been delayed to accommodate holiday break and student time commitments.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
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UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: PwC</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: Low</p> <p>Report Name: Student Managed Investment Fund (SMIF) (Issued August 24, 2017)</p> <p>Report Number: 17-303 UNT</p> <p>Component Institution: UNT</p> <p>Department: Student Investment Group (SIG)</p> <p>Brief Description of Audit: The objective of this review was to assess controls implemented around the SMIF and evaluate compliance with the donor agreement between the University of North Texas and Colonel Guy M. Cloud, Jr.</p>	<p>Meeting attendance is taken using manual attendance forms that are distributed during the meeting, giving students the opportunity to add names of students that did not attend the meeting.</p> <p>The student member attendance at SIG meetings are taken making use of manual attendance forms. On an annual basis, this information is used to allocate course credits for students attending SIG meetings, based on their number of meetings attended and relevant enrolled courses.</p> <p>5.1.a. The SIG in coordination with the FIREL chair should evaluate and, if viable, implement measures whereby meeting attendance can be taken either by swiping or scanning student IDs or manually verifying student IDs written on attendance forms.</p>	<p>FIREL department chair will work with the SIG Board of Directors to enable the use of the current card swiping system implemented at UNT, for taking student attendance at SIG meetings and will also assist in training SIG officers on its use.</p> <p>Party responsible for implementation: FIREL department chair in coordination with the SIG Board of Directors</p> <p>Expected Implementation Date: December 31, 2017</p> <p>Revised Implementation Date: March 31, 2018</p>	<p>1 month past due</p> <p>This is delayed as SIG is a student run organization and has been delayed to accommodate holiday break and student time commitments.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: College of Music Transition Audit (Issued November 20, 2017)</p> <p>Report Number: 17-412 UNT</p> <p>Component Institution: UNT</p> <p>Department: College of Music</p> <p>Brief Description of Audit: Internal Audit completed a transition audit in the College of Music. This audit was performed at the request of the new Dean, who joined the UNT College of Music in August 2016.</p>	<p>A bank account is being maintained on behalf of UNT. The Percussion Club is a student organization in the College of Music. The club is not a registered student organization with the Student Activities Center. The club maintains its own bank account. It is Internal Audit's understanding that access to this bank account is limited to two Percussion faculty members.</p> <p>Internal Audit reviewed monthly statements from August 2015 through May 2017 for this bank account, and the account contains UNT in its title. During a discussion with the Associate Vice Chancellor for Treasury, he confirmed that this account was not on the inventory list of authorized accounts.</p> <p>Recommendation for John Richmond, Dean of the College of Music, to coordinate with Larry Worthy, Special Assistant to the CFO/Vice President for Finance & Administration, to:</p> <p>6.1.a. Register the Percussion Club with the Student Activities Center, obtain a bank account authorized by UNT System Treasury, deposit club funds in the authorized account, and close the unauthorized account.</p>	<p>The Audit Report has been reviewed with Professor Ford, who will register the Percussion Club with the Student Activities Center. The unauthorized bank account will be closed and a new UNT account will be established.</p> <p>Party responsible for implementation: John Holt, Chair, Division of Instrumental Studies, in consultation with Mark Ford, Professor & Coordinator of Percussion</p> <p>Expected Implementation Date: October 31, 2017 Revised Implementation Date: March 1, 2018</p>	<p>3 months past due</p> <p>Applications for new student organizations will begin to be accepted January 29, 2018. The new UNT account will be established upon the Percussion Club becoming a registered student organization.</p>

UNT-Detail for Follow-up Activity

Audit Information	Finding and Recommendation(s)	Management Response(s)	Status
<p>Auditor: Internal</p> <p>Reporting Agency: UNT System Internal Audit</p> <p>Risk Category: Government and Regulatory Compliance</p> <p>Risk Level: High</p> <p>Report Name: LLPD Business Process Audit (Issued November 20, 2017)</p> <p>Report Number: 17-417 UNT</p> <p>Component Institution: UNT</p> <p>Department: LLPD Business Process</p> <p>Brief Description of Audit: Internal Audit conducted a business process review in Lifelong Learning and Professional Development (LLPD). LLPD reports directly to the President and manages Osher Lifelong Learning Institute (OLLI) at UNT, University of North Texas Retirees Association (UNTRA), and Chief Executive Round Table (CERT).</p>	<p>Internal Audit noted during an unannounced cash count at Lifelong Learning and Professional Development (LLPD), the safe contained checks that had been deposited via desktop deposit from 2014. LLPD employees stated they did not know how to retain the checks after deposit. There are no documented processes regarding check retention guidance for desktop deposit.</p> <p>Although cash handling sits at a System level, cash handling management is overseen by each individual component.</p> <p>Recommendation for Stephanie Reinke, Director of Lifelong Learning and Professional Development:</p> <p>6.2.a. Coordinate with Institutional Compliance Records Management to properly dispose of checks.</p>	<p>Management concurs with the finding and recommendation and will develop the aforementioned Desktop Deposit Procedures. Another feature of these procedures will be to describe a Cash receipt log that will contain the date checks are received, deposited and destroyed. Additionally, procedures will require disposal in a cross cut shredder. We will coordinate the procedure with Records Management and undergo records management training to bring the handling and storage of UNT records within LLPD to compliance and develop new office procedures. As of 10/19/2017, all LLPD employees have successfully completed Cash Handling Training.</p> <p>Party responsible for implementation: Stephanie Reinke, Director of Lifelong Learning and Professional Development</p> <p>Expected Implementation Date: November 30, 2017</p> <p>Revised Implementation Date: March 30, 2018</p>	<p>2 months past due</p> <p>LLPD is unable to attend training until March and will coordinate entire office training with Records Management to ensure all procedures are followed according to UNT and state policies.</p>

UNTS Enterprise Audit Report Inventory

Summary of UNTS Enterprise Audit Inventory



	Fiscal Year 2018				Fiscal Year 2017				Fiscal Year 2016				Fiscal Year 2015			
	Recommendations				Recommendations				Recommendations				Recommendations			
	# of Audits	Total	Open	Closed	# of Audits	Total	Open	Closed	# of Audits	Total	Open	Closed	# of Audits	Total	Open	Closed
UNTS	0	0	0	0	7	49	12	37	5	31	0	31	0	0	0	0
UNT	0	0	0	0	13	151	72	79	9	63	0	63	0	0	0	0
UNTHSC	0	0	0	0	7	71	32	39	4	47	0	47	0	0	0	0
UNTD	0	0	0	0	4	49	40	9	2	41	0	41	0	0	0	0
Total Internal Audit Activity	0	0	0	0	31	320	156	164	20	182	0	182	0	0	0	0

	Fiscal Year 2018				Fiscal Year 2017				Fiscal Year 2016				Fiscal Year 2015			
	Recommendations				Recommendations				Recommendations				Recommendations			
	# of Audits	Total	Open	Closed	# of Audits	Total	Open	Closed	# of Audits	Total	Open	Closed	# of Audits	Total	Open	Closed
Conducted by UNTS Component Institutions	0	0	0	0	0	0	0	0	1	1	0	1	0	0	0	0
Audits of Sponsored Research Activity	0	0	0	0	3	7	0	7	4	9	0	9	0	0	0	0
State Auditor's Office	0	0	0	0	1	5	0	5	3	7	0	7	0	0	0	0
Other External Agencies	0	0	0	0	19	314	39 ³	275	20	278	6 ²	272	1	1	0	1 ¹
Total Other Audit Agencies	0	0	0	0	23	326	39	287	28	295	6	289	1	1	0	1

¹ Texas Comptroller of Public Accounts Post-Payment Audit: Recommendation - System should verify months of service data for its employees and enhance its internal controls to prevent incorrect longevity payment amounts. In addition, the System should verify all personnel files and ensure that prior state service is properly verified and documented for its employees.

² Comprised of the following: 5 State Office of Risk Management recommendations; 1 State Fire Marshal's Office recommendation.

³ Comprised of the following: 1 State Office of Risk Management recommendation; 26 State Fire Marshal's Office recommendations; 12 Ventilation Assessment recommendations.

Questions?

When Values met Accountability A Lesson in Collaboration

In an abundance of counselors there is safety

Desiree Ramirez, CHC, CCEP
UNTHSC Chief Compliance & Integrity Officer

February 22, 2018



The Team

- **Concerned Employees**
- **Office of the Provost**
- **Office of Institutional Compliance and Integrity**
- **The Reporter**
- **Human Resources**
- **Office of Student Affairs**



Key Lessons

- **UNTHSC Value of Collaboration/Extraordinary Teamwork**
- **High trust by concerned employees and reporter for internal investigation**
- **Vested interest in the reputation of the institution**
- **Setting a space for integrity and confidentiality breeds cooperation**
- **Successful compliance needs collaboration, trust, awareness and leadership support**





EthicsPoint Overview

02.1000 Compliance and Integrity Program

5a. Reporting System: An individual who in good faith suspects a person of criminal conduct should contact law enforcement immediately. All other good faith suspicions of misconduct may be reported through the compliance and integrity hotline, which shall allow for good faith anonymous reporting, be maintained by the C&IP, and be prominently placed on the institution's home page.

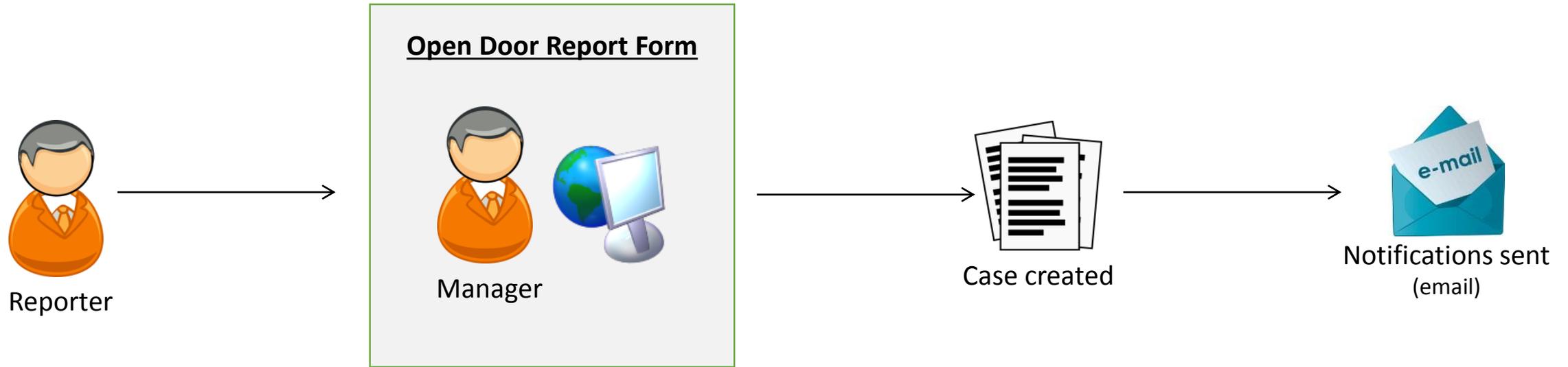


EthicsPoint Reporting Line

Capture and investigate ethics & compliance reports from across the organization in a centralized database. Collect issues through hotline services, web intake forms, face-to-face conversations, Open Door Incident Reports and concerns raised through questionnaires from [PolicyTech® management system](#). Documents all reports and case management assignments while streamlining workflows to ensure each report is addressed—and risks are managed.



Reporting Process: Open Door Report



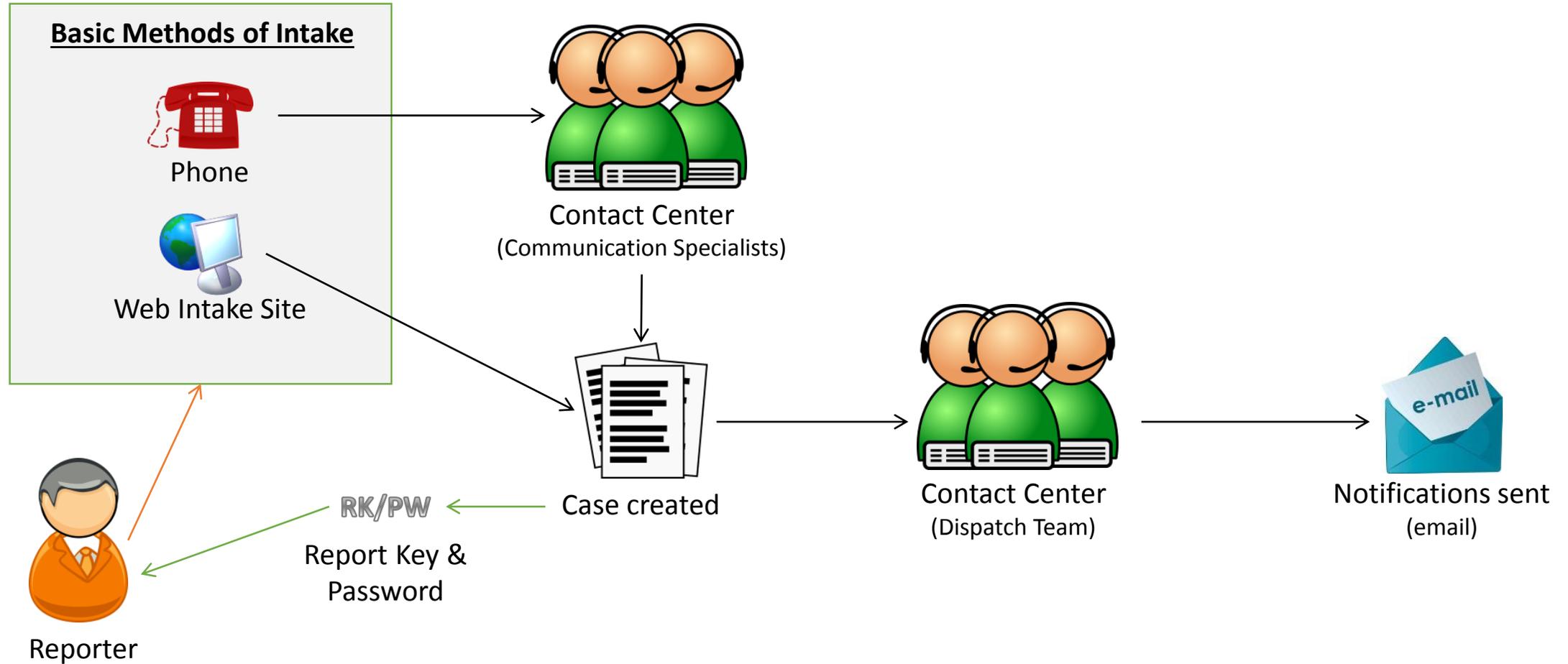
Statement of Purpose

The Open Door Report Form is intended for escalating and/or documenting any report or question that has been directed to you about any actual or potential misconduct related to our Code of Conduct, policies or the law. Please use this form to document matters which meet the descriptions in the drop down list you will find in this form. You will be providing the Firm with important data to help us manage reports of misconduct consistently. The details of your submission can only be accessed by authorized users. You may be contacted for additional information after review of your submission.

Items marked with a diamond ♦ are required fields.

Your Information <i>(You, the person completing the form)</i>	
Name & Contact Information	<input type="checkbox"/> Save "Your Name & Contact Information" for future use. <small>(By checking this box you agree to allow NAVEX Global to store your information in a "Cookie" on this computer.)</small>
	Prefix <input type="text"/> ♦ First Name <input type="text"/> M.I. <input type="text"/> ♦ Last Name <input type="text"/> <small>Select One</small>
	♦ Job Title <input type="text"/> ♦ Employee ID <input type="text"/>
	♦ Phone Number <i>(Preferred)</i> <input type="text"/> ♦ Email <input type="text"/> <small>Include the area code, extension, and/or dialing codes if applicable. Format: username@domain.com</small>
Reported By <i>(The person who brought this incident to your attention)</i>	
Reporter	♦ Was this issue/event raised by another individual? <input type="radio"/> Yes <input type="radio"/> No <small>Select One</small>
Location of Incident/Event <i>(Where the incident took place)</i>	
Location of Incident/Event	Click the button labeled "Look-Up" to locate and select a location. If the location you are looking for is not available, select the location labeled "Other / Not Listed", and then enter the location information in the form manually. Name <input type="text"/> City <input type="text"/> St./Prv. <input type="text"/> Country <input type="text"/> <input type="button" value="Look-Up"/> <input type="button" value="Clear"/>
Issue Selection	
Issue One	♦ Select the type of issue that best represents the incident. <input type="text"/> <small>(Select One)</small> Description <small>No type of issue or event selected.</small>
Incident Details	
Date	♦ Approximate date of issue/incident. <input type="text" value="mm/dd/yyyy"/> <input type="button" value="Calendar"/> <input type="button" value="Clear"/> <small>Format: mm/dd/yyyy</small>
Details	♦ Provide all details regarding the alleged violation, including the locations of witnesses and any other information that could be valuable in the evaluation and ultimate resolution of this situation. <input type="text"/>

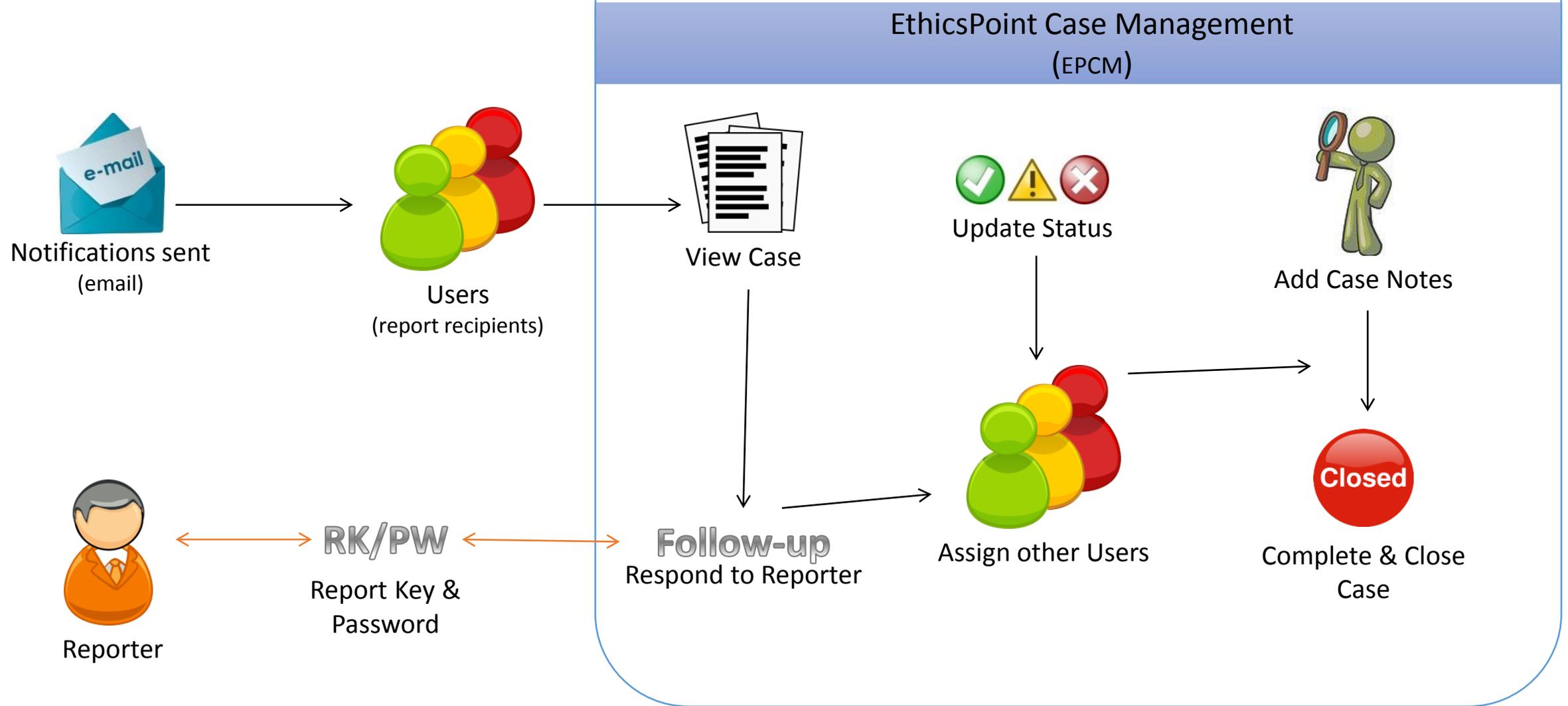
Reporting Process: Hotline & Web



EthicsPoint web intake site is mobile friendly, which means reporters can file concerns via a browser on their mobile device.

	<ul style="list-style-type: none">• Threat or Inappropriate Supervisor Directive• Time Abuse• Unsafe Working Conditions• Violence or Threat• Workers Compensation or Disability Benefits Abuses• Other Human Resource Matters
 Information Technology	<ul style="list-style-type: none">• Data Privacy/Integrity• Malicious / Inappropriate Use of Technology• Misuse of Resources• Software Piracy/Intellectual Property Infringement• Other Information Technology Matters
 Medical	<ul style="list-style-type: none">• Healthcare Fraud• HIPAA• Insurance Issues• Patient Abuse/Physical or Verbal• Patient Care• Patient Rights• Research Misconduct• Sponsored Projects Non-Compliance• Other Medical Research or Patient Matters
 Research	<ul style="list-style-type: none">• Conflict of Interest• Data Privacy• Environmental and Safety Matters• Fraud• Human or Animal Research• Intellectual Property Infringement, Misappropriation or Disclosure• Research Grant Misconduct or Misappropriation of Costs• Scientific Misconduct• Other Research Matters
 Risk and Safety Matters	<ul style="list-style-type: none">• Confidentiality• Data Privacy• Disclosure of Confidential Information• Environmental and Safety Matters• Improper Use of Intellectual Property, Copyright Violations or Software Piracy• Public Safety• Risk and Safety Matters - Improper Supplier or Contractor Activity• Sabotage or Vandalism• Unsafe Working Conditions• Other Risk and Safety Matters
 Other	<ul style="list-style-type: none">• Other• Suggestion• Concern• Inquiry

Case Management Process



EthicsPoint Report Examples

Table

Export: [Excel](#) [CSV](#) [PDF](#)

1 2 3 4 5 6 7 8 9 10

Case Number	Assigned Tier	Primary Issue	Intake Method	Opened	Organization/Building Name	Reporter First Name	Reporter Last Name	Reporter's Location
444	Ethics & Compliance	Conflict of Interest	PolicyTech Integration	2/8/2018		Maria	Poole	
443	Conflict of Interest	Conflict of Interest	PolicyTech Integration	2/8/2018		Melissa	Hamlett	
442	Ethics & Compliance	Conflict of Interest	PolicyTech Integration	2/8/2018		Scott	MacDonald	
441	Ethics & Compliance	Conflict of Interest	PolicyTech Integration	2/7/2018		Melissa	Hamlett	
439	Ethics & Compliance	Conflict of Interest	PolicyTech Integration	2/7/2018		Melissa	Hamlett	
440	Ethics & Compliance	Conflict of Interest	PolicyTech Integration	2/7/2018		Melissa	Hamlett	
438	Conflict of Interest	Conflict of Interest	PolicyTech Integration	2/7/2018		Melissa	Hamlett	
437	Conflict of Interest	Conflict of Interest	PolicyTech Integration	2/7/2018		Melissa	Hamlett	
436	Human Resources	Substance Abuse	Employee Walk-In	2/6/2018		Phil	Aubry	
435	Human Resources	Misconduct or Inappropriate Behavior	E-mail	2/5/2018		Jack	Kelly	
434	Human Resources	Discrimination or Harassment	E-mail	2/5/2018				
433	Ethics & Compliance	Conflict of Interest	PolicyTech Integration	2/1/2018		Melissa	Hamlett	
432	Human Resources	Misconduct or Inappropriate Behavior	Employee Walk-In	1/23/2018		sue	queue	
431	Ethics & Compliance	Discrimination or Harassment	Hotline Phone	1/18/2018				
430	Ethics & Compliance	Other	PolicyTech Integration	1/13/2018		Bernie	Stavis	
429	Ethics & Compliance	Conflict of Interest	PolicyTech Integration	1/10/2018		Melissa	Hamlett	
428	Ethics & Compliance	Conflict of Interest	PolicyTech Integration	1/10/2018		Melissa	Hamlett	
427	Ethics & Compliance	Other	PolicyTech Integration	12/29/2017		Will	Collenen	
425	Ethics Helpdesk	Data Privacy	Hotline Phone	12/21/2017				
424	Ethics & Compliance	Conflict of Interest	PolicyTech Integration	12/18/2017		Maria	Poole	

EthicsPoint Report Examples

HOME Search Cases Owen Beck

Unassigned Cases

Priority	Source	Case #	Date Opened	Assigned Tier	Primary Issue	More Info
		444	02/08/2018	Ethics & Compliance	Conflict of Interest	More Info...
		443	02/08/2018	Conflict of Interest	Conflict of Interest	More Info...
		442	02/08/2018	Ethics & Compliance	Conflict of Interest	More Info...
		441	02/07/2018	Ethics & Compliance	Conflict of Interest	More Info...
		439	02/07/2018	Ethics & Compliance	Conflict of Interest	More Info...
		438	02/07/2018	Conflict of Interest	Conflict of Interest	More Info...

Page 1 of 6

Summary - Intake Method

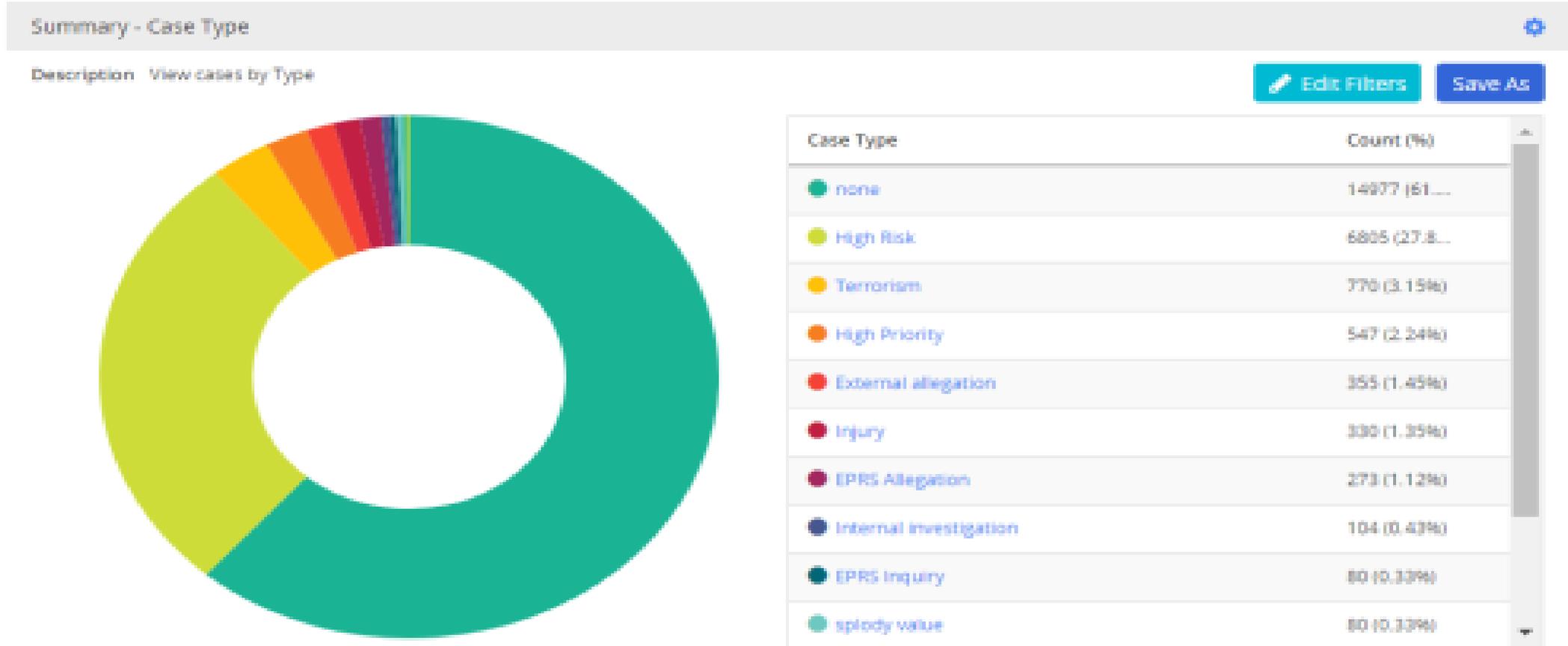
- PolicyTech Integration
- Employee Walk-In
- none
- E-mail
- Other

Summary - Issue Type

- Conflict of Interest
- Discrimination or Hara...
- Gifts and Entertainment
- Misconduct or Inappro...
- Other

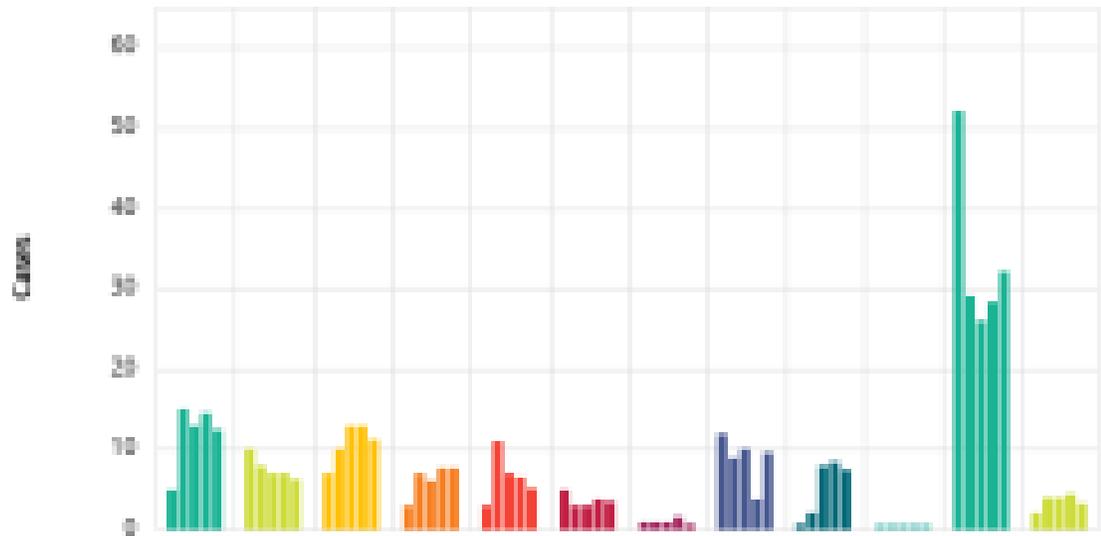
Summary - Alert Status

EthicsPoint Report Examples



EthicsPoint Report Examples

Baseline Statistics All Years

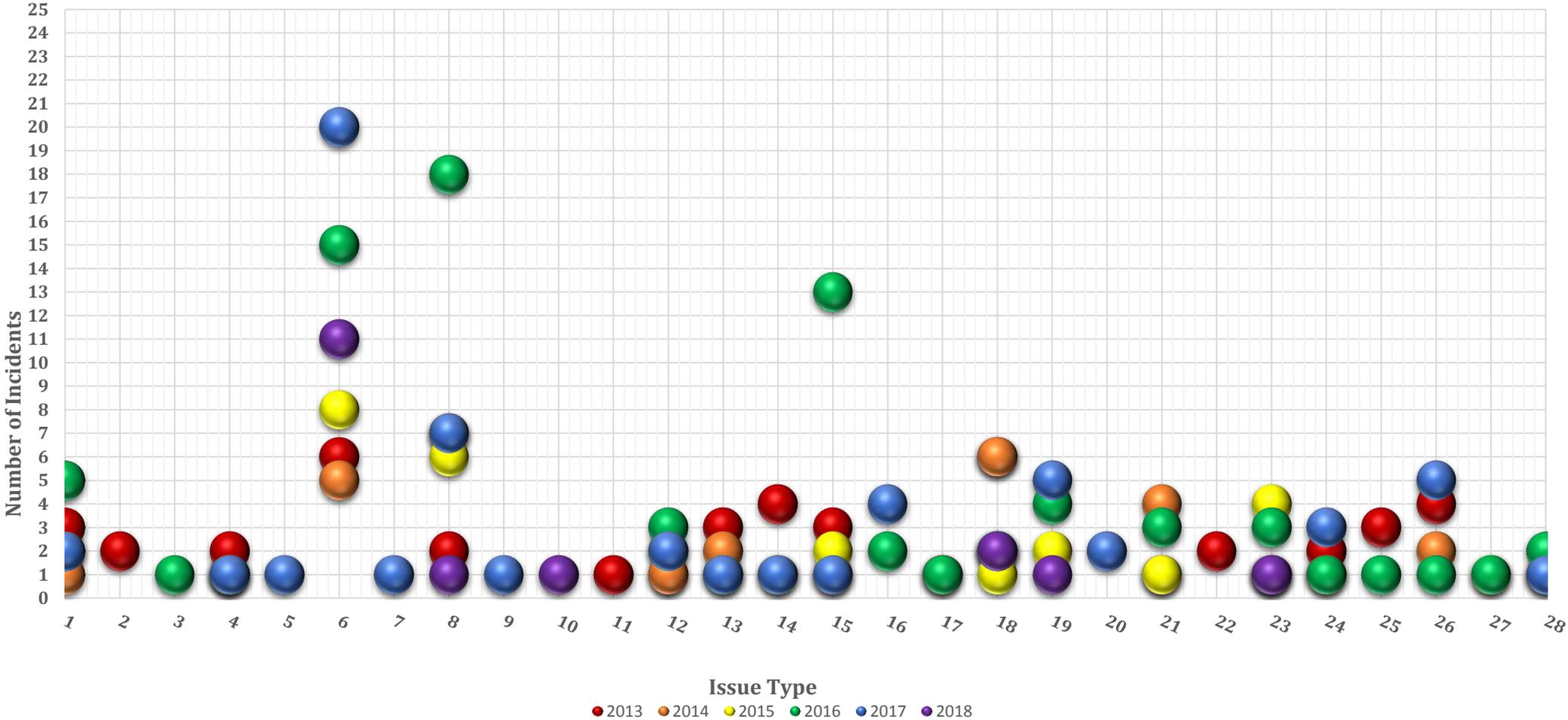


OCEG Category	2011	2012	2013	2014	2015
AIM Asset/Information Misuse...	5.00%	15.00%	13.00%	14.60%	12.43%
CC Company and Professional...	10.00%	8.00%	7.00%	7.10%	6.27%
F Corruption and Fraud	7.00%	10.00%	13.00%	13.00%	11.50%
CPC Customer / Partner / Comp...	3.00%	7.00%	6.00%	7.80%	7.74%
EHS Environmental, Health and...	3.00%	11.00%	7.00%	6.60%	5.19%
EBAA Equal Opportunity / Affirm...	5.00%	3.00%	3.00%	3.80%	3.61%
FIN Finance and Accounting Ma...	1.00%	1.00%	1.00%	1.60%	0.82%
I General Inquiry / Question	12.00%	9.00%	10.00%	3.80%	9.66%
OTHER General Inquiry / Question...	0.00%	2.00%	0.00%	8.50%	7.46%
G Government Activity	0.00%	0.00%	0.00%	0.20%	0.18%

EthicsPoint Report Examples

	Primary Issue	2013	2014	2015	2016	2017	2018	Grand Total
1	Accounting and Auditing Matters	3	1	2	5	2		13
2	Auditing and Accounting	2						2
3	Certification Misconduct				1			1
4	Computer Security	2	1		1	1		5
5	Concern					1		1
6	Conflict of Interest	6	5	8	15	20	11	65
7	Data Privacy					1		1
8	Discrimination or Harassment	2	7	6	18	7	1	41
9	Discrimination or Harassment : Age					1		1
10	Discrimination or Harassment : Disability						1	1
11	Door & Gate Security Findings	1						1
12	Embezzlement	1	1	2	3	2		9
13	Environmental Laws	3	2		1	1		7
14	Falsification of Contracts, Reports or Records	4		1		1		6
15	Gifts and Entertainment	3	1	2	13	1		20
16	Inquiry				2	4		6
17	Intellectual Property	1			1			2
18	Misconduct or Inappropriate Behavior	6	6	1	2	2	2	19
19	Other			2	4	5	1	12
20	Performance Improvement Plan					2		2
21	Sabotage or Vandalism	1	4	1	3			9
22	Securities Violations	2						2
23	Substance Abuse		1	4	3	1	1	10
24	Theft	2	1		1	3		7
25	Unsafe Working Conditions	3			1			4
26	Violation of Policy	4	2		1	5		12
27	Violation of Policy : Breach of Contract			1	1			2
28	Violence or Threat	1			2	1		4
	Grand Total	47	32	30	78	61	17	265

EthicsPoint Customer Created Report Example



Thank you.

Questions?



A grayscale collage of various athletes in action, including a football player in a helmet, a basketball player, a baseball player, and a volleyball player, all wearing UNT gear. The collage is faded and serves as a background for the text.

UNT ATHLETICS

NCAA Compliance Overview
Board of Regents Meeting
February 2018

OVERVIEW OF NCAA COMPLIANCE

- As a compliance department, we are responsible for managing all NCAA, Conference USA and UNT rules and policies as it relates to intercollegiate athletics across various areas including eligibility, financial aid, recruiting, awards and benefits, and playing seasons for all 16 sports programs, as well as the coaches, staff, administrators and boosters for Mean Green Athletics.
- Department Profile
 - 16 sports served
 - Over 330 student-athletes
 - 2 Full-time compliance staff
 - 5 Full-time academic support staff
 - 6 Part-time academic coaches
 - Tutors

MAJOR FUNCTIONS OF COMPLIANCE

- Rules education for coaches, staff, administrators, boosters and student-athletes
- Incoming and continuing eligibility certification
- Monitoring recruiting functions of all coaches
- Monitor student-athlete time demands and well-being issues
- Administer athletically related financial aid
- Investigating and reporting potential rules violations

INSTITUTIONAL CONTROL

- Institutional Control is a term that is often found at the core of the worst NCAA violations when a school is being cited for a lack of institutional control; however, it is important to note that institutional control itself is a good and essential concept that links intercollegiate athletics with higher education. Compliance is ultimately the responsibility of everyone who works with athletics, including those departments across campus.

CAMPUS UNITS WITH COMPLIANCE RESPONSIBILITIES

- Admissions
- Financial Aid
- Registrar's Office
- Student Accounts and Student Money Management
- Student Housing and Dining Services
- International Student Services

UNT ATHLETIC COMPLIANCE TEAM

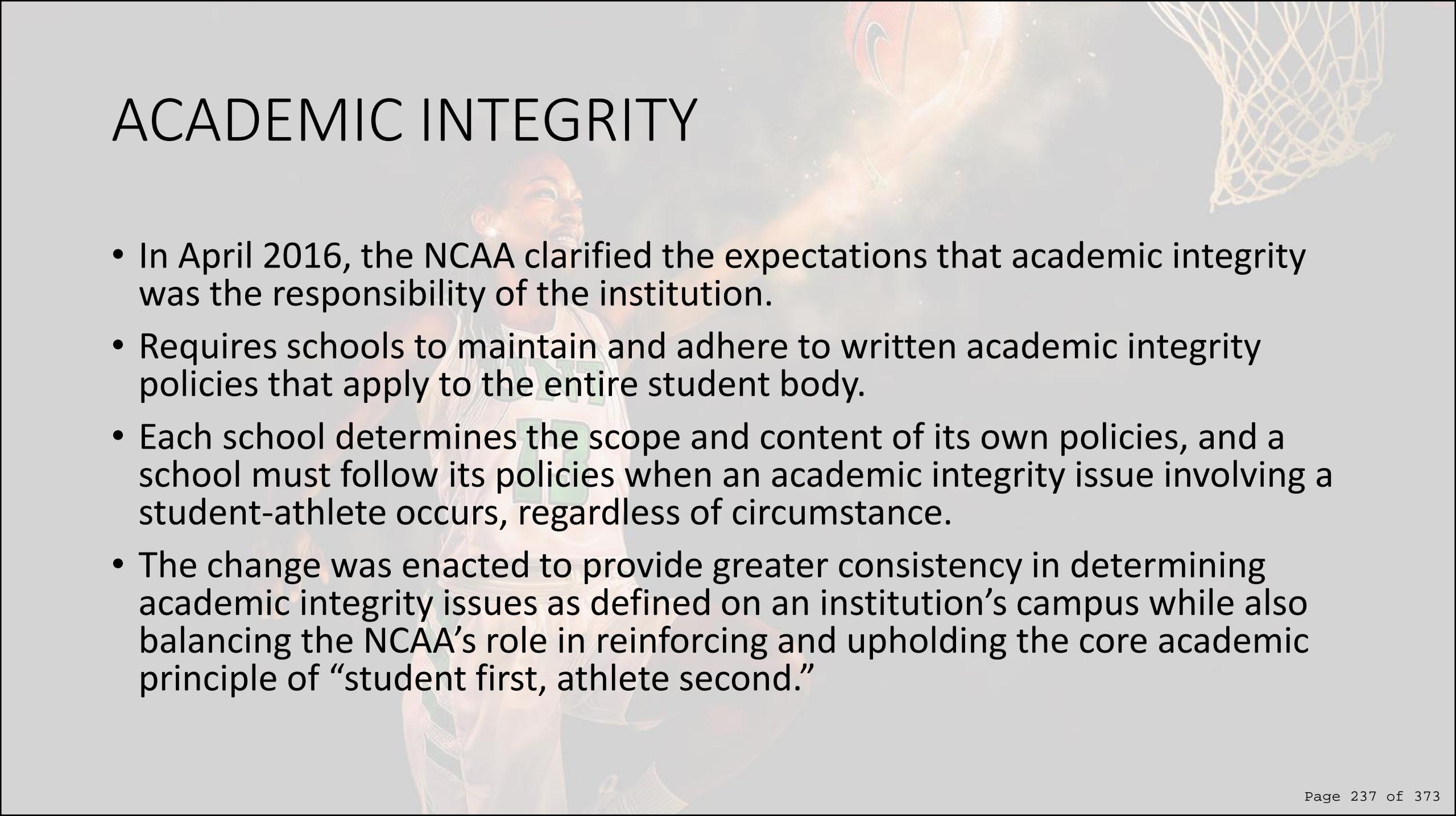
- Meets on a monthly basis.
- Addresses on-going and unique issues that may arise throughout the course of the academic year.
- Identifies ways to increase efficiencies, while best serving the needs of student-athletes.
- Participates in continuing education regarding NCAA, conference or institutional policies and procedures that may impact various departments on campus.

FACULTY ATHLETIC REPRESENTATIVE (FAR)

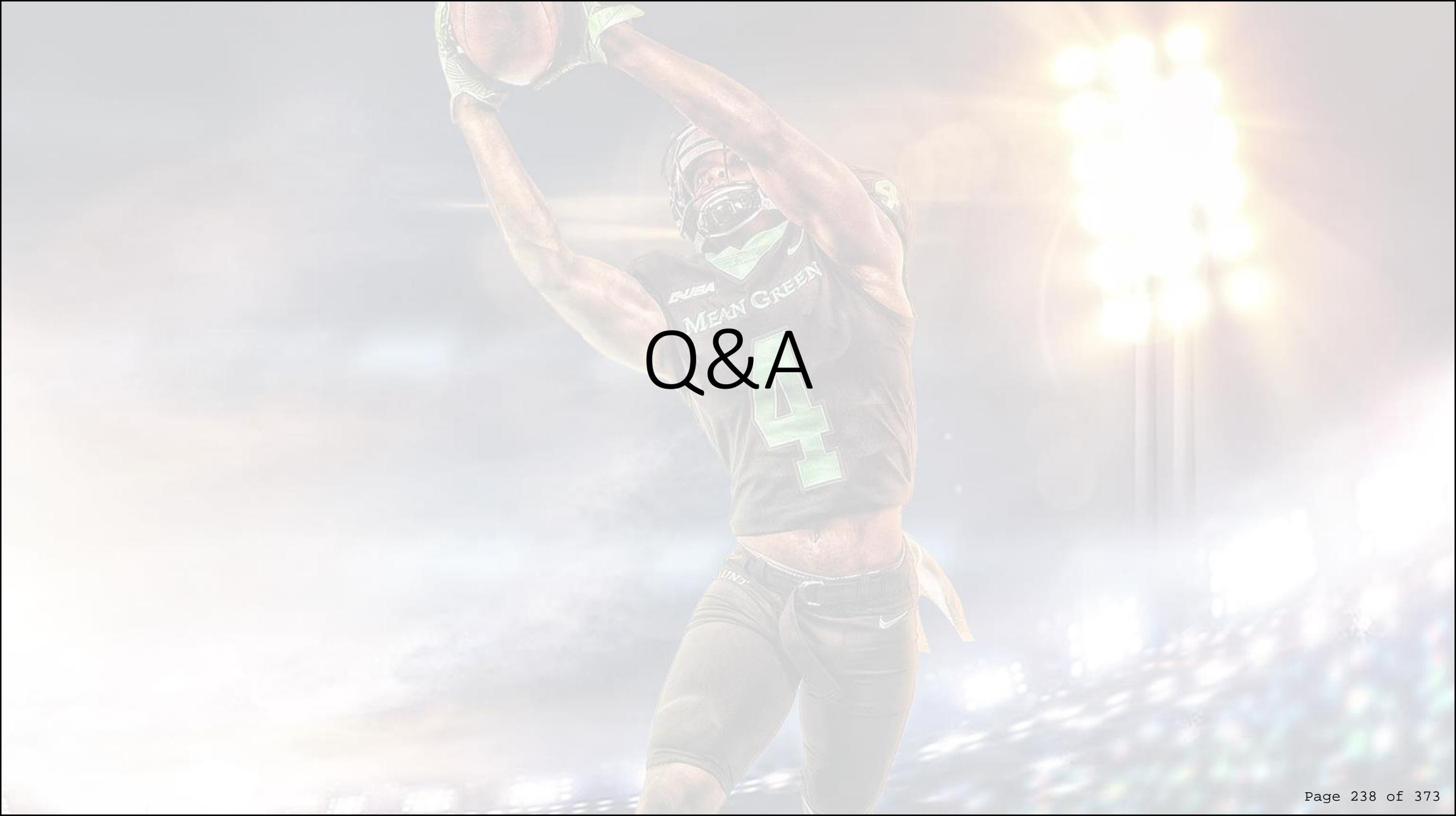
The Faculty Athletics Representative (FAR) serves as a liaison between academics and athletics. Specific compliance responsibilities include:

- Affirm importance of academic integrity both internally and externally within the university community; Operating autonomously to provide an essential element in the system of checks and balances to ensure a model athletics program.
- Provides regular reports to the Athletic Council and provides faculty information regarding NCAA legislation, academic integrity, rules violations, academic preparation and performance of student-athletes and any other matters pertaining to intercollegiate athletics.
- Serves as Chair of the Athletic Council that meets quarterly.

ACADEMIC INTEGRITY



- In April 2016, the NCAA clarified the expectations that academic integrity was the responsibility of the institution.
- Requires schools to maintain and adhere to written academic integrity policies that apply to the entire student body.
- Each school determines the scope and content of its own policies, and a school must follow its policies when an academic integrity issue involving a student-athlete occurs, regardless of circumstance.
- The change was enacted to provide greater consistency in determining academic integrity issues as defined on an institution's campus while also balancing the NCAA's role in reinforcing and upholding the core academic principle of "student first, athlete second."



Q&A

Board Briefing



Committee: Academic Affairs & Student Success

Date Filed: February 23, 2018

Title: Approval of University of North Texas Mission Statement

Background:

The leadership at the University of North Texas has been actively engaged in the development of a revised mission statement and strategic goals for the university. Our revised mission statement highlights the university's true purpose of preparing students for success. As a Carnegie-ranked Tier One research university, UNT will continue to grow its research enterprise, serve the state of Texas and expand its reach and impact through global partnerships. As the region's most comprehensive university, UNT will continue to lead the North Texas region in enrolling and graduating students, fueling the workforce and economy, and adding to the knowledge base. UNT will remain clearly focused on helping the state of Texas grow stronger and more competitive both nationally and globally. UNT continues to offer high-quality educational programs, focused on both giving students the best educational experience in Texas and contributing to the advancement of the state. UNT is focused on developing creative, insightful and informed citizens who are prepared for rewarding and evolving careers.

Our current Mission Statement is:

UNT is a major public research university deeply committed to advancing educational excellence and preparing students to become thoughtful, engaged citizens of the world. This is accomplished through a broad and balanced array of programs where well-prepared students and dedicated scholars and artists collaborate with our local and global communities in the creation, integration, application, and dissemination of knowledge. In this way, UNT creates an enriched and sustainable future for our students, state, nation and world.

Our new purpose statement provides context:

UNT is a public, tier-one research university with an intellectually stimulating, caring community that is deeply committed to the thoughtful inclusion and advancement of all students. This is accomplished through a broad and balanced array of academic, research, and co-curricular programs where dedicated students, scholars, and staff collaborate with local, regional, national, and global communities to innovate, integrate, apply, and disseminate knowledge that allows students to meet and exceed their career aspirations. In this way, the University of North Texas develops creative, insightful, and informed citizens who are prepared for rewarding, evolving careers and can contribute to a culturally rich society.

Our new vision statement provides direction:

University of North Texas will become a next-generation research university that is a global leader in education innovation.

Our proposed Mission Statement is:

At the University of North Texas, our caring and creative community prepares students for careers in a rapidly changing world and builds a more prosperous future.

Financial Analysis/History:

The Strategic Plan and Mission Statement are key components in the budgeting process.

Bob Brown Digitally signed by Bob Brown
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Texas System, ou=Interim Vice Chancellor for
Finance, email=bob.brown@unt.edu, c=US
Date: 2018.02.06 09:02:22 -06'00'

UNT Chief Financial Officer, and
UNTS Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Vice Chancellor/General Counsel

Schedule:

The revised Mission Statement is to be implemented upon approval of the Board of Regents.

Recommendation:

The President recommends that the Board of Regents approve the revised UNT Mission Statement.

Recommended By:

Neal J. Smatresk

President

Rosemary R.
Haggett, Ph.D.

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System, ou,
email=rosemary.haggett@untssystem.edu, c=US
Date: 2018.02.06 17:23:18 -06'00'

Vice Chancellor

Lesa B. Roe

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the Chancellor,
email=chancellor@untssystem.edu, c=US
Date: 2018.02.07 14:23:54 -06'00'

Chancellor



Board Order

Title: Approval of University of North Texas Mission Statement

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, the UNT System Board of Regents adopted a mission statement tied to the UNT Strategic Plan at their meeting on December 13, 2011, and

Whereas, President Neal Smatresk and the University's leadership determined that the Mission Statement needed to be revised

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The revised University of North Texas Mission Statement: At the University of North Texas, our caring and creative community prepares students for careers in a rapidly changing world and builds a more prosperous future.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

Board Briefing



Committee: Academic Affairs & Student Success

Date Filed: October 6, 2017

Title: Approval to Add the UNT Bachelor of Applied Science Degree Program with a Major in Learning Technologies

Background:

The University of North Texas (UNT) is requesting to add a Bachelor of Applied Science (BAS) degree program with a major in Learning Technologies, effective August 27, 2018. This degree program will be housed in the Department of Learning Technologies under the College of Information.

The BAS in Learning Technologies is designed to meet the rising workforce demand for learning technologies to support schools and educational enterprises. This degree will provide students with a broad knowledge of the tools, techniques, and methods used by learning technology professionals. Some of the areas of focus of this degree program are internet development technologies, game design and development technology, instructional technology, and information technology. The program will help graduates develop critical thinking skills and similar competencies needed in the learning technology profession. The program will educate a new generation of learning technology professionals capable of taking a leadership role through applied technologies and innovation to information and knowledge management initiatives within the organization. Admission to the program will require students to have Associate of Applied Science (AAS), or its equivalent.

There is an established need for this program. The Dallas Metropolitan area is ranked among the top 10 regions in the U.S. for technology-oriented businesses with an expected growth of at least 11% in the next 5 years. Some jobs that graduates from this degree program might obtain include instructional designers, instructional technologists, mobile device programmers/ software developers, distance learning technicians, web designers/developers, and learning game designers.

The proposed BAS degree program with a major in Learning Technologies will require 120 credit hours.

Financial Analysis/History:

The costs and funding for the BAS in Learning Technologies meet the Standards for Bachelor's and Master's Degree Programs established by the Coordinating Board Rules, Section 5.45, Criteria for new Baccalaureate and Master's Degree Programs. The total expenses over the first five (5) years is estimated at \$1,026,026. The expenses include funding for a new faculty member in year 2, adjunct salaries, and maintenance and operating costs. UNT's anticipated revenue over expense for the first five (5) years is \$2,721,149. The department will reallocate funds to cover program expenses as the program grows.

Bob Brown
Digitally signed by Bob Brown
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North Texas System, ou=Interim Vice
Chancellor for Finance,
email=bob.brown@unt.edu, c=US
Date: 2018.02.06 09:06:16 -06'00'

UNT Chief Financial Officer, and
UNTS Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
Digitally signed by Nancy S. Footer
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Texas System, ou=Office of General Counsel,
email=nancy.footer@untssystem.edu, c=US
Date: 2018.02.08 11:26:23 -06'00'

Vice Chancellor/General Counsel

Schedule:

Fall 2018

Recommendation:

The president recommends that the Board of Regents approve adding the BAS degree program with a major in Learning Technologies to the UNT degree program inventory.

Recommended By:

Jennifer Cowley

Provost and VPAA

**Neal
Smatresk**
Digitally signed by Neal Smatresk
DN: cn=Neal Smatresk, o=University
of North Texas, ou=President,
email=neal.smatresk@unt.edu, c=US
Date: 2018.02.06 09:29:15 -06'00'

President

**Rosemary R.
Haggett, Ph.D.**
Digitally signed by Rosemary R. Haggett, Ph.D.
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System, ou=
email=rosemary.haggett@untssystem.edu, c=US
Date: 2018.02.06 17:24:27 -06'00'

Vice Chancellor

Lesla B. Roe
Digitally signed by Lesla B. Roe
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ou=Office of the Chancellor,
email=chancellor@untssystem.edu, c=US
Date: 2018.02.07 14:25:26 -06'00'

Chancellor

Attachments Filed Electronically:

- THECB Certification Form for New Degree Programs



Board Order

Title: Approval to Add the UNT Bachelor of Applied Science Degree Program with a Major in Learning Technologies

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, UNT desires to add a BAS degree program with a major in Learning Technologies as an online program, and

Whereas, there is an established need for alternative options of program delivery to produce well-trained graduates in technical fields, and

Whereas, costs and funding needed for the BAS degree program with a major in Learning Technologies meets the Standards for Bachelor's and Master' Degree Programs established by the Coordinating Board Rules, Section 5.45, Criteria for New Baccalaureate and Master's Degree Program,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The addition of the UNT BAS degree program with a major in Learning Technologies
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



**Texas Higher Education Coordinating Board
Texas Public Institutions of Higher Education
New Bachelor's and Master's Program
Certification Form**

Directions: Texas public universities and health-related institutions complete this form to add a new bachelor's or master's degree program, if the following criteria for streamlined approval are met, per Texas Administrative Code, Coordinating Board rule, Chapter 5, Subchapter C, Section 5.44 (a) (3): (A) the proposed program program has institutional and board of regents approval, (B) the institution certifies compliance with the Standards for New Bachelor's and Master's Programs, (C) the institution certifies that adequate funds are available to cover the costs of the new program, (D) new costs to the program during the first five years of the program would not exceed \$2 million, (E) the proposed program is a non-engineering program, and (F) the proposed program would be offered by a university or health-related institution.

If the proposed program does not meet the criteria for streamlined approval, the institution must submit a request using the Full Request Form.

Information: Contact the Division of Academic Quality and Workforce at 512-427-6200

Administrative Information

1. Institution: University of North Texas
2. Proposed Program: Bachelor of Applied Science in in Learning Technologies
3. Proposed CIP Code: 41.9999
4. Semester Credit Hours Required: 120 SCH
5. Location and Delivery of the Proposed Program: Online
6. Administrative Unit: Department of Learning Technologies within the College of Information
7. Proposed Implementation Date: 08/27/2018
8. Contact Person:
Name: Cathie Norris
Title: Department Chair
E-mail: Cathie.Norris@unt.edu
Phone: 940.565.4189

Required Signatures

I certify that all of the following criteria have been met in accordance with the procedures outlined in Coordinating Board Rules, Chapter 5, Subchapter C, Section 5.44 (a) (3):

- (A) The proposed program has institutional and governing board approval.
- (B) The institution certifies compliance with the Standards for New Bachelor's and Master's Programs
- (C) The institution certifies that adequate funds are available to cover the costs of the new program.
- (D) New costs during the first five years of the program would not exceed \$2 million.
- (E) The proposed program is a non-engineering program.
- (F) The proposed program would be offered by a university or health-related institution.

I certify that my institution has notified all public institutions within 50 miles of the teaching site of our intention to offer the program at least 30 days prior to submitting this request. I also certify that if any objections were received, those objections were resolved prior to the submission of this request.

I certify that my institution will adhere to Texas Education Code, Article III, Sections 61.822 through 61.823, requiring my institution to accept and apply to the degree program Core Curriculum and Field of Study courses in transfer.

Chief Executive Officer Date

I hereby certify that the Board of Regents has approved this program.

Date of Board of Regents approval: _____

Board of Regents (or Designee) Date

Board Briefing



Committee: Academic Affairs & Student Success

Date Filed: January 25, 2018

Title: Approval of Tenure for New UNTHSC Faculty Appointee

Background:

In accordance with the University of North Texas Health Science Center (UNTHSC) Faculty Bylaws, *Article X – Tenure, Section A – Non-tenured Faculty Members on the Tenure Track*,

“...each new faculty member shall serve a minimum probationary term of no less than one year before application for tenure, unless the President, in special circumstances, recommends immediate tenure.”

Dr. Melissa A. Lewis received her PhD in Health and Social Psychology from North Dakota State University in 2005. She completed a National Institute on Alcohol Abuse and Alcoholism T32 postdoctoral fellowship at the University of Washington’s Center for the Study of Health and Risk Behaviors in 2007. Prior to joining UNTHSC on January 1, 2018, Dr. Lewis was a Professor in the Department of Psychiatry and Behavioral Sciences at the University of Washington. Dr. Lewis is nationally recognized for her research in risky sexual behavior and alcohol use among young people. She is one of the more productive and heavily cited authors in the addictions field. Her program of research has been funded by grants from the National Institute on Alcohol Abuse and Alcoholism, the Alcohol and Drug Abuse Institute, and the Alcohol Beverage Medical Research Foundation. Dr. Lewis has demonstrated tremendous success in obtaining extramural funding for her program of research over several years. She has authored more than 90 peer reviewed publications, many of which appear in top tier journals. Collectively, her research has been cited more than 5,400 times. Dr. Lewis’ recruitment fills a gap in research capacity that currently exists in the School of Public Health (SPH) around substance abuse and high-risk behaviors. Her productivity and national profile will substantially strengthen the School’s PhD program in Public Health Sciences. Her appointment as Professor with tenure in the Department of Health Behavior and Health Systems will significantly increase the visibility of the School in academic circles, improve our ability to recruit additional high-performing faculty, and assist with securing large-scale extramural funding.

Financial Analysis/History:

In general, the award of tenure carries with it the assurance of continued employment absent the showing of good cause for termination.

**Gregory R.
Anderson**

Digitally signed by Gregory R. Anderson
DN: cn=Gregory R. Anderson, o=University of
North Texas Health Science Center, ou=Executive
Vice President/CFO,
email=gregory.anderson@unthsc.edu, c=US
Date: 2018.02.05 10:02:03 -0600

Institution Chief Financial Officer

Bob Brown

Digitally signed by Bob Brown
DN: cn=Bob Brown, o=University of North Texas
System, ou=Interim Vice Chancellor for Finance,
email=bob.brown@unt.edu, c=US
Date: 2018.02.07 11:59:29 -0600

Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

**Nancy S.
Footer**

Digitally signed by Nancy S. Footer
DN: cn=Nancy S. Footer, o=University of
North Texas System, ou=Office of General
Counsel,
email=nancy.footer@untssystem.edu, c=US
Date: 2018.02.08 11:27:21 -06'00'

Vice Chancellor/General Counsel

Schedule:

Tenure will be effective immediately upon Board approval.

Recommendation:

The President recommends, with the concurrence of the Chancellor, that the Board of Regents authorize and approve the award of tenure for Dr. Melissa A. Lewis.

Recommended By:

Claire Peel

Interim Provost

**Michael R.
Williams**

Digitally signed by Michael R.
Williams
DN: cn=Michael R. Williams,
o=UNTHSC, ou=President,
email=michael.williams@unthsc.
edu, c=US
Date: 2018.02.05 11:42:46 -06'00'

President

**Rosemary R.
Haggett, Ph.D.**

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ou, email=rosemary.haggett@untssystem.edu, c=US
Date: 2018.02.06 17:25:08 -06'00'

Vice Chancellor

Lesla B. Roe

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Chancellor, email=chancellor@untssystem.edu, c=US
Date: 2018.02.07 14:28:05 -06'00'

Chancellor



Board Order

Title: Approval of Tenure for New UNTHSC Faculty Appointee

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, _____, in accordance with the University of North Texas Health Science Center (UNTHSC) Faculty Bylaws, *Article X – Tenure, Section A – Non-tenured Faculty Members on the Tenure Track*,

“...each new faculty member shall serve a minimum probationary term of no less than one year before application for tenure, unless the President, in special circumstances, recommends immediate tenure”, and

Whereas, Dr. Melissa A. Lewis is an outstanding educator and researcher, and

Whereas, Dr. Lewis meets the UNTHSC School of Public Health criteria for tenure and is endorsed by her Chair, Dean, Interim Provost, and the President,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The conferring of tenure to Dr. Melissa A. Lewis effective immediately upon Board approval.
-

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Title: Authorization to Amend the UNTS FY18 Capital Improvement Plan to Modify Project Budgets

Background:

The following amendments to modify the approved budget on three previously approved projects are presented:

- Project 16-2.50. Increase project budget for Life Science Exhaust to incorporate improvements to the exhaust system in Life Science Building B by \$2.75 million for a total project budget of \$5.95 million. Originally, the project scope was to provide replacement and upgrades to the exhaust system in only Life Science Building A. The additional scope will provide for comprehensive exhaust improvements within the entire facility.
- Project 16-2.77. Increase project budget of Wooten Hall Code Upgrades to accommodate the renovation of classrooms by \$530,000 for a total project budget of \$4.76 million. Classroom renovations in the facility were not originally included in the scope of the project. However, with construction activities already occurring throughout the facility, the additional scope allows for a single contractor to provide all the necessary improvements.
- Project 16-1.84. Cancel Phase Two of the New Residence Hall project (Project 16-1.84b) reducing the overall total budget by \$43.7 million. The total approved budget for Phase One of the project remains at \$58.9 million as previously amended in August 2017. The two phase project was originally approved in FY16 to provide for the phased construction of 1,000 beds. With subsequent evaluation, the campus has determined to complete the 500 beds and tour center in the Phase One project and to cancel the Phase Two project.

On November 17, 2017, the Board of Regents approved the UNTS FY18 Capital Improvement Plan (CIP) which identified capital projects for the UNT System. The requested action is to amend the UNTS FY18 CIP as described above.

Financial Analysis/History:

Funds for all listed FY18 projects have been allocated by the institutions and confirmed by signature on the plan documents by the President and CFO. Funding plans have been reviewed by the Interim Vice Chancellor for Finance.

UNT Chief Financial Officer and
UNTS Interim Vice Chancellor for
Finance

Legal Review:

This item has been reviewed by General Counsel.

Vice Chancellor/General Counsel

Schedule:

The planning, design and/or construction of the Capital Improvement Project schedules are detailed in the plan documents.

Recommendation:

It is recommended that the Board of Regents authorize and approve the following Board Order.

Recommended By:

James K. Davis

Associate Vice Chancellor for System
Facilities Planning and Development

President

Vice Chancellor

Chancellor

Attachments Filed Electronically:

- UNTS FY18 Capital Improvement Plan



Board Order

Title: Authorization to Amend the UNTS FY18 Capital Improvement Plan to Modify Project Budgets

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent and seconded by Regent _____, the Board approved the motion presented below:

Whereas, the Board of Regents approved the UNTS FY18 Capital Improvement Plan, and

Whereas, the FY18 Capital Improvement Plan has been updated to reflect the below, and

- Project 16-2.50. Increase project budget for Life Science Exhaust to incorporate improvements to the exhaust system in Life Science Building B by \$2.75 million for a total project budget of \$5.95 million. Originally, the project scope was to provide replacement and upgrades to the exhaust system in only Life Science Building A. The additional scope will provide for comprehensive exhaust improvements within the entire facility.
- Project 16-2.77. Increase project budget of Wooten Hall Code Upgrades to accommodate the renovation of classrooms by \$530,000 for a total project budget of \$4.76 million. Classroom renovations in the facility were not originally included in the scope of the project. However, with construction activities already occurring throughout the facility, the additional scope allows for a single contractor to provide all the necessary improvements.
- Project 16-1.84. Cancel Phase Two of the New Residence Hall project (Project 16-1.84b) reducing the overall total budget by \$43.7 million. The total approved budget for Phase One of the project remains at \$58.9 million as previously amended in August 2017. The two phase project was originally approved in FY16 to provide for the phased construction of 1,000 beds. With subsequent evaluation, the campus has determined to complete the 500 beds and tour center in the Phase One project and to cancel the Phase Two project.

Whereas, funds for Fiscal Year 2018 projects have been identified for expenditure in FY2018 budgets and for the completion of those projects which extend into later Fiscal years, and

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Amend the UNTS FY2018 Capital Improvement Plan to adjust the budgets for the three projects as indicated above.

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

FY18 (in \$Million)

University of North Texas

Proj. No.	Project	Funding Source	Prior Yrs Budget	FY18					Total Project
				2018	2019	2020	2021	2022+	
Previously Approved Projects:									
2.20	Matthews Hall MEP (Amended)	HEAF	1.20						1.20
2.21	Wooten Hall MEP (Amended)	HEAF	3.45						3.45
2.33	Willis Library MEP (Amended)	HEAF	5.16	4.69					9.85
2.34	Hickory Hall MEP	HEAF	3.00						3.00
16-1.20	College of Visual Arts and Design	TRB	27.00	38.00	5.00				70.00
16-1.84	New Residence Hall								
16-1.84a	New Residence Hall - Phase 1 (Amended)	RFS	17.04	28.68	13.18				58.90
16-2.25	General Academic Building MEP & Interior Renovation (Amended)	HEAF	0.75						
		RFS			9.60				10.35
16-2.50	Life Science Lab Exhaust Upgrade (Amended)	HEAF	3.20	2.75					5.95
16-2.55	Discovery Park MEP Upgrade (Amended)	HEAF		1.00	9.00	10.00	0.60		20.60
16-2.62a	Maple Common Area Renovation	AUX	1.65						1.65
16-2.65	Sycamore 2nd Floor Renovation (Amended)	HEAF	3.95						3.95
16-2.66	Coliseum Concourse Renovation	RFS	5.50	2.50					8.00
16-2.67	1500 I-35 Building (Amended)	RFS	10.50	2.00					12.50
16-2.77	Wooten Hall Code Upgrade (Amended)	HEAF	2.07	2.69					4.76
16-2.82	Track and Field Stadium (Amended)	RFS	4.00	5.90	2.00				
		AUX			1.69				13.59
16-2.85	Sage Hall Academic Success Center	HEAF Reserve	1.85						1.85
17-01-0001	Terrill Hall MEP & Interior Renovation (Amended)	HEAF	0.55	0.25	6.50				7.30
17-01-0002	Coliseum MEP Renovation (Amended)	RFS			0.90	9.50			10.40
17-01-0003	Kerr Hall MEP & Interior Renovation (Amended)								
		AUX	2.10	3.69					5.79
17-01-0004	USB MEP Renovation (Amended)	HEAF	0.30	3.30					3.60
17-01-0005	Discovery Park Bio-Medical Engineering Addition	RFS	2.00	15.40					17.40
17-01-0006	Sage Hall Academic Success Center Phase II (Amended)	HEAF Reserve	0.17						
		HEAF		2.75					2.92
17-01-0007	Life Science Building 4th Floor Laboratories Renovation (Amended)	RFS				0.70	6.30		7.00
17-01-0008	Coliseum Roof Replacement (Amended)	Local/Cash		2.43					2.43
17-01-0009	Off-Site Campus #2	Local/Cash	0.10	1.40					1.50
17-01-0010	New Classroom Building	RFS		2.20	22.90				25.10
17-01-0011	Hickory Hall Renovation	RFS		0.80	8.00				8.80
17-01-0012	Eagle Point Parking Lot #84	CP	2.20						2.20
Previously Approved Projects Total			97.74	120.43	78.77	20.20	6.90	-	324.04
New Projects for Approval:									
18-01-0001	Maple Hall MEP & Renovation	AUX		4.38					4.38
18-01-0002	Clark Hall Lobby Renovation	AUX		0.17	2.10				2.27
18-01-0003	Sage Hall Academic Success Center Phase III	HEAF		0.26	2.75				3.01
18-01-0004	Art Building Roof Replacement	CP		1.35					1.35
18-01-0005	Discovery Park Utility Capacity Upgrade	HEAF		2.00					2.00
18-01-0006	Music Building Courtyard Renovation	HEAF	0.09	1.50					
		GIFT			1.00				2.59
18-01-0007	New Dining Hall	RFS	0.20	3.50	15.28	6.49			25.47
18-01-0008	Fouts Field Demolition, Parking, and Bus Transfer Station	RFS		2.00	12.00				14.00
18-01-0009	Athletic Indoor Practice Facility	RFS		1.50	9.90				
		GIFT			7.50				18.90
New Project for Approval Total			0.29	16.66	50.53	6.49	-	-	73.97
Planned Projects with Identified Funding Sources:									
01-0010	Administration Building Renovation	HEAF				1.70	7.20	10.00	18.90
01-0011	Curry Hall MEP	HEAF			0.52	5.25			5.77
01-0012	Underground Utility Repairs	HEAF			0.28	2.73			3.01
01-0013	PAC Foundation Repairs	HEAF				0.20	1.95		2.15
01-0014	Physical Education Building (PEB) MEP & Interior Renovation	HEAF				1.75	17.35		19.10



FY18 (in \$Million)

University of North Texas

Proj. No.	Project	Funding Source	Prior Yrs	2018	2019	2020	2021	2022+	Total Project
			Budget						
01-0015	Language Building MEP	HEAF			0.45	5.62	-		6.07
01-0016	Demo and Build New Business Svs Whse	AUX					1.25		1.25
01-0017	SRB MEP Renovation	HEAF				0.26	2.63		2.89
01-0018	RTFP MEP Renovation	HEAF			0.75	7.00			7.75
01-0019	Sage Hall Academic Success Center - Phase IV	HEAF			0.24	1.76			2.00
01-0020	Welch St Complex #2 Demolition	HEAF			1.50				1.50
01-0021	Chilton Classroom & Restrooms	HEAF			0.40	4.00			4.40
01-0022	Discovery Park Greenhouse Addition	RFS			3.00				3.00
01-0023	ESSC Envelope Upgrade	HEAF			7.80				7.80
01-0024	New Science & Tech Research Building	TRB				11.76	50.00	36.24	98.00
01-0025	Union Circle Drive Re-surface	AUX				2.00			2.00
01-0026	USB Interior Renovation	HEAF				0.25	2.50		2.75
Planned Projects with Identified Funding Sources Total			-	-	14.94	44.28	82.88	46.24	188.34
Planned Land Acquisitions									
3.01	Land Acquisitions per Master Plan	HEAF		1.00	1.50	1.50	1.50	1.50	7.00
Planned Land Acquisition Total			-	1.00	1.50	1.50	1.50	1.50	7.00
Capital Improvement Plan Total			98.03	138.09	145.74	72.47	91.28	47.74	593.35

Planned Project without Identified Funding Sources

New Construction:

- New Athletics Indoor Practice Facility
- New Sports Arena

Renovation:

- Athletic Center Renovation and Expansion
- Music Building Renovations

Summary by Funding Source

Funding Source	Funding Source	Prior Yrs	2018	2019	2020	2021	2022+	Total
		Budget						
HEAF	HEAF	23.72	22.19	31.69	42.02	33.73	11.50	164.85
HEAF Reserve	HEAF Reserve	2.02	-	-	-	-	-	2.02
Tuition Revenue Bonds	TRB	27.00	38.00	5.00	11.76	50.00	36.24	168.00
Commercial Paper	CP	2.20	1.35	-	-	-	-	3.55
Private Placement	PP	-	-	-	-	-	-	-
Revenue Bonds	RB	-	-	-	-	-	-	-
Revenue Financing System Bonds	RFS	39.24	64.48	96.76	16.69	6.30	-	223.47
Auxiliary Reserves	AUX	3.75	8.24	3.79	2.00	1.25	-	19.03
Grants	GRNT	-	-	-	-	-	-	-
Student Fees	SF	-	-	-	-	-	-	-
Housing Revenue	HR	-	-	-	-	-	-	-
Gift/Donations	GIFT	-	-	8.50	-	-	-	8.50
Annual Budget, Operating and Capital	Local/Cash	0.10	3.83	-	-	-	-	3.93
Total		98.03	138.09	145.74	72.47	91.28	47.74	593.35

Approved

 President



Title: Request for Increase of UNT Intercollegiate Athletic Fee and Possible Change in Student Services Fee

Background:

In the fall of 2008 the Student Government Association conducted an election in which the student body voted to institute an Intercollegiate Athletic Fee for use by the athletic department only for financing, constructing, operating, maintaining or improving athletic facilities or for operating an intercollegiate athletics program at the University. The vote stated that the fee could not be collected until Apogee Stadium was open for use by the University, which was the Fall of 2011. In the spring of 2009 the Texas State Legislature passed a bill instituting the fee of \$10 per semester credit hour, capped at 15 semester credit hours (SCH). Per the legislation, an increase of 10% or more of the amount of the fee last approved by a student vote requires approval by a majority vote of the enrolled students who participate in a general student election held for that purpose. The requested increase of \$6.25 per Semester Credit Hour (SCH) was approved by a majority student vote at a student referendum election that concluded on February 16, 2018.

The athletic fee has not been increased since its inception. However, the cost of doing business has risen with increased scholarship, travel, equipment, medical and operational costs. In that same time span the athletic department has increased its own annual revenues significantly. These funds, combined with private capital donations, have funded infrastructure support and improvements as well as the construction and renovation of several facilities. Additionally, improvements in student-athlete support and welfare (academics, sports medicine, nutrition, conditioning, etc.) have been implemented. While expanding the infrastructure and physical plant of the department, Athletics has not assumed any additional capital debt service. A portion of the athletic fee income is used to service the debt on Apogee Stadium.

In addition to the financial benefits of joining Conference USA (CUSA), the department and the University have gained an increased level of accessibility and visibility which is critical to continued growth. As a reference point for comparable athletic fees, the two latest Texas additions to the Division I College Football Playoff system, Texas State University and the University of Texas at San Antonio (UTSA) both have fees of \$20 per SCH. In CUSA, 10 of 13 state supported Universities have a dedicated athletics fee averaging \$22.87 per credit hour. The proposed Intercollegiate Athletics Fee of \$16.25 per credit hour is 30% under the average in CUSA and 19% below Texas peer institutions UTSA and Texas State.

If the increase is approved, athletics would no longer require funding from the Student Services Fee, allowing for a \$2 per SCH decrease in that fee, resulting in a net increase of \$4.25 per SCH, capped at 15 SCH. The fee would be effective in the Fall 2018 semester.

Financial Analysis/History:

The proposed Athletic Fee increase of \$6.25 per SCH per long semester (prorated for the summer and mini-semesters) would result in approximately \$5.4 M (net of anticipated waivers and exemptions) in additional funds annually.

The proposed Student Services Fee decrease of \$2.00 per SCH per long semester (prorated for the summer and mini-semesters) would result in an annual decrease of approximately \$1.89M. This amount will be deducted from the support provided to Athletics from the Student Services Fee.

The additional funds to Athletics will be used to defray the increased costs associated with maintaining, operating, and improving the athletic department as well as funding new initiatives focused on developing student athletes academically, socially, and competitively as identified in the recently released strategic plan.

Bob Brown
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Texas System, ou=Interim Vice Chancellor for
Finance, email=bob.brown@unt.edu, c=US
Date: 2018.02.20 08:58:07 -06'00'

UNT Chief Financial Officer and
UNTS Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
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Vice Chancellor/General Counsel

Schedule:

If approved, the fee changes will be effective in the Fall 2018 semester.

Recommendation:

It is recommended that the Board of Regents authorize and approve a \$6.25 per SCH increase in the UNT Intercollegiate Athletic Fee and a \$2.00 per SCH decrease in the Student Services Fee to be effective in the Fall 2018 semester.

Recommended By:

Wren Baker

Vice President and Director
of Athletics

**Neal
Smatresk**
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President

Chancellor



Board Order

Title: Request for Increase of UNT Intercollegiate Athletic Fee and Possible Change in Student Services Fee

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent and seconded by Regent , the Board approved the motion presented below:

Whereas, in 2008 the student body voted in an SGA election to put into place an Intercollegiate Athletic Fee for the construction, development and renovation of athletic facilities or the operation of an intercollegiate athletic program and

Whereas, the athletic program has used the funding to that end through construction of the state-of-the-art Apogee Football Stadium and the advancement of the program into Conference USA together elevating the visibility of UNT on a statewide, regional and national basis, and

Whereas, the athletic department has increased revenue generation over the last six years in an effort to help fund infrastructure support and improvements as well as the construction and renovation of several facilities, without incurring new debt and

Whereas, the additional funds are needed to defray the increased costs associated with maintaining, operating, and improving the athletic department, and

Whereas, the university and all of its entities benefit from the exposure provided by a successful athletic program, and

Whereas, if the increase is approved, Athletics would no longer required funding from the Student Services Fee, allowing for a \$2.00 per SCH decrease in that fee, and

Whereas, on February 16, 2018, the increase in the athletic fee was approved by a majority vote of the students enrolled at the university who participated in the student referendum to increase the fee,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. A \$6.25 increase per semester credit hour in the UNT Intercollegiate Athletic Fee (from \$10 to \$16.25), capped at 15 semester credit hours, effective in the Fall 2018 semester.
 2. A \$2.00 decrease per semester credit hour in the UNT Student Services Fee (from \$14.41 to \$12.41), effective in the Fall 2018 semester.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Title: Delegation of Authority to Negotiate and Execute Job Order Contracts with Selected Contractors

Background:

UNT's current Job Order Contracts (JOC) will expire May 31, 2018. Section 51.784 of the Texas Education Code authorizes the use of job order contracting. A JOC is a competitively bid, pre-priced, indefinite-delivery and indefinite-quantity contract to perform minor construction, repair, rehabilitation, or alteration of a facility if the work is of a recurring nature. UNT has used JOCs for minor construction, repair, rehabilitation, and alteration, including building renovations, waterproofing and roof repairs, concrete replacement, plumbing and HVAC renovations.

UNT proposes continuing the JOC program with the award of new Job Order Contracts with up to six vendors selected through an RFP. The term of each JOC will be three years or upon obtaining maximum value, with the option to renew for up to 24 months in 12-month increments. Each proposed JOC would have a maximum value of \$900,000 per Job Order, per project and a maximum cumulative total of \$5,000,000 similar to the existing contract.

UNT previously contracted with The Gordian Group to develop, implement, and support UNT's JOC program. Each project performed under a JOC encompasses detailed task specifications including alterations, renovation, remodeling, and/or repairs to UNT structures and properties. For each project task, a unit of measure and a corresponding unit price is the basis for contractor reimbursement through a Construction Task Catalog® (CTC®) containing construction tasks with preset unit prices. All unit pricing is for the direct cost of construction, based on local labor, as well as material and equipment prices. UNT anticipates entering into a new Gordian contract to support the continuation of UNT's JOC program.

Each job order under a JOC operates as a fixed-price lump sum contract when the Purchase Order for the job order is issued, and consists of the project scope, job order price proposal (detailed task list and cost based on the CTC®), drawings or sketches, technical data, list of subcontractors and applicable HUB subcontracting plan, and construction schedule. A completed Purchase Order authorizes the Contractor to begin work.

A panel of UNT staff representatives will evaluate proposals. According to UNTS Regents Rule 03.903, the Board of Regents approval is required for contracts in excess of \$1,000,000 in value.

UNT desires to enter into the new JOCs before the summer of 2018 so the contracts can be utilized beginning the summer of 2018 – the busiest construction period for smaller renovation projects. It is recommended that the Board of Regents grant authority to the Chancellor or her designee to select the construction firms for the JOCs and execute contracts with the selected firms.

Financial Analysis/History:

There is not a specific budget associated with these contracts. Each project is funded individually

using existing University resources. There will be no cost associated with the contracts if a specific contractor is not utilized following the award. UNT estimates an average of approximately \$1,500,000 per year in awards under this contracting tool.

UNT Chief Financial Officer, and
UNTS Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Vice Chancellor/General Counsel

Schedule:

RFP Issued	January 2018
Proposal Review	February 2018

Recommendation:

It is recommended that the Board of Regents authorize and approve the following Board Order.

Recommended By:

David Reynolds
Associate Vice President for
Facilities

President

Vice Chancellor

Chancellor



Board Order

Title: Delegation of Authority to Negotiate and Execute Job Order Contracts with Selected Contractors

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, Job Order Contracts are used for minor facilities projects of a recurring nature, reducing time from identification of requirements to beginning of construction, and

Whereas, UNT has a need for Job Order Contractors for completion of renovation projects in academic, research and administrative facilities, and

Whereas, each job order issued to the selected contractors will be funded from existing University resources, and

Whereas, UNT has requested issuance of multiple Job Order Contracts with a maximum cumulative total of \$5,000,000 for each JOC, with a maximum cost per job order of \$900,000.

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The Chancellor or her designee to select up to six contractors for award of Job Order Contracts after evaluating proposals in accordance with state laws and purchasing regulations;
 2. The Chancellor or her designee to negotiate and execute a Job Order Contract with each selected firm up to a maximum cumulative total of \$5,000,000 per JOC and up to a maximum job order cost of \$900,000 per project, provided no JOC or job order shall be entered into until an acceptable HUB plan has been submitted.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Title: Approval to Name an Academic Research Center at UNT the "NetDragon Digital Research Centre"

Background:

The University of North Texas ("UNT") is creating an academic research center with Digital Train Limited, a company incorporated under the laws of the British Virgin Islands and having its main office in Hong Kong ("Digital Train"). Digital Train's parent company is NetDragon Websoft Holdings Limited ("NetDragon"), a leader in internet and mobile internet educational content and delivery. NetDragon's founder, Chairman of the Board, and Executive Director is Deijan Liu. Mr. Liu is the Executive Director in Residence for Educational Innovation at UNT and the Co-Dean of Beijing Normal University Smart Learning Institute.

This collaboration with Digital Train:

- Contributes to UNT's core mission and vision through faculty rich, real-world business experiences such as student internships, sponsored faculty and student research, technology development/testing; and development, testing, and deployment of online courses.
- Allows UNT faculty and students to pursue research paths with quick access to funding, thereby enabling researchers the ability to generate the preliminary data necessary to succeed at receiving larger federal agency funding grants. In addition, Digital Train's funding allows highly-focused research that might not be of interest to federal agencies, and the ability to develop technologies and pursue research paths that would otherwise not have a funding source.
- Provides UNT with an internationally visible commercial partner to focus on translational digital technologies research across all disciplines.

As UNT's digital research success grows, UNT will be able to recruit more graduate students and faculty in this high-growth area, allowing UNT to continue to develop as a Tier One research university. This corporate relationship will provide UNT greater visibility with the local, regional, and national high-technology industry, allowing for placement of UNT's graduates in this high-growth sector. This relationship has the capacity to attract similar corporate relationships and further develop UNT's research expertise and funding.

Digital Train is providing UNT an initial grant of \$500,000 to fund initial center activities, has requested naming rights in exchange of its grant, and has selected the name "NetDragon Digital Research Centre." UNT anticipates continuation of its affiliation with Digital Train to further the center's academic research activities. Accordingly, UNT seeks board approval to name the academic research center the "NetDragon Digital Research Centre" for such time as the academic research center receives funding and continues its research activities.

Financial Analysis/History:

The NetDragon Digital Research Centre will be initially funded by the \$500,000 grant from Digital Train. UNT will incur minor costs (<\$6,000 annually) associated with a salary augmentation to appoint a faculty member as the Centre Director. UNT is providing Digital Train an office and part-time administrative support through existing administrative assistant employees.

Bob Brown

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DN: cn=Bob Brown, o=University of North Texas System, ou=Interim Vice Chancellor for Finance, email=bob.brown@unt.edu, c=US
Date: 2018.02.16 15:28:54 -06'00'

UNT Chief Financial Officer, and
UNTS Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer

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Vice Chancellor/General Counsel

Schedule:

Spring 2018

Recommendation:

The president recommends the Board of Regents approve "NetDragon Digital Research Centre" as the name of the academic research center.

Recommended By:

Jennifer Cowley

Provost and VPAA

**Neal
Smatresk**

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President

Lesa B. Roe

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Chancellor



Board Order

Title: Approval to Name an Academic Research Center at UNT the "NetDragon Digital Research Centre"

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, UNT is creating an academic research center with Digital Train Limited to provide students and faculty with rich, real-world, digital research business experiences;

Whereas, Digital Train is providing an initial grant of \$500,000 to fund center activities, has requested naming rights in exchange of its grant, and has selected the name "NetDragon Digital Research Centre";

Whereas, UNT anticipates continued affiliation with Digital Train to further the center's research activities; and

Whereas, UNT wants to acknowledge its collaborative initiatives by granting naming rights to Digital Train and naming the academic research center the "NetDragon Digital Research Centre."

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The naming of the academic research center as the "NetDragon Digital Research Centre" for such time as the affiliation with Digital Train continues to support and further the center's research activities.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

Board Briefing



Committee: Finance & Facilities

Date Filed: January 19, 2018

Title: Approval to Name UNTHSC CBH 670 “The Dr. Arthur J. Eisenberg Conference Room”

Background:

Regents Rule 09.200, *Naming of Property, Programs and Academic Positions*, requires the Board of Regents to approve honorific namings. This item represents a request to bestow an honorific naming under Rule 09.200 and is accordingly brought to the Board for review and approval.

Dr. Arthur J. Eisenberg retired in June 2017 as Professor of Molecular and Medical Genetics and Co-Director of the Center for Human Identification at UNTHSC, concluding a 28-year career at the institution. Dr. Eisenberg was a trailblazer in DNA identification, developing many of the procedures, techniques and quality control standards used in DNA identity testing worldwide, and helped build a state-of-the-art clinical reference laboratory at UNTHSC that uses DNA testing for paternity cases, forensic analysis, missing person identification and genetic diseases.

In a career spanning more than three decades, Dr. Eisenberg worked on cases involving serial killers, mass graves, hurricanes, terrorist attacks and all manner of violent crimes. Under his leadership, the Center for Human Identification has processed more than 5,200 human remains, making more than 1,500 DNA associations that have led to identifications. The Center has also analyzed more than 14,400 family reference samples, representing more than 8,000 missing person cases.

Dr. Eisenberg is former chair of the United States DNA Advisory Board, and served on the Texas Forensic Science Commission, the Department of Justice’s Missing Persons Task Force, the National Forensic DNA Review Panel, the Association of Forensic DNA Analysts and Administrators, the American Academy of Forensic Science, and the American Association of Blood Banks. He is the 2011 recipient of the Paul L. Kirk Award from the American Academy of Forensic Sciences. In 2014-15, he was named Outstanding Graduate Faculty Member at UNT Health Science Center.

Financial Analysis/History:

No financial impact.

Institution Chief Financial Officer

Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Vice Chancellor/General Counsel

Schedule:

Upon Board approval

Recommendation:

UNT System Board of Regents approval for the honorific naming of the 6th Floor conference room of the Center for Biohealth Building, which is home to the Center for Human Identification, to be named as the *Dr. Arthur J. Eisenberg Conference Room*.

Recommended By:

Michael R. Williams

President

Chancellor



Board Order

Title: Approval to Name UNTHSC CBH 670 “The Dr. Arthur J. Eisenberg Conference Room”

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, Dr. Arthur J. Eisenberg was a trailblazer in DNA identification, developing many of the procedures, techniques and quality control standards used in DNA identity testing worldwide, and

Whereas, Dr. Eisenberg helped build a state-of-the-art clinical reference laboratory at UNTHSC that uses DNA testing for paternity cases, forensic analysis, missing person identification and genetic diseases, and

Whereas, Dr. Eisenberg served on the Texas Forensic Science Commission, the Department of Justice’s Missing Persons Task Force, the National Forensic DNA Review Panel, the Association of Forensic DNA Analysts and Administrators, the American Academy of Forensic Science, and the American Association of Blood Banks and was the 2011 recipient of the Paul L. Kirk Award from the American Academy of Forensic Sciences, and

Whereas, the UNTHSC administration and UNT System Board of Regents would like to recognize Dr. Eisenberg’s achievements and dedication to the mission and vision of the UNT System and UNTHSC,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The 6th Floor Conference Room (CBH670) of the UNTHSC Center for BioHealth Building shall be known as the Dr. Arthur J. Eisenberg Conference Room.

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

**Title:** Request for Increase in UNT Dallas Board Designated Tuition Rate

Background:

Board-Designated Tuition is an amount of tuition, in addition to statutory tuition, necessary for the effective operation of UNT Dallas, as set by the UNT Board of Regents in accordance with Texas Education Code 54.0513 and Regent Rule 07.402, Tuition. Undergraduate resident students have the option upon initial enrollment to select either the Traditional Tuition plan or the FOCUS Tuition (i.e. fixed tuition) plan. All other students only qualify for the Traditional Tuition plan.

Additionally, each biennium UNT Dallas receives a substantial non-formula support item, Transitional Funding, in the General Appropriations Act (GAA). This non-formula support item receives a reduction each biennium as UNT Dallas moves towards 6,000 full-time student equivalents. Transitional Funding was reduced 33.1% from \$11.8M to \$7.9M during the last biennium budget cycle (2016/2017 and 2018/2019 respectively). Leadership fully anticipates another significant reduction during the FY 2020/2021 appropriation cycle.

UNT Dallas has committed to a strategic goal of 5,000 students by fall 2020. Tremendous progress towards that goal has been realized over the previous two fiscal years, with headcount up 64% from fall 2013 to fall 2017. Leading indicators are positive for UNT Dallas to reach the next milestone of 4,000 students in fall 2018. Enrollment and associated costs have continued to rise each fiscal year. During this time, tuition revenue increases have been driven solely by growth. UNT Dallas has not implemented a Board-Designated tuition increase since fall 2014.

Through education and community connectedness, UNT Dallas aspires to be the pathway to social mobility in its primary market. Important to that mission is a commitment by UNT Dallas to provide higher education in the city of Dallas at an affordable price. Sensitive to the financial situation of our student demographic and in consideration of the *60x30TX* higher education plan, it is believed an increase can be implemented without jeopardizing the position of UNT Dallas as an affordable institution.

A Board-Designated tuition increase is required in order to provide our students with relevant curriculum and marketable skills, appropriate faculty-to-student ratios, standard institutional support functions, and financial stability for the institution. A \$3M use of reserve balance has been budgeted for FY2018. Moving forward, any further use of fund balance is not recommended. Even with this proposed tuition increase, UNT Dallas will remain one of the most affordable universities in the DFW area. This proposed increase will not affect UNT Dallas College of Law or graduate students as they already have a separate Board approved tuition plan in place.

Financial Analysis/History:

Currently, FOCUS Tuition and Traditional Tuition students pay \$195 per Semester Credit Hour (SCH) and Traditional tuition plan students pay \$201.63 per Semester Credit Hour for Board-Designated Tuition. The recommended Board Designated Tuition price per SCH effective fall 2018 will be:

- FOCUS Tuition Plan - \$228.22 per SCH (17.1% increase)
- Traditional Tuition Plan - \$211.31 per SCH (4.8% increase)

UNT Dallas received UNT Board of Regents approval to charge \$195/SCH for FOCUS Tuition students and \$201.63 for Traditional Tuition students, effective fall 2014. Since that time there have been no increases to either plan. Historical rate information and proposed increases are below:

Traditional Tuition Plan (excludes College of Law)

The overall increase to an undergraduate resident student enrolled in 30 SCH per academic year would total \$290.40, or 3.7% for the Traditional Tuition plan. This assumes the proposed Board-Designated Traditional tuition increase, but no increase to mandatory fees.

Academic Year	Statutory Tuition	Designated Tuition	Mandatory Fees	Total	% Increase	\$ Increase
2014-2015	\$1,500.00	\$6,048.90	\$300.00	\$7,848.90	-	-
2015-2016	\$1,500.00	\$6,048.90	\$300.00	\$7,848.90	0.0%	\$ -
2016-2017	\$1,500.00	\$6,048.90	\$300.00	\$7,848.90	0.0%	\$ -
2017-2018	\$1,500.00	\$6,048.90	\$300.00	\$7,848.90	0.0%	\$ -
2018-2019*	\$1,500.00	\$6,339.30	\$300.00	\$8,139.30	3.7%	\$290.40

FOCUS Tuition Rate (excludes College of Law)

The overall increase for a new undergraduate resident student enrolled in 30 SCH per academic year would be \$99.60, or 13.0% in the FOCUS Tuition plan. This assumes the proposed Board-Designated tuition increase, but no increase to mandatory fees.

Academic Year	Statutory Tuition	Designated Tuition	Mandatory Fees	Total	% Increase	\$ Increase
2014-2015	\$1,500.00	\$5,850.00	\$300.00	\$7,650.00	-	-
2015-2016	\$1,500.00	\$5,850.00	\$300.00	\$7,650.00	0.0%	\$ -
2016-2017	\$1,500.00	\$5,850.00	\$300.00	\$7,650.00	0.0%	\$ -
2017-2018	\$1,500.00	\$5,850.00	\$300.00	\$7,650.00	0.0%	\$ -
2018-2019*	\$1,500.00	\$6,846.60	\$300.00	\$8,646.60	13.0%	\$996.60

The intent of a FOCUS Tuition plan is for undergraduate resident students to budget the cost of their degree using a price for fixed tuition and mandatory fees that is fixed for five years. Realizing that the Traditional Tuition plan is subject to increases over the course of their education, students may choose a fixed tuition plan. Such a plan comes with a premium which allows the student to prepare for the cost of their degree upon enrollment.

In the past, UNT Dallas has not implemented a FOCUS Tuition plan premium. Charging a premium on fixed tuition plans is standard practice throughout higher education. The campus has more FOCUS-eligible students every semester; participation in the FOCUS Tuition plan was just over 40% of the undergraduate SCH production in fall 2017. UNT Dallas is quickly transforming into a traditional campus: full-time undergraduate students are increasing each semester, the first residence hall has opened, and additional programming and construction will improve campus life. UNT Dallas now believes it is prudent to charge a premium for new students enrolling in the FOCUS Tuition plan.

Though a 17% increase to the FOCUS Tuition plan may seem excessive, the increase will allow the campus to match other institutions in the practice of charging a premium. Again, enrollment into the FOCUS Tuition plan is voluntary. The increase will not affect any students currently enrolled in the FOCUS Tuition plan prior to fall 2018. Therefore, with the recommended price per SCH listed above, new students who select the FOCUS Tuition plan instead of the Traditional Tuition plan will pay an 8% premium.

Estimate: Semester credit hour production growth, approval of the proposed designated tuition increase, and participation in the FOCUS Tuition plan will generate for UNT Dallas an additional \$4.0M gross tuition revenue in FY2019 compared to the FY2018 budget. The increase will be used to eliminate any deficit and strategically hire new faculty to support programs and growth in general. Estimations for FY2020 and FY2021 are included below.

UNT Dallas (excludes College of Law)	FY 2018 Budget	FY2019	FY2020	Fy2021
GROSS Tuition Revenue	\$ 18,678,014	\$ 22,633,377	\$ 25,449,464	\$ 29,042,423

These Board-Designated tuition rates will remain in effect until such time as other rate proposals, as deemed necessary, may be brought before the Board.

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 DN: cn=daniel.edelman@untdallas.edu
 Date: 2018.02.05 13:02:29 -06'00'

Institution Chief Financial Officer

Bob Brown
Digitally signed by Bob Brown
 DN: cn=Bob Brown, o=University of North Texas System, ou=Interim Vice Chancellor for Finance, email=Bob.Brown@unt.edu, c=US
 Date: 2018.02.07 09:30:38 -06'00'

Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
Digitally signed by Nancy S. Footer
 DN: cn=Nancy S. Footer, o=University of North Texas System, ou=Office of General Counsel, email=nancy.footer@untsystem.edu, c=US
 Date: 2018.02.08 12:13:38 -06'00'

Vice Chancellor/General Counsel

Schedule:

If approved, the increased Board-Designated tuition rates for both the FOCUS Tuition plan and Traditional Tuition plan will be effective beginning the fall 2018 semester.

Recommendation:

It is recommended that the Board of Regents authorize and approve the following Board Order.

Recommended By:

Dan Edelman
Chief Financial Officer

Bob Mong Digitally signed by Bob Mong
DN: cn=Bob Mong, o=UNT Dallas,
ou=Office of the President,
email=bob.mong@untdallas.edu, c=US
Date: 2018.02.05 16:09:05 -06'00'
President

Lesa B. Roe Digitally signed by Lesa B. Roe
DN: cn=Lesa B. Roe, o=UNT System, ou=Office of
the Chancellor,
email=chancellor@untsystem.edu, c=US
Date: 2018.02.09 15:07:29 -06'00'
Chancellor



Board Order

Title: Request for Increase in UNT Dallas Board-Designated Tuition Rate

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, Board-Designated tuition revenue is required to maintain effective operations of UNT Dallas, and

Whereas, the campus is growing rapidly in student headcount and related costs, and

Whereas, further budgeted use of fund balance to maintain operations is undesirable,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. A \$211.31 board designated tuition rate per semester credit hour for the Traditional Tuition Plan beginning the fall 2018 semester, excluding UNT Dallas College of Law and graduate students.
 2. A \$228.22 board designated tuition rate per semester credit hour for the FOCUS Tuition Plan beginning the fall 2018 semester for new students enrolling in the FOCUS Tuition Plan, excluding UNT Dallas College of Law and graduate students.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

**Title:** UNT Dallas Room and Board Rates for Academic Year 2018-2019**Background:**

After a successful inaugural semester of campus housing, UNT Dallas requests that room rates for the 2018-2019 academic year be identical to those charged in 2017-2018. UNT Dallas strives to provide quality education, through a collaborative living and learning experience, at an affordable cost. The requested Room and Board rates brought forward to the board are competitive among benchmarked institutions as well as those within the North Texas region. Additionally, UNT Dallas seeks Board approval for weekly meal plan options for the traditional semesters (fall and spring) for both residential students and commuter students.

Financial Analysis/History:

UNT Dallas requests to retain 2018-2019 academic year room rates for the 2018-2019 academic year, as follows:

Single Occupancy		Double Occupancy	
<i>Semester</i>	<i>Rate</i>	<i>Semester</i>	<i>Rate</i>
Fall	\$ 3,071	Fall	\$ 2,599
Spring	\$ 3,071	Spring	\$ 2,599
Summer - 5 week	\$ 903	Summer - 5 week	N/A
Summer - 8 week	\$ 1,445	Summer - 8 week	N/A

During the summer, the fourth floor of Wisdom Hall is reserved for UNT Dallas students (single occupancy only); the remainder of Wisdom Hall resident rooms are available for rent to both internal and external clients (in the summer).

Meal plans are available to both resident students and commuters. Proposed rates have been determined through collaboration between UNT Dallas and the current café operator. UNT Dallas requests the following meal plan rates for the fall 2018/spring 2019 semester:

Resident	Rate	Commuter	Rate
19 Meals per week	\$ 1,600.00	5 Meals per week	\$ 360.00
14 Meals per week	\$ 1,294.00	3 Meals per week	\$ 216.00
10 Meals per week	\$ 989.00		

Institution Chief Financial Officer

Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Vice Chancellor/General Counsel

Schedule:

If approved, the room rates and meal plans will begin Fall 2018.

Recommendation:

It is recommended that the Board of Regents approve this Board Order.

Recommended By:

Dan Edelman
Chief Financial Officer

President

Chancellor



Board Order

Title: UNT Dallas Room and Board Rates Academic Year 2018-2019

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent and seconded by Regent , the Board approved the motion presented below:

Whereas, UNT Dallas request to retain room rates for Wisdom Hall, and

Whereas, residential student and commuter meal plans are available, and

Now, Therefore, The Board of Regents authorizes and approves the following:

- 1. UNT Dallas Room Rates for Academic Year 2018-2019

Single Occupancy		Double Occupancy	
<i>Semester</i>	<i>Rate</i>	<i>Semester</i>	<i>Rate</i>
Fall	\$ 3,071	Fall	\$ 2,599
Spring	\$ 3,071	Spring	\$ 2,599
Summer - 5 week	\$ 903	Summer - 5 week	N/A
Summer - 8 week	\$ 1,445	Summer - 8 week	N/A

- 2. UNT Dallas Meal Plans for Academic Year 2018-2019

Resident	Rate	Commuter	Rate
19 Meals per week	\$ 1,600.00	5 Meals per week	\$ 360.00
14 Meals per week	\$ 1,294.00	3 Meals per week	\$ 216.00
10 Meals per week	\$ 989.00		

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

**Title:** Request for Increase in UNT Dallas Student Service Fee and New Medical Service Fee

Background:

As one of the fastest growing universities in the state of Texas, UNT Dallas serves a largely underserved and under-resourced community in the southern Dallas region. Beginning in the spring of 2017 and culminating in the fall 2017, UNT Dallas students expressed concerns regarding the need for increased services to assist in their student development academically, personally, and professionally. As an example, the demand for counseling services has increased by more than 45% from FY 2016-2017 to present. UNT Dallas students are demanding improvements to programs and services that will ultimately assist with student engagement, development, and retention. To support these demands, an increase to the student services fee and the addition of a new medical services fee are warranted. Currently, UNT Dallas charges a Student Services Fee of \$10 per SCH, not to exceed \$150 per semester. According to the Texas Education Code Chapter 54, the Student Service Fee is defined as:

"Student services" means activities which are separate and apart from the regularly scheduled academic functions of the institution and directly involve or benefit students, including textbook rentals, recreational activities, health and hospital services, medical services, intramural and intercollegiate athletics, artists and lecture series, cultural entertainment series, debating and oratorical activities, student publications, student government, the student fee advisory committee, student transportation services other than services under Sections 54.504, 54.511, 54.512, and 54.513 of this code, and any other student activities and services specifically authorized and approved by the governing board of the institution of higher education.

UNT Dallas makes use of the Student Services Fee in a variety of ways, but the fee is especially important to counseling services, recreational and intramural activities, artists and lecture series, student government, and other initiatives and activities which benefit students and enrich their college experience.

Additionally, a Medical Services Fee of \$25 per semester is requested to address the needs of students who require basic medical care while on campus. Currently, UNT Dallas does not have a medical professional to provide such services to the student body. Students who require such treatment must travel to an Urgent Care facility, five miles from campus, to receive basic treatment at what can be a high cost.

Texas Education Code 54.081 authorizes the UNT System Board of Regent's to implement a medical service fee of up to \$75 per semester for its institutions. Requirements include that a majority of students approve of the fee in an election (which was held), medical services may only be provided to students registered at the institution, and that "the board must give students and administrators an opportunity to offer recommendations to the board as to the type and scope of medical services that should be provided."

Financial Analysis/History:

On November 5, 2017, the Student Government Association Executive Board voted to present this item (Student Service Fee Increase and Introduction of Medical Services Fee) to the general assembly. On November 21, 2017, the Student Government Association General Assembly convened and unanimously voted to hold a student referendum to address the increase in the student service fee from \$10 per semester credit hour to \$20 per semester credit hour, not to exceed \$250 per semester, as well as implementing a new Medical Service Fee of \$25 per semester. Students began voting on December 4, 2017. To address student questions and concerns, Town Halls were hosted on December 5th at the College of Law with the Student Bar Association, and on December 7th, 11th, and January 17, 2018 on the UNT Dallas main campus.

Voting closed on January 23, 2018.

On January 25, 2018, the Student Government Association certified the results of the student referendum, with a total of 994 votes cast:

55.93% YES

44.07% NO

Student participation in this survey far exceeded student participation on any other survey given at UNT Dallas. The estimated amount of additional revenue generated is as follows:

Mandatory Fees	FY 2018 Budget	FY 2019	FY 2020	Fy2021
<u>Mandatory Fees</u>				
Student Service Fees	\$ 730,595	\$ 1,493,449	\$ 1,731,940	\$ 2,197,620
Student Service Fees - COL	\$ 95,720	\$ 191,440	\$ 191,440	\$ 191,440
Medical Service Fees	\$ -	\$ 144,836	\$ 175,656	\$ 194,000
Medical Service Fees - COL	\$ -	\$ 20,000	\$ 20,000	\$ 20,000
GROSS Revenue	\$ 826,315	\$ 1,849,726	\$ 2,119,036	\$ 2,603,060

The proposed services include hiring a nurse practitioner and an assistant to treat basic medical needs such as the cold, flu, and minor abrasions as well as offering health education. In addition, the fee will be used to purchase appropriate medical supplies and equipment. These services will be housed on the main campus of UNT Dallas. However, it is anticipated that the health practitioner will offer services a half-day a week at the College of Law downtown location.

With the increased revenue generated, UNT Dallas can provide access to basic medical care on campus while expanding other student services that are currently under-resourced. As enrollment grows, so will the revenue generated. More importantly, student services will need to grow in proportionality to student enrolment. Services simply cannot keep pace with expansive enrollment growth without an increase to assessed mandatory fees such as the Student Service Fee and Medical Service Fee.

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Date: 2018.02.05 13:03:27 -06'00'

Institution Chief Financial Officer

Bob Brown
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DN: cn=Bob Brown, o=University of North Texas
System, ou=Interim Vice Chancellor for Finance,
email=bbob.brown@unt.edu, c=US
Date: 2018.02.07 09:31:35 -0600

Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
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DN: cn=Nancy S. Footer, o=University of North
Texas System, ou=Office of General Counsel,
email=nancy.footer@untssystem.edu, c=US
Date: 2018.02.08 12:47:14 -0600

Vice Chancellor/General Counsel

Schedule:

The rates will be effective fall 2018 for students enrolled in the Traditional Tuition Plan and new students enrolling in the FOCUS Tuition Plan.

Recommendation:

It is recommended by the Chief Financial Officer that the UNT Board of Regents approve this Board Order.

Recommended By:

Dan Edelman

Chief Financial Officer

Bob Mong
Digitally signed by Bob Mong
DN: cn=Bob Mong, o=UNT Dallas,
ou=Office of the President,
email=bbob.mong@untdallas.edu, c=US
Date: 2018.02.05 16:10:07 -0600

President

Lesa B. Roe
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Chancellor, email=chancellor@untssystem.edu, c=US
Date: 2018.02.07 15:08:59 -0600

Chancellor



Board Order

Title: Request for Increase in UNT Dallas Student Service Fee and New Medical Service Fee

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, UNT Dallas does not have any basic medical care on campus for students, and

Whereas, student service areas are under-resourced due to budget constraints, and

Whereas, student enrollment continues to reach record numbers each semester,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. A \$20 per semester credit hour (not to exceed \$250 per semester) Student Service Fee to be charged to students enrolled in the Traditional Tuition Plan and new students enrolled in the FOCUS Tuition Plan, effective fall 2018.
 2. A \$25 per semester Medical Service Fee to be charged to students enrolled in the Traditional Tuition Plan and new students enrolled in the FOCUS Tuition Plan, effective fall 2018.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



Board Order

Title: Approval of the Minutes of the November 16-17, 2017, Regular Board Meeting and the October 31 and December 18, 2017, Special Called Board Meetings

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, the minutes of the November 16-17, 2017, Regular Board Meeting and the October 31 and December 18, 2017, Special Called Board Meetings have been prepared by the Board Secretary and are attached here for Board approval,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The minutes of the November 16-17, 2017, Regular Board Meeting and the October 31 and December 18, 2017, Special Called Board Meetings.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



MINUTES

BOARD OF REGENTS November 16-17, 2017

Thursday, November 16, 2017

The University of North Texas System Board of Regents convened on Thursday, November 16, 2017, in Room 333 of the University Union, University of North Texas, 1155 Union Circle, Denton, Texas, with the following Regents in attendance: Brint Ryan, Milton Lee, A.K. Mago, Don Potts, Gwyn Shea, Glen Whitley, Laura Wright, and Haley Leverett. In accordance with a notice being duly posted with the Secretary of State and there being a quorum present, Chairman Brint Ryan called the meeting to order at 9:04 a.m. Regent Al Silva joined the meeting at 9:13 a.m., and Regent Rusty Reid arrived at 2:21 p.m.

Chairman Ryan recognized Chancellor Roe who made remarks about **Progress Since Coming on Board October 9, 2017**.

Chairman Ryan introduced President Smatresk who provided an update on UNT, which included **FY17 in Review, FY18 Implementation Plans**, and the **Introduction of New Personnel**. The new Vice Provost for International Affairs, Pia Wood, addressed the Board briefly.

Chairman Ryan mentioned that a few new items had been added to the agenda. For **Spotlight on Students**, President Smatresk introduced two students, Ryan Giordo and Roberto Aguilar, who received awards for their entrepreneurial efforts. The students told the Board about their projects.

Chairman Ryan called upon the other presidents to give **Campus Updates**. President Williams spoke about **Campus Good News, Opportunities for Improvement**, and a **Student Success Story**. President Mong reported on **College of Law Progress, Partnerships for Community Connectedness**, and **Significant Accomplishments and Growth**.

Chairman Ryan recessed the Board at 10:30 a.m. for the meetings of the Strategic and Operational Excellence, Academic Affairs and Student Success, Audit, and Finance and Facilities Committees.

The Board reconvened at 4:44 p.m. to go into Executive Session to consider matters noted on the Executive Session agenda in accordance with Texas Government Code Section 551.071, 551.074, and 551.076.

The Board reconvened in open session at 5:27 p.m., with no action items coming out of Executive Session.

There being no further business, the Board meeting was recessed at 5:28 p.m. on Thursday, November 16, 2017, to be reconvened at 9:00 am on Friday, November 17, 2017, in the same room.

Friday, November 17, 2017

The University of North Texas System Board of Regents reconvened on Friday, November 17, 2017, in Room 333 of the University Union, University of North Texas, 1155 Union Circle, Denton, Texas, with the following Regents in attendance: Brint Ryan, Milton Lee, A.K. Mago, Don Potts, Rusty Reid, Gwyn Shea, Al Silva, Glen Whitley, Laura Wright, and Haley Leverett. There being a quorum established, Chairman Brint Ryan reconvened the Board meeting at 9:01 a.m.

Chairman Ryan recessed the Board for meetings of the Academic Affairs and Student Success Committee and the Finance and Facilities Committee.

Following the Committee meetings, the Board reconvened at 9:54 a.m.

The Board considered the following items of the Consent Agenda:

- | | |
|-----------------------|---|
| 2017-72 UNTS | Approval of the Minutes of the August 17-18, 2017 Board Meeting, and September 8 and 28, 2017 Special Called Board Meetings |
| 2017-73 UNT | Award of Honorary Degree |
| 2017-74 UNT | Approval of Tenure for New UNT Faculty Appointees |
| 2017-75 UNT | Approval of UNT Emeritus Recommendations |
| 2017-76 UNTHSC | Approval of Grant Agreement to Tarrant County |
| 2017-77 UNTHSC | Authorization to Enter into Agreement with Tarrant County Medical Examiner’s Office for Joint Providership of Continuing Medical Education |

Regent Whitley recused himself from consideration of items 2017-76 and 2017-77 on the Consent Agenda. Therefore, the Consent Agenda was considered in two motions. Pursuant to a motion by Regent Rusty Reid seconded by Regent Glen Whitley the Board approved the first four items (2017-72 through 2017-75) on the Consent Agenda. The motion was approved on a 9-0 vote. Pursuant to a motion by Regent A.K. Mago seconded by Regent Milton Lee the Board approved the last two items on the Consent Agenda (2017-76 and 2017-77). The motion was approved on an 8-0 vote, with Regent Whitley recused.

The Board considered the following action item, which was presented by Chancellor Roe:

- | | |
|---------------------|---|
| 2017-78 UNTS | Appointment of Lee F. Jackson as Chancellor Emeritus and Naming of 1901 Main St, Dallas, Texas |
|---------------------|---|

Pursuant to a motion by Regent Milton Lee seconded by Regent Rusty Reid the Board approved the above action item. The motion was approved on a 9-0 vote.

The Board then considered the following action items coming out of committees:

Academic Affairs and Student Success Committee Items

2017-79 UNT	Approval to Add the UNT Bachelor of Science Degree Program with a Major in Professional and Technical Communication
2017-80 UNT	Approval to Add the UNT Master of Science Degree Program with a Major in Emergency Management and Disaster Science
2017-81 UNTD	Approval to Add the UNT Dallas Bachelor of Arts in Spanish

Pursuant to a motion by Regent Al Silva seconded by Regent A.K. Mago the Board approved the above Academic Affairs and Student Success Committee agenda items. The motion was approved on a 9-0 vote.

Finance and Facilities Committee Items

2017-82 UNTS	Amendment to Regents Rule 03.300, Board Ethics and Standards of Conduct, and 05.700 System Administration and Institution Ethics and Standards of Conduct
2017-83 UNTS	Approval to Request to Exceed the Full-Time Equivalent Limitation for Fiscal Year 2018
2017-84 UNTS	Authorization to Amend the UNTS FY18 Capital Improvement Plan to Add Athletics Indoor Practice Facility at UNT
2017-85 UNT	Request for Increase of UNT Room and Board Rates for FY19
2017-86 UNT	Approval of UNT Undergraduate Differential Tuition Beginning Fall 2018
2017-87 UNT	Approval of UNT Graduate Differential Tuition Beginning Fall 2018
2017-88 UNT	Request for Increase of the Recreational Facility Fee

Pursuant to a motion by Regent Rusty Reid seconded by Regent Laura Wright the Board approved the above Finance and Facilities Committee agenda items. The motion was approved on a 9-0 vote.

The following Background Material was shared with the Board through its committees:

- Quarterly Operations Report
- Quarterly Academic Measures Report
- UNT System Consolidated Quarterly Compliance Report, December 2016 through February 2017

There being no further business, the Board meeting was adjourned at 9:59 a.m. on Friday, November 17, 2017.

Submitted By:

Approved By:

Rosemary R. Haggett
Rosemary R. Haggett, Board Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

Date: Dec 4, 2017

Date: _____



**BOARD OF REGENTS
Teleconference Meeting Minutes
October 31, 2017**

University of North Texas System
Room 711
1901 Main Street
Dallas, Texas

The University of North Texas System Board of Regents convened a special called meeting via teleconference on Tuesday, October 31, 2017, in Room 711, UNT System Building, 1901 Main St. Dallas, Texas, with the following Regents in attendance: Brint Ryan, Milton Lee, Ashok Mago, Don Potts, Rusty Reid, Glen Whitley, and Laura Wright.

In accordance with a notice being duly posted with the Secretary of State and there being a quorum present, Chairman Ryan called the meeting to order at 11:04 a.m.

The Board Secretary called roll and identified all participants in the meeting, with Regent Reid joining the call at 11:08 a.m.

The Board considered one action item.

2017-71 UNTHSC Approval to Add the UNT Health Science Center Doctoral Program in Medicine

Regent Whitley made the motion to approve, and Regent Lee seconded the motion. Chairman Ryan invited discussion, and hearing none, called for the vote. The Board Secretary called the roll and recorded the vote. The motion passed unanimously by a vote of 7-0.

There being no further business, the meeting was adjourned at 11:09 a.m.

Submitted By:

Approved By:

Rosemary R. Haggett
Rosemary R. Haggett,
Board Secretary

Brint Ryan,
Chairman

Date: Dec 4, 2017

Date: _____



**BOARD OF REGENTS
Teleconference Meeting Minutes
December 18, 2017**

University of North Texas System
Room 711
1901 Main Street
Dallas, Texas

The University of North Texas System Board of Regents convened a special called meeting via teleconference on Monday, December 18, 2017, in Room 711, UNT System Building, 1901 Main St. Dallas, Texas, with the following Regents in attendance: Brint Ryan, Milton Lee, Ashok Mago, Don Potts, Al Silva, Glen Whitley, Laura Wright, and Haley Leverett.

In accordance with a notice being duly posted with the Secretary of State and there being a quorum present, Chairman Ryan called the meeting to order at 9:05 a.m.

The Board Secretary called roll and identified all participants in the meeting, with Glen Whitley joining the call at 11:07 a.m.

At 9:07 a.m., Chairman Ryan moved the meeting into Executive Session in accordance with Texas Government Code Section 551.071 and 551.072.

The Board reconvened in Open Session at 9:44 a.m. and considered one action item from executive session.

2017-89 UNTHSC Delegation of Authority to UNTHSC President to Enter into a Settlement Agreement with the Federal Government or Such Federal Agencies as may be Designated in the Agreement

Regent Wright made the motion to approve, and Regent Lee seconded the motion. Chairman Ryan invited discussion, and hearing none, called for the vote. The Board Secretary called the roll and recorded the vote. The motion passed unanimously by a vote of 7-0.

The Board considered two action items, as listed on the agenda.

2017-90 UNTHSC Delegation of Authority to Extend Agreement with Improving Enterprises

Regent Whitley made the motion to approve, and Regent Mago seconded the motion. Chairman Ryan invited discussion, and hearing none, called for the vote. The Board Secretary called the roll and recorded the vote. The motion passed unanimously by a vote of 7-0.

2017-91 UNTHSC Authorization to Serve on Outside Board

Regent Lee made the motion to approve, and Regent Mago seconded the motion. Chairman Ryan invited discussion, and hearing none, called for the vote. The Board Secretary called the roll and recorded the vote. The motion passed unanimously by a vote of 7-0.

There being no further business, the meeting was adjourned at 9:51 a.m.

Submitted By:

Approved By:

Rosemary R. Haggert
Rosemary R. Haggert,
Board Secretary

Brint Ryan,
Chairman

Date: Dec. 19, 2017

Date: _____



Title: Approval of UNT Faculty Development Leaves for 2018-2019 Academic Year

Background:

In accordance with UNT Policy 06.010, *Faculty Development Leave Policy and Guidelines*,

“Faculty development leaves are authorized for the primary purpose of increasing the value of the recipient’s sustained contribution to the university by providing the individual an opportunity for professional growth. Such developmental leaves are not to be understood as deferred compensation, nor are they to be anticipated simply on the basis of longevity at the university, alone. Development leave may be granted, upon application, for study, research, writing, field observations, or other suitable purposes.

Opportunities for additional training, for improving skills, and for maintaining currency is understood to be included as a purpose of development leave.”

In 1984, UNT began granting faculty development leaves, recognizing the need for faculty to have an opportunity to pursue major research or creative projects in order to contribute to the body of knowledge in their fields and better serve their students by remaining current in their disciplines. In 1987, the 70th Texas Legislature similarly encouraged universities to expand faculty development programs through a series of measures that increased flexibility in accounting for faculty activities.

In the ensuing years, the faculty development leave program has proven vital in maintaining discipline mastery for our faculty as we push forward toward national research status. These development leaves contribute greatly to the increase in research funding, research publications, and national recognition for UNT.

After careful review of proposals at the department and college levels, by a Faculty Senate committee, and by the provost and vice president for academic affairs, UNT plans to award a total of 52 (4.5% of the full-time faculty) developmental leaves for the upcoming academic year.

Financial Analysis/History:

The cost incurred as a result of the recommended faculty development leaves will be covered by existing college and school budgeted funds and will total approximately \$271,364. The total estimate for the costs incurred as a result of the recommended faculty development leaves was calculated from replacement costs submitted by colleges and estimated average costs for covering individual faculty leaves.

Bob Brown
Digitally signed by Bob Brown
DN: cn=Bob Brown, o=University of North
Texas System, ou=Interim Vice Chancellor for
Finance, email=bbob.brown@unt.edu, c=US
Date: 2018.02.06 08:46:29 -0600

UNT Chief Financial Officer, and
UNTS Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

**Nancy S.
Footer**

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DN: cn=Nancy S. Footer, o=University of
North Texas System, ou=Office of General
Counsel,
email=nancy.footer@untssystem.edu, c=US
Date: 2018.02.08 11:08:29 -06'00'

Vice Chancellor/General Counsel

Schedule:

2018-2019 Academic Year

Recommendation:

The president recommends that the Board of Regents approve the faculty development leaves for the individuals named on the attached list.

Recommended By:

Jennifer Cowley

Provost and VPAA

Neal Smatresk

Digitally signed by Neal Smatresk
DN: cn=Neal Smatresk, o=University
of North Texas, ou=President,
email=neal.smatresk@unt.edu, c=US
Date: 2018.02.06 09:26:42 -06'00'

President

**Rosemary R.
Haggett, Ph.D.**

Digitally signed by Rosemary R. Haggett, Ph.D.
DN: cn=Rosemary R. Haggett, Ph.D., o=UNT System,
ou, email=rosemary.haggett@untssystem.edu, c=US
Date: 2018.02.06 17:12:06 -06'00'

Vice Chancellor

Lesa B. Roe

Digitally signed by Lesa B. Roe
DN: cn=Lesa B. Roe, o=UNT System,
ou=Office of the Chancellor,
email=chancellor@untssystem.edu, c=US
Date: 2018.02.07 14:10:24 -06'00'

Chancellor

Attachments Filed Electronically:

- UNT Faculty Development Leaves for 2018-19 Academic Year



Board Order

Title: Approval of UNT Faculty Development Leave for 2018-2019 Academic Year

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, in 1984, UNT began granting faculty development leaves, recognizing the need for faculty to have an opportunity to pursue major research or creative projects in order to contribute to the body of knowledge in their fields and better serve their students by remaining current in their disciplines, and

Whereas, in 1987, the 70th Texas Legislature similarly encouraged universities to expand faculty development programs through a series of measures that increased flexibility in accounting for faculty activities,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Faculty development leave for academic year 2018-2019 for the UNT faculty as set forth in the attached list.
-

VOTE: ____ ayes ____ nays ____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

**UNT FACULTY DEVELOPMENT LEAVE RECOMMENDATIONS
2018-2019**

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Paul	Hutchison	Associate Professor	Accounting	<p>This FDL will focus on a multi-phased research plan that will examine the impact of recent changes in the financial reporting requirements and governance of for-profit companies in New Zealand. The enactment of updated legislation in 2013: Financial Markets Conduct Act, Financial Reporting Act, and Amendments to Other Enactments had a tremendous impact on current financial reporting in New Zealand. The result is a two sector (for-profit entities and public benefit entities), multi-tiered accounting standards framework. The different tiers better reflect size and complexity of entities and use of accounting standards based upon those differences. In 2015, the amended Companies Act of 1993 required every company to have at least one director that lives in New Zealand, or lives in Australia and is a director of a company incorporated in Australia. Paul has previous publications, presentations, and working papers in international accounting research. This FDL will expand and build upon this research agenda and reputation as an international accounting scholar. It will also allow the sharing of research results from this FDL through presentations and publications with the accounting academy, domestically and internationally; and enhance Paul's and UNT's reputation in this area of research. The anticipated outcome from this FDL is multiple research presentations and publications. Presentations will be made at UNT and domestic/international accounting conferences. Research from this FDL will be submitted to high quality (A list), accounting journals.</p>	<p>The primary FDL objectives from this research will be presentations at UNT, the American Accounting Association, and international research conferences, plus several publications in high-quality (A-list), academic accounting journals. Specifically, one study will focus upon the impact in New Zealand of the recent change in financial reporting requirements and its impact on stock prices in for-profit companies. Additional research will examine the recent changes in corporate governance and its impact on stock prices in for-profit companies. Finally, a study will be directed at accountants in major New Zealand companies to identify remaining financial reporting issues that need to be addressed by standard-setters. During this FDL, Paul will also interact with international scholars at Massey University and accountants at New Zealand companies to glean ideas for new research opportunities, identify possible data sources, and enhance research skillsets.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Steve	Guynes	Regents Professor	Information Technology and Decision Sciences	The current cloud computing model, data and networks are largely centralized, with data moving from the edges of a network to the center or cloud. Edge computing is its early stages. It is different than cloud computing because of the way data is collected from remote sensors, machines and smart devices and then analyzed. It allows actions to be taken on-site, at the point of processing. The data can be analyzed/reported in real time so its outcomes are immediately actionable for decision makers. Edge computing is forcing change, both in the way information is sent or stored and in the way people interact. Discussions with IT executives will focus on those changes and on the topics of security, privacy, and societal impact. The primary activity of the leave will be traveling to several companies that are leaders in the area of edge computing, including: Cisco Systems on 'Cisco's Edge Strategy', GE Digital on the 'the Predix Architecture', Microsoft on the 'the Azure Platform', Google on 'Fastly/BigQuery Integration', Amazon on 'Amazon Web Services' and IBM on the social impact of moving data from the cloud to the edge. Time will also be spent reading and studying the impact of edge computing on security, privacy, and society in general. The leave will enhance Steve's academic career and help him maintain currency in the IT field.	Primary FDL objectives are to publish journal articles in prestigious IT journals and make presentations to peers. The articles concerning the impact of edge computing on computer security, privacy, and computers and society will be of value and interest to the IT community. Presentations will be made at professional meetings on the increasing movement of data from the cloud to the edge and the way this movement impacts society. Teaching UNT students about edge computing can make them more competitive in the job market, as this is a recent concept that potential employers are interested in as it can reduce the time and cost of processing.	Fall 2018
Anne	Rinn	Associate Professor	Educational Psychology	The focus of this FDL is the writing of a graduate-level textbook titled "Social and Emotional Development of Gifted Individuals." The book is already under contract with Prufrock Academic Press and is due September 1, 2019. Taking an FDL will allow her to finish the majority of this book.	The primary FDL objective is to complete a graduate-level textbook and submit it to the publisher.	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Kelley	King	Associate Professor	Teacher Education and Administration	<p>Texas is notorious for its curriculum politics. In recent decades the state has attracted national attention for heated battles over both the standards that shape the state's K-12 curriculum and the content of the textbooks that will be used to teach it. The national press often depicts Texas as a politically conservative monolith. Undoubtedly, the history of Texas education swerves to the right of many other states and conservatives have won substantial territory in the curriculum wars. Kelley is proposing research toward a book that will provide both a general overview of curriculum politics in Texas as well as in-depth examination of some critical issues, events, and individuals that shed light on the history, processes, and ideologies in play. The primary activity of leave will be archival and documentary research on this topic. Because no other book treats the topic in depth and because the topic is often of national interest, a book has the potential to gain national recognition and career enhancement by supporting her case for promotion to full professor. Similarly, publication of work by UNT faculty raises the research standing of the university.</p>	<p>The primary FDL objective is to produce a book regarding the history of Texas curriculum politics. The book will consist of eight chapters organized in two sections. An extended introduction will provide a brief history of state-funded public schools in Texas. Section 1 is entitled "History, Citizenship, and the Social Studies." This section examines beliefs about the purposes of teaching history and social studies in schools and throughout several chapters, examines specific issues and events that illustrate the divergent world views and political stances of those attempting to shape the curriculum. These chapters have been drafted. Section 2, "The Laws of God or Nature?" consists of 3 chapters addressing bible study, sex education and science, respectively. An epilogue will discuss the broader national and international implications of the findings. The proposed semester-long leave will be devoted to researching and writing the final 3 chapters.</p>	Spring 2019
Song	Fu	Associate Professor	Computer Science and Engineering	<p>This FDL will focus on two research projects. The first project, done in collaboration with Dr. Weisong Shi at Wayne State University, involves the characterization and modeling of disk performance degradation processes and the development of methods for disk failure prediction. The second project, done in collaboration with Dr. Hsing-Bung Chen at Los Alamos National Laboratory (LANL), involves protecting active data prior to disk crashes in the Zettabyte File System (ZFS), a parallel file system, which is widely used in production high performance computing systems. Both projects represent ongoing works that have yielded 4 publications in the past 2 years. An FDL will enhance his academic career by enabling him to make substantial progress on the work outlined in research proposals submitted to the NSF and DOE, thereby ensuring their successful and timely completion. He will be able to maintain strong research ties with collaborators at major research universities and national lab that will lead to future research opportunities. The intended outcome of the leave activity includes publications on the major conferences and journals in the field, release of software tools, and submission of research proposals to the core programs of NSF, Directorate for Computer and Information Science and Engineering, Division of Computer and Network Systems, and DOE Office of Science.</p>	<p>The primary FDL objectives are to strengthen and broaden research collaboration, solve several challenging problems, publish research results in premier conferences and journals, and submit proposals to NSF and DOE. More specifically, in addition to Dr. Shi and Dr. Chen, Song expects to build collaborations with other researchers who have expertise in workload characterization of data centers, supercomputers, system administration storage monitoring, diagnosis in large-scale production computer systems, system-level resource management, and job scheduling. Dr. Shi's and Dr. Chen's research groups have workload data and information, which are complementary to the disk health data sets of Song's research group. He will work with the colleagues to investigate the correlation of disk failures with workload dynamics, the root causes of certain types of disk failures, and the impact on disk performance degradation. The research results and findings will be submitted to the Advanced Computing Systems Association Conference on File and Storage Technologies and Institute of Electrical and Electronics Engineers/International Federation for Information Processing International Conference on Dependable Systems and Networks, top conferences in the field. He will also write/submit proposals to NSF and DOE based on the research.</p>	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Yan	Huang	Professor	Computer Science and Engineering	<p>This focus of this FDL is a visit to the Institute of High Performance Computing at Tsinghua University in China to participate in the project "Data Analysis and Evaluations of Earth System Models" (funded by the National Key Research and Development Program of China). The institute recently developed a 10M-core-scalable fully-implicit solver for non-hydrostatic atmospheric dynamics over Sunway TaihuLight with an aggregate performance of 7.95 PFLOPS in double-precision, and won the ACM Gordon Bell Award of 2016. He will work with researchers to design and test machine learning and data mining algorithms on earth system and urban data. He will also visit Alibaba travel service Alitrip (Flying Pig) for 2 1/2 months to conduct research to discover values from large travel related datasets including users, transactions, point of interests, hotels, airports, and tourism land marks. The research will explore deep learning to represent places effectively, which will benefit many related research problems including place categorizations, flow predictions, and city functions modeling. Yan will also visit the Didi Research Institute (equivalent to Uber in China) to explore joint research. This will allow first hand access to real data and problems, collaborations with top researchers, and the potential to attract quality graduate students to Yan's research group and UNT.</p>	<p>The primary FDL objectives are high quality research results, publications, large grants with industry collaboration, and more international collaboration with institutions for UNT. More specifically, the FDL will help facilitate: 1) Joint publications with domain and industry experts. 2) Large scale machine learning models tested in peta bytes of datasets. 3) The potential for additional research grant as result of the collaboration with industry. 4) International research collaborations with multiple institutions and industry. 5) Agreements and potentially joint programs with Chinese universities.</p>	Fall 2018
Kamesh	Namuduri	Professor	Electrical Engineering	<p>Research in Unmanned Aircraft Systems (UAS) has gained significant momentum the past few years. It is now a prime area of research in civilian and military domains. For example, the National Aeronautics and Space Administration (NASA) is now leading UAS Traffic Management (UTM) in collaboration with the Federal Aviation Administration (FAA) and UAS industry, and the Office of Naval Research (ONR) has intensified its pursuit of UAS swarming for defense missions. Primary activity of leave: The industry is envisioning more applications for UAS. Therefore, there is a need for framework for UAS communications, networking, and traffic management. There is a big gap between theory and practice and I believe that it is now the prime time to engage and contribute to this field. Enhancing academic career: Over the past nine years, Kamesh has been investigating ad-hoc networking of UAS. In falls 2008 and 2016, he spent the summer months at the Air Force Research Laboratory (AFRL), at Rome, NY working in this area. He is now working with NASA on UTM research, participating in their flight testing activities and leading Institute of Electrical and Electronics Engineers (IEEE) Project number 1920.I (P1920.1), a work group established to develop aerial communications and networking standards. The FDL will allow Kamesh to make these contributions.</p>	<p>FDL objectives include: 1) Complete the first draft of IEEE P1920.1 standards. As chair for this Working Group charged with the development of standards for aerial communications and networking, Kamesh will complete the first draft of the standards. They will be published by the IEEE Communications Society. 2) Establish collaborations with NASA and AFRL. The proposed research activities will lead to active collaborations with the federal organizations engaged in UAS research in general and UAS traffic management in particular. 3) Research grant activity: Three proposals will be prepared and submitted to the federal funding agencies (ONR, NASA, and NSF). Focused and dedicated efforts towards preparation will increase the success rate of funding. 4) Build a foundation for establishing a center of excellence in UAS research at UNT. Overall, by end of this FDL, Kamesh intends to prepare the foundation for establishing a center of excellence in UAS research at UNT.</p>	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Zhenhai	Xia	Professor	Materials Science and Engineering	Muscle can be stiffened and softened with ease. Asynchronous flight muscle of insects in particular, one of the most powerful muscles in nature, exhibits high passive stiffness and pronounced stretch activation, and can operate at very high frequencies (100-1,000Hz). This extraordinary ability is believed to be the remarkable design of nature, which is attributed to distinct composite structures. The objective of this research is to explore the stiff and contracting mechanisms of the insect flight muscle. Xia will test the hypothesis that, unlike conventional muscles, flight muscle becomes stronger because its crystal-type myofibrils transmit force more efficiently. Based on this study, artificial muscle will be designed and fabricated using new technology. These synthetic muscles will have many potential applications such as bio-implants, robots, morphing aircrafts, micro-unmanned aerial vehicles, and power generators. The preliminary results will strongly support Xia's applications for the NSF and Air Force Funding, in particular large grants like the Multi-University Research Initiative. This FDL will increase Xia's overall level of knowledge, in particular in the area of bioinspired materials. It will provide an excellent opportunity to look at how nature works in making materials through reading, outdoor observations, and active collaborative research with renowned scholars at Case Western Reserve University.	FDL objectives include: 1) A prototype model for analyzing the dynamics of flight muscle. This model could further be used to explore other unique functions of flight muscle. 2) Biomimetic design and synthesis for artificial muscles: artificial muscles will be designed and synthesized based on the biomimetic design. 3) Preliminary data for NSF and Air Force funding applications: Little study on fully hierarchical muscle has been done, to our knowledge. Xia's research will be the first work on natural and synthetic flight muscle systems. The research will provide the preliminary results to support future funding applications. One book chapter and 2-4 papers on the related topics will be published based on the work. In addition, through the collaborative research, the FDL also builds international partnerships with top Chinese universities in materials research, and promotes student exchange programs, which enhances the international recognition of UNT materials research.	Spring 2019
Chandra	Carey	Associate Professor	Rehabilitation and Health Services	This FDL will focus on an in-depth mixed-methods qualitative study examining a gap in the research literature regarding service access and utilization, interactions with mental health service systems, and relationships to service providers for Black women who live with severe and persistent mental illnesses and/or substance use disorders in the DFW metroplex. Few studies in this area have thoroughly investigated the intersections of race, gender, and disability. The purpose of the proposed research is to gain a richer perspective of experiences in mental health service systems and interpersonal relationships with providers that serve as barriers to long-term recovery success. Focusing on this project during FDL will be meaningful in advancing Chandra's academic career by capitalizing on previous research and federal grant funding surrounding this topic. There is strong potential to situate the examination of intersectionality as a timely and seminal work on this topic within the Rehabilitation discipline. While a major outcome will be forthcoming manuscripts, professional presentations and future grant funding, the development of a documentary of the women's experiences will be innovative and additive to the existing body of knowledge on this topic.	FDL objectives include: 1) research products examining barriers to successful recovery and strategies to enhance recovery outcomes; 2) cultural competency training for service providers focused on the intersectionality of race, gender, disability, and the therapeutic alliance; 3) models for understanding intersectionality, its impact on access and utilization of mental health services by black women and other women of color with mental illnesses and/SUD and how to improve relationships between clients and mental health service providers; and 4) creating documentation of some aspects of these lived experiences to educate others and develop broader understanding of the issues impacting this population.	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Laura	Siebeneck	Associate Professor	Emergency Management and Disaster Service	<p>This FDL will comprise three primary activities. The first entails continuation of Laura's work on an NSF Critical Resilient Interdependent Infrastructure Systems and Processes (CRISP) grant examining recovery and resilience following Hurricane Sandy. She intends to prepare and submit at least two articles for publication in high quality journals. Second, she will travel to Purdue University to work with collaborators on several models stemming from work thus far on the CRISP Grant. This trip allows for significant progress on modeling and the advancement of research stemming from earlier work on the grant. Finally, Laura will spend a week at the University of Utah learning about the newest technologies and innovations in the areas of Geographical Information Systems, remote sensing, and spatial analysis. She will also visit the Utah Division of Homeland Security and Emergency Management Office to learn how spatial tools and techniques are being utilized in the emergency management arena. Understanding what these techniques are and how they are currently integrated into practice will have direct positive benefits not only to her research, but also to the students enrolled in my emergency management courses at UNT, as Laura can transmit this knowledge onto them. It is expected that the outcomes of this FDL will help Laura: 1) elevate her national and international reputation as a hazards scholar, and 2) allow her to advance a research agenda that will eventually lead to promotion to full professor.</p>	<p>There are three primary FDL objectives. First, Laura will continue her current research examining disaster recovery and resilience following Hurricane Sandy. This project, which will be in its second year of funding from the NSF, has yielded a significant amount of data thus far. Laura wants to conclude data analysis of the qualitative interviews conducted in Summer 2017 and conduct analysis of survey data gathered in Fall 2017. It is expected that the tangible products resulting from this leave will include 2 submitted journal articles. The second objective is to travel to Purdue University and meet with my collaborators who are also a part of the NSF CRISP grant. The purpose of this trip is to integrate the social science data collected from this study into their agent-based models, which are currently under development. Advancement of the spatial and temporal modeling already in development will be examined for patterns of disaster recovery in New Jersey. The third objective is to use this opportunity to enhance GIS, remote sensing, and spatial analysis skills. As a geographer, Laura frequently utilizes these tools in research and in the classroom and needs to remain current. Laura will spend a week at the University of Utah, Department of Geography during their Geography Awareness week to take advantage of presentations and demonstrations of how these technologies are being used in research and practice.</p>	Fall 2018
Oksana	Zavalina	Associate Professor	Information Science	<p>This FDL will focus on researching and writing a book tentatively titled "An Introduction to Machine Readable Cataloging Standards For Information Organization: Past, Present, and Future". Upon completion, the book is intended to serve as a textbook in graduate and undergraduate courses on information organization, especially those designed for students of Library Science and Information Science programs. Existing textbooks on the topic were last updated in the early 2000s before the major revisions in information organization standards, including the Machine Readable Cataloging (MARC) family of international standards, that have occurred continuously between 2009 and 2017. The resulting text will fill the gap and satisfy the demand for up-to-date learning materials on these important standards. The book could also be used as a reference text for practicing information organizers who completed their professional education in the past and need to educate themselves on the current state of the MARC family of standards and its future development directions.</p>	<p>The primary FDL objective is to complete the draft of the textbook "An Introduction to Machine Readable Cataloging Standards For Information Organization: Past, Present, and Future" for graduate and undergraduate students of Library Science and Information Science programs, that could also be used as a reference book by professionals in the field of information organization.</p>	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Tandra	Tyler-Wood	Professor	Learning Technologies	<p>Currently, Tandra serves as the UNT PI for the NSF sponsored "American Innovations in an Age of Inventions" grant. This grant is scheduled to end in July 2018. Substantial data has been collected with this grant that includes pre- and post-test measures on over 350 middle school students. Measures include: Science, Technology, Engineering and Mathematics (STEM) Achievement Scores, Visual Perception Scores, STEM Interest Scores, Career Interest Scores and qualitative responses to open ended questionnaires. During the FDL, Tandra will explore this massive data set in-depth to include both qualitative and quantitative data analysis. Further exploration of this data will provide additional products related to this NSF project. The production of additional products (articles) will increase opportunities for additional future funding. The intent is to write two articles with the data analyzed from my additional consideration of the data. The two articles will: 1) compare the STEM career interests of middle school males and females, and 2) determine if students with special needs differ in their STEM career aspiration when compared to the general seventh grade population.</p>	<p>The American Innovations in an Age of Invention grant (funded 7-31-15 through 8-1-18) has offered an opportunity to explore the rapid adoption of Maker Spaces in schools that allow students to design and fabricate their own inventions. Initial data analysis associated with the evaluation component of the grant supports the new curriculum as a vehicle for learning new STEM curriculum. However, because of time constraints, the data associated with specific populations has not been explored. Looking at the data across gender and at-risk populations will provide much needed insight into the acquisition of STEM knowledge and interests in STEM careers for these groups. Specific objectives include: 1) analyze data and write an article that compares and contrasts the STEM career interests of middle school males and females, 2) analyze data and write an article that will determine if students with special needs differ in their STEM career aspiration when compared to the general 7th grade population, and 3) use the above analyses to prepare a grant submission to the NSF-Discovery Research Prekindergarten-12th grade funding opportunity.</p>	Fall 2018
Suzanne	Enck	Associate Professor	Communication Studies	<p>In the summer of 2011, with the support of a UNT Research Initiative Grant (RIG), Suzanne conducted 36 life history interviews with women who have served time in the Dallas County Jail. Working in conjunction with the Dallas-area non-profit organization, Resolana, she collected narratives through semi-structured interviews from women who have worked actively with this empowerment and education program to re-orient their lives toward more productive ends. Suzanne plans to use the FDL to make substantive headway on a book project analyzing this corpus of interviews. All of the audio recorded interviews have been transcribed and Suzanne has assembled the bulk of the theoretical materials necessary for completing the project. She plans to use the FDL for thinking, writing, and workshopping chapters with a group of other scholars in the field. Completing this book project will be instrumental in ensuring that she is able to move her academic career forward from Associate Professor (awarded in 2016) to Full Professor. As she has taken on more service and administrative duties since earning tenure, ensuring structured writing time to complete this project is incredibly important. By the end of this leave time, she intends to have completed a full draft of the book project.</p>	<p>The primary FDL objective is to complete a book manuscript, tentatively titled "Storying Carceral Survival: Exploring Women's Pathways to Incarceration". The plan is to have the manuscript completed and submitted to a fitting university press by the end of summer 2019. Prior to the beginning of spring 2019, Suzanne will work through the process of circulating her book proposal to university presses with the hopes of securing a preliminary contract during (or prior to) the FDL semester.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Andrew	Harris	Professor	Dance and Theatre	<p>This FDL will focus on writing a book length study entitled "Broadway Revolution." The subject matter of the book is the growth and transformation of America's primary theatre marketplace in the City of New York through an analysis of production studies on a decade-by-decade basis. The study is historical in nature beginning with an assessment of recent Broadway seasons and then proceeding to an historical survey dating back to the American colonial period. Enhancement of academic career: "Broadway Revolution" builds on the success of two earlier award winning books, "The Performing Set: the Broadway Designs of William and Jean Eckart" (UNT Press and winner of the United States Institute Theatre Technology's 2007 Golden Pen Award, Best Book on Stage Production) and "Broadway Theatre" (Routledge and the winner of the Broadway Theatre Institute's Excellence in Education Award). "Broadway Revolution," the current project, brings together and synthesizes the knowledge base of the two earlier studies. The proposed book updates into the current era and expands the content of the earlier "Broadway Theatre." Through the writing and research activities required for this publication, Andrew's academic career will be broadened and his knowledge base enhanced. The outcome will be the publication of "Broadway Revolution," which is already under contract with the University of North Texas Press.</p>	<p>The primary FDL objective is a chapter by chapter submission entitled "Broadway Revolution" to the contracted publisher, i.e. the University of North Texas Press. The book will be 100,000 words with 16 pages of illustrations. In order to achieve the primary outcome, Andrew needs an intensive period of one semester to focus on the writing process. The process involves analysis, documentation, and composition. Since the intended audience for the book is a college level readership, the documentation of the research is important and will follow the Chicago Manual of Style. Both a bibliography and endnotes are required by the publisher. The outcome will be a high standard publishable study on the American theatre comparable in quality and depth to the two previous award winning volumes.</p>	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Laila	Amine	Assistant Professor	English	<p>The focus of this FDL is the completion of a book on African Diasporic migration, tentatively titled, "The Politics of Return in the African Diaspora." The publication of this second monograph will help bolster her profile as an expert in this literary area. Like her first book, "Postcolonial Paris: Fictions of Intimacy in the City of Light", this work focuses on immigrants, including African Americans in Europe as well as Afro-Caribbeans and Africans in the United States. It examines their homecoming in twentieth and twenty-first century novels. In the last fifteen years, less than half a dozen scholarly books have turned their attention to this omnipresent motif. When they do, they focus almost entirely on the return of the diaspora to an ancestral homeland (Lemelle 1994; Rody 2001; Commander 2017) or the search of immigrants' children and grandchildren for their family's original home (Hirsch 2011; Lopez 2015). This book project is different in its focus and comparative scope. It explains that ancestry in Africa and its accompanying quest for rootedness is but a small piece of a much larger story about return in African Diaspora fiction. Africa offers a metaphorical return, but more often than not protagonists of African descent travel back to their countries of birth. They often do so against the dominant poles of attraction, leaving developed nations to return to underdeveloped societies.</p>	<p>The primary FDL objective is to complete a proposal package for submission to a university press. The package will consist of the introduction chapter, another sample chapter, and a proposal (summary, methodology, chapter outlines, and market analysis). Laila plans to send the proposal to selected editors by the end of summer 2019. The spring 2019 FDL combined with the summer 2019 will provide the necessary uninterrupted time to complete this work. With this completed research, Laila will have fulfilled the bulk of the research requirement to attain full professor status at UNT.</p>	Spring 2019
Nora	Gilbert	Assistant Professor	English	<p>The focus of this FDL is the completion of a second monograph: <i>Gone Girls: The Runaway Woman Narrative in 18th- and 19th-Century British Fiction</i>. Nora has already performed extensive archival and secondary research for this project, and has written complete drafts of three of the book's eight chapters. She has also written articles drawn from two of those chapters, both of which have been accepted for publication in top-tier journals. The FDL will allow time to complete the manuscript by early- to mid-2019. Publishing a second monograph will bolster her academic reputation both nationally and internationally. This publication will allow Nora to establish herself as an up-and-comer in the field of 18th-century literary studies, in addition to enhancing her already-developing reputation in the fields of Victorian literary and cultural studies.</p>	<p>The primary FDL objective is the publication of Nora's second monograph, <i>Gone Girls: The Runaway Woman Narrative in 18th- and 19th-Century British Fiction</i>. She is currently putting together the formal book proposal and will send the proposal and two sample chapters to the acquisitions editor at Stanford University Press within the next few months with hopes of securing an advance contract. Her first book, <i>Better Left Unsaid: Victorian Novels, Hays Code Films, and the Benefits of Censorship</i> (2013), was published through them. The final contract will be dependent upon the book's completion.</p>	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
FeiFei	Pan	Associate Professor	Geography and the Environment	<p>This FDL will focus on developing a Matlab-based hydrological simulation and visualization model (M-HSVM), a spatially-distributed and process-based model. This model predicts daily soil water storage, surface and subsurface runoff, baseflow, evaporation and evapotranspiration, and vertical drainage at each grid cell. During the modeling process, simulated results are displayed simultaneously through an intuitive graphical user interface, which makes the model useful for education, research, and decision and policymaking. The M-HSVM is used to study the impacts of climate change and human activities on water quantity, and provide a scientific basis for water resources, land, and ecosystem managements. For example, using National Weather Service's rainfall predictions, this model can be used to predict flooding areas and standing water caused by Hurricane Harvey's landfall, and such prediction could be very useful for saving life and reducing property damage. To promote the M-HSVM, the model will be posted online for public access after it is developed. With the development of the model, and especially increasing usage and applications of the model in the future, Feifei's impact on the discipline (hydrology and water resources) will be enhanced, and his status in my field will be increased. The FDL will help FeiFei build a strong case for promotion to full professor. Besides the M-HSVM, a new course Environmental Modeling will be developed based on the M-HSVM. Through developing the course materials, a textbook proposal will also be produced.</p>	<p>The primary FDL objective is development of a M-HSVM. This M-HSVM will be developed from the processing-based hydrological simulation and visualization framework that FeiFei developed a decade ago. Three other products will also stem from the primary outcome: 1) one journal article will be written based on the developed M-HSVM, 2) the M-HSVM will be incorporated into the new course "Environmental Modeling". By using the Matlab, rather than the processing, FeiFei will be able to teach students more about the physics behind hydrological processes, rather than spending a lot of time on writing processing codes to solve partial differential equations and implement visualization, because the Matlab has these functions built in and users just need to directly call these functions. 3) Based on the M-HSVM and teaching materials for "Environmental Modeling" developed during the FDL, a textbook proposal (working title: Hydrological Simulation and Visualization Using Matlab) will also be produced.</p>	Fall 2018
Alexandra	Ponette-González	Associate Professor	Geography and the Environment	<p>Urban areas are a major source of atmospheric black carbon, a component of fine particulate matter and the second most important climate-forcing agent after carbon dioxide. As part of this FDL, Alexandra will write the first three in a series of seven planned peer-reviewed publications on black carbon in the urban ecosystem. These manuscripts will represent the outcome of her current NSF-funded research on atmospheric black carbon in the City of Denton, Texas, and will target high-impact science journals for publication. In addition to increasing scholarly productivity, Alexandra will spend two to three months in residence at the Cary Institute of Ecosystem Studies and/or the National Socio-Environmental Synthesis Center (SESYNC). As a sabbatical fellow at each of these institutes, her goal is to engage students and scientists and foster a broader network of collaborators from both the social and natural sciences. By providing a window of time to publish current research and opportunities to foster new academic collaborations, this FDL will enhance her national and international reputation, provide her with the foundation for new grant proposals to NSF, and advance her career path to full professor.</p>	<p>Primary FDL objectives include: 1) a cutting-edge body of work on black carbon pools (sinks or reservoirs) and fluxes (flows via such processes as fossil fuel combustion or deposition via rainwater) in the urban ecosystem, and 2) interaction and engagement with students and scientists bridging disciplinary boundaries and at the frontiers of global change science. The written work will consist of three lead-authored manuscripts for publication in scientific journals aimed at a broad audience. Two manuscripts will be direct products of her current 5-year NSF CAREER award, research that quantifies the potential for city trees to function as 'urban air filters', scrubbing black carbon particles from the atmosphere and then depositing them to soils. For her third manuscript, Alexandra will lead a group of experts in identifying the most pressing research questions on black carbon in the urban ecosystem. The second major FDL outcome will be the creation of a new network of collaborators (students, postdocs, faculty) at premier research institutes focused on finding solutions to earth's most pressing environmental challenges.</p>	Fall 2018 and Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Alexander	Mendoza	Associate Professor	History	<p>This FDL will focus on researching and writing the draft for a book project, tentatively entitled "Tejanos at War: Mexican Texans and American Wars." The FDL activity will enhance Alexander's academic career in that it will allow him to conclude the final research phase and writing the concluding elements of the only scholarly treatment of Mexican Americans (Tejanos) in the armed forces. There are currently no comprehensive studies that explore the Tejano military experience. As such, this book project will contribute to the fields of Texas, Mexican American, and American Military History. In recent years, historians have paid greater heed to Tejano military experience, particularly their participation in the two World Wars. Yet, no one has attempted to explore the full range of the experience of Mexican Texans in the wars of both centuries. Accordingly, it will enhance one of the history department's pillars, military history, and allow Alexander the opportunity for promotion to full professor. The final outcome of leave activity will allow him to conclude research trips to West Texas and South Texas to consult the archival holdings in each region and finalize the writing of the manuscript, which presently includes seven chapters and a conclusion.</p>	<p>The primary FDL objective is to complete the final research phases of a book-length manuscript studying the role of Mexican Texans in American wars. Accordingly, with FDL, Alexander can explore the essential repository of the Vietnam War in Lubbock. The Vietnam Center and Archives include oral histories, manuscript holdings, and newspapers of the era. These are deemed vital to understanding the motivations and the role of Tejanos in the Cold War era conflicts of the 1950s-1970s. An interrelated outcome is the ability to finish the writing of the manuscript. The scope of tracing the motivations and circumstances of Tejanos fighting in wars ranging from the Texas Revolution to the Vietnam War era is a comprehensive task, which requires careful reading of sources as well as time to correlate.</p>	Spring 2019
Andrew	Torget	Associate Professor	History	<p>The FDL will focus on advancing the book project: a biography of the rise and fall of Galveston as the essential port city in the development of nineteenth-century Texas and the entire American Southwest. Tracing the story from Galveston's founding as a village in 1837 (in the immediate aftermath of the Texas Revolution), through the town's rapid ascent to become the most important U.S. port behind only New York City (outstripping even New Orleans), through the sudden destruction of the city in the Great Storm of 1900 (still the largest natural disaster in American history). The book will tell the story of the meteoric rise and collapse of Galveston as a window into far-reaching processes remaking both the American Southwest and the United States during the tumultuous nineteenth century. Remarkably, no such book currently exists. Advancing this project will be critical to Andrew's academic career for two primary reasons. First, publishing this monograph will be an essential step toward being promoted to the rank of full professor. Second, this project will further bolster his standing as a leading scholar of Texas, the American South, and the U.S.-Mexico borderlands, which is important to his department's continued strength in Texas and Southern history.</p>	<p>The primary FDL objective is to research and write a first draft of a book manuscript on the rise and fall of nineteenth-century Galveston. The primary outcomes will be the rapid advancement of archival research for the project and the production of a first draft of the book manuscript, allowing Andrew to submit samples of the manuscript to publishers for an advance book contract. In addition, the research and writing will allow him to make presentations about this work on Galveston at prominent academic conferences in his field. These would likely include the annual meetings of the American Historical Association, the Organization of American Historians, the Southern Historical Association, the Western Historical Association, and the Texas State Historical Association.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Michael	Wise	Assistant Professor	History	<p>This FDL will focus on the writing of a book manuscript titled "Seeing Like a Stomach: Food and the Body in the Era of Lewis and Clark." While on leave, Michael will conduct the final stages of his archival research and finish writing the manuscript. Publication of this book will provide the basis for promotion to full professor, as well as further cement my scholarly expertise in the fields of environmental history, the history of the American West, historical geography, and food studies. The project examines how the intimate, embodied experience of eating affected the production of geographic knowledge during the nineteenth-century exploration of the North American West. As Meriwether Lewis, William Clark, and later travelers trudged across the continent's vast expanses, their stomachs led the way. They experienced landscapes most viscerally through the foods that they ate, working daily to feed and fuel their bodies. For these men and women, food was a primary and overwhelming concern, and they wrote about it constantly. Zebulon Pike remarked of his own epicureanism, for instance, that it was "beneath the serious consideration of a man on a voyage of such nature." And yet, as his entourage starved its way across southern Colorado and then feasted a trail back home through New Mexico and Chihuahua (in the company of a French chef), Pike wrote enthusiastically about his encounters with food.</p>	<p>The primary FDL objective is to finish a book manuscript. A substantial amount of preliminary research has been completed with archival collections held by the Smithsonian Institution, the Library of Congress, the National Archives and Records Administration, the Newberry Library in Chicago, and the Huntington Library in San Marino, California. During FDL, Michael will make short, return trips to two of these archives, the Huntington Library and the Library of Congress, in order to gather additional research material related to one of the book's later chapters. The majority of the FDL activity will consist of writing the book and preparing to submit it for publication. There will be five chapters, and with a total length of approximately 100,000 words. At present, Michael has a draft of about 20,000 words.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Pankaj	Jain	Associate Professor	Philosophy and Religion	<p>In the last few years, with the help of UNT's Junior Faculty Summer Research Fellowships, Pankaj compiled the first ever database of all the Hindu, Jain, and Sikh places of worship in Texas, which was later added to the Pluralism Project of Harvard University. He presented this research at a conference co-organized by the White House's Office of Faith-Based Initiatives in Washington DC. Continuing research on American Hindus, in June 2017, Pankaj signed a contract with Routledge for his third monograph "Hindus in America: A Short History." America now is home to approximately three million Hindus who are either born in the continental America or have immigrated from India or other countries. The American information technology industry appears to be dominated by the Hindu Americans, especially because of the Hindu CEOs leading the major companies such as Microsoft, Adobe, and Google. This monograph will survey Hindu Americans in diverse fields such as religion, politics, academia, media, music, and healthcare. During the FDL, Pankaj will conduct research of the existing academic and journalistic literature on American Hindus. He will also interview key Hindu informants in North America and Caribbean countries. The main outcomes of the research will be the monograph with Routledge. This will enhance his academic career, as this will be the first such major survey of American Hindus. The book will likely attract reviews from academic journals as well as online religion electronic magazines and blogs.</p>	<p>The primary FDL objective is to conduct research for the Routledge book "Hindus in America: A Short History." The book will have following chapters: Chapter 1. Introduction: Hindus in America, Chapter 2. American Temples and Ashrams, Chapter 3. Hindus and Hinduism in Music and Movies, Chapter 4. Hindus in American Politics and Governance, Chapter 5. Hindus in American Education System, Chapter 6. Hindus and Healthcare, and Chapter 7. Conclusion. The main objective of the book is to foster better interfaith and interracial understanding and harmony. Despite America now being one of the most diverse countries in the world, some of its own citizens are unaware of the major (and minor) contributions that Hindus (and other communities) have made in the making of modern America. The book will be first among many such books that will go a long way in highlighting some of the marginalized communities in this country. The other main objective of the book is to attract more Hindu (and other South Asian) students to UNT. Despite India being one of the leading countries that sends graduate students to UNT, UNT has no South Asian language degree program.</p>	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Michael	Greig	Professor	Political Science	<p>This FDL has two purposes. First, the FDL will be used to complete writing of a co-authored book manuscript, International Conflict Management, that is currently under contract by Polity. Second, the FDL will be used to begin work on a new stream of inquiry, the effects of humanitarian assistance on post-conflict stability and conflict recurrence. In this respect, the FDL will enhance Michael's career by yielding his second published book with a peer-reviewed academic press and developing a new line of research that builds upon his existing work. This new line of research addresses both an area of international relations where there has been limited empirical scholarly research and that has significant implications for foreign policy. By the end of the FDL, Michael expects to have a completed book manuscript prepared for publication as well as an academic paper manuscript that explores humanitarian assistance distribution and the evolution of post-conflict violence that will be presented at an academic conference. He will submit this paper for publication in a peer-reviewed academic journal. The first paper on humanitarian assistance will be the beginning of an extended line of research that Michael expects to produce over the next several years.</p>	<p>Primary FDL objectives are two-fold. First, during the proposed FDL a peer-reviewed academic book manuscript exploring the use and effectiveness of different forms of conflict management activities in interstate and civil conflicts will be completed. This book will examine empirically the conditions under which approaches such as mediation, negotiation, peacekeeping, peacebuilding, and direct military intervention are employed. Second, the FDL will provide an opportunity for beginning a new line of research examining the impact of humanitarian assistance on the long-term durability of peace in the aftermath of civil conflicts. Humanitarian assistance, which involves efforts to provide food aid, refugee assistance, and post-conflict reconstruction aid are often applied both during and in the aftermath of conflict. While these efforts play a vital role in helping civilians deal with the effects of violence, we know little about how and where these efforts can best be applied to reduce the likelihood of conflict recurrence. The research initiated during this FDL will provide an opportunity to begin to explore these issues empirically.</p>	Fall 2018
Bethany Blackstone	Hamner	Associate Professor	Political Science	<p>This FDL will focus on the analysis of laws passed in response to Supreme Court decisions in which the Court exercises judicial review between 1995 and 2018. Bethany will analyze the circumstances giving rise to statutes that respond to the Supreme Court's judicial review decisions, their subsequent implementation and interpretation by other political actors, and their impact on public policy. Primary activities will include analyses of primary and secondary sources related to the Supreme Court cases and laws that will be the subject of consideration. She will draw on diverse sources of information, 1) to construct a typology of response types based on the nature of the policy issue and the coalition that forms to pass a law, and 2) to evaluate the impact of Supreme Court decisions and responsive statutes on public policy. Sources will include legislative histories, government documents related to implementation of laws, opinions and court briefs from cases in which responsive laws are interpreted, publications from subject area and legal experts, and media coverage. Bethany will also conduct interviews of key participants in the policymaking process including interest group leaders, members of Congress, and congressional staffers. This FDL will enhance Bethany's academic career by providing the time necessary to complete this project, which plays an integral role in my strategy for building a national reputation as an authority on Congress-Supreme Court interaction.</p>	<p>The primary FDL objective is the creation of a book manuscript. Bethany expects to secure a contract for this book with a university press. The project will be of interest to professors, graduate students, law students, and advanced undergraduate students with interests in the separation of powers, constitutional law, judicial decision-making, congressional decision-making, and public policy. Bethany is currently working to develop the theoretical argument for the book and identifying the sources that will be analyzed. In short, she is spending the time leading up to the FDL laying the groundwork that will allow significant progress on the book manuscript during the spring 2019 semester.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Paul	Hensel	Professor	Political Science	<p>This FDL is a follow-up to a 3-year \$752,000 grant from the US Department of Defense. This grant funded the collection of a new data set on "identity claims" (disagreements between two countries over the status of an ethnic group that is shared by both countries, such as Russia and Ukraine over ethnic Russians in the Crimean Peninsula and eastern Ukraine) around the world since World War II. Data collection for this project under the Department of Defense grant will be completed in summer 2018, and this fall 2018 FDL will allow Paul to write at least five academic papers that will use the data, as well as to create a website to explain and disseminate the data. These papers and this website will establish Paul as a leading scholar, and UNT Political Science as a leading department in this important area. The web site will also be useful for establishing Paul's/UNT's visibility to a wide range of audiences beyond the academics who will read the papers and use the data in their own research. This website will allow policymakers, journalists, and the general public to understand the data set and learn about ongoing conflicts around the world that involve identity claims.</p>	<p>FDL objectives include: 1) five papers using data collected under the DOD grant from 2016-18, 2) the data set itself, and 3) a website, which will explain and disseminate the data. The first paper will introduce a data set on international identity claims (disagreements between two countries over the status of an ethnic group that is shared by both countries), describe patterns in the origin, management, and settlement of these identity claims. The second will relate these identity claims referred to as the "territorial integrity norm," the growing agreement among world leaders that territorial borders should not be challenged. The third will compare identity claims (where the demand is to acquire territory), river claims (where the demand concerns the usage of an international river), maritime claims (where the demand concerns fishing rights and offshore issues), expecting to see more armed conflict and less usage of international courts when identity issues are at stake than river or maritime. The fourth will examine the linkages between these different types of issues, focusing on how countries use an ongoing territorial claim to generate leverage over an identity claim and vice-versa. The last paper will examine the conditions under which countries are most likely to begin identity claims, focusing on political and economic conditions in both countries and the history of the group's treatment in both countries in recent years.</p>	Fall 2018
Valerie	Martinez-Ebers	Professor	Political Science	<p>The focus of this FDL is to co-write a book-length manuscript and submit it for publication at a top university press. The book will be the culmination of a research project with Dr. Brian Calfano (UNT '07) that examines the work of government-sponsored Human Relations Commissions to reduce racial-ethnic conflict and improve intergroup cooperation in multiple U.S. cities. The data collection for the project will be finished during summer 2018. As the lead author of the book, Valerie will solidify her national reputation as a leading scholar on the politics of race and ethnicity and also expand her reputation to include expertise in the areas of urban politics, human relations, public policy and administration.</p>	<p>The primary FDL objective is to complete a book-length manuscript suitable for publication in a top university press. The book will have seven chapters with approximately 200 pages of text, in addition to five to ten photographs, a references section, approximately twenty tables and figures illustrating statistical effects, and appendices including the instruments used for data collection.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Adriel	Boals	Associate Professor	Psychology	This FDL will focus on writing 6 papers for publication based on already collected data. One paper will focus patients with major spinal cord injuries. Another paper will focus on research conducted with two colleagues: Dr. David Rubin (Duke University) and Dr. Dorthe Berntsen (Aarhus University). Additional writings will be based on collaborative projects with Dr. Crystal Park (University of Connecticut) and Dr. Eranda Jayawickreme (Wake Forest University). Adriel will visit at least two of these colleagues and their labs, attend their lab meetings, work with their students and colleagues, and have dedicated work time on our projects. Adriel will also write a major paper that justifies the use of a specific research design in the field of trauma study.	The primary FDL objective is to write a series of new papers for publication. Adriel has five data sets that need analyses. He will also work on ongoing projects and begin new projects.	Spring 2019
Yolanda Flores	Niemann	Professor	Psychology	This FDL will focus on completing at least one sole-authored book on tokenism in higher education. The book proposal has already been accepted for the prestigious book series of SPSSI - Social Psychologists for the Study of Social Issues, the division of the American Psychological Association (APA) with which my scholarship is most associated. In addition, Yolanda expects to make significant headway on complementary articles targeted for five top journals. The outlines for these articles are developed. The book and related articles will significantly elevate Yolanda's visibility in the field, cementing her status as an expert in the area of tokenism, especially as related to faculty in higher education. This research will follow up her co-edited, exceptionally well-received book, Presumed Incompetent: The Intersections of Race, Class, and Gender (2012).	The primary FDL objective is to write a book that will provide a critical analysis of the extant literature, contributing a clear understanding of what we know about how a tokenized context develops, the impact on the token and the university, and interventions that can buffer negative outcomes of tokenism. Outlines for five journal articles will also be flushed out. The first targets American Psychologist, and makes the case that tokenism is a significant, but understudied construct that highly affects members of underrepresented groups in the workplace. The second targets Psychological Review and consists of a critical review of the literature. The third targets Psychological Bulletin, and outlines the theory of the social ecology of tokenism. The fourth targets The Journal of Higher Education and focuses on tokenism in higher education. The fifth article targets Diversity in Higher Education, and provides guidance for diversity officers and administrators on minimizing effects of tokenism on predominantly white campuses. I will also complete a feature article for the Chronicle of Higher Education and outline a TED talk.	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Thomas	Parsons	Professor	Psychology	<p>Thomas currently has a book under contract with Cambridge University Press, "Ethical Challenges in Cyberpsychology". He is also discussing a contract with Oxford University Press for a book on "Neuroethics for Neuropsychologists". In addition to writing these two books, a primary FDL activity will be to visit Dublin Ireland for 6 weeks. Thomas plans to have research meetings with University College Dublin (Cyberpsychologist: Brendan Rooney, Neuropsychologist: Conor O'Malley), Dublin City University (Neuropsychologists: Teresa Burke), Maynooth University (Neuropsychologist: Unai Diaz), and the Institute of Art, Design and Technology (Cyberpsychologist Grainne Kirwan). To broaden his knowledge of neuroethics, he plans to work with ethicists in the Institute of Ethics at Dublin City University, and the Medical Ethics Programme at University College Dublin. In addition to this trip, he aims to get focused neuroethical experience in the Oxford Centre for Neuroethics Visiting Scholars Programme. These activities will enhance his academic career by allowing him to further collaborative research with these institutions and enhance his expertise in neuroethical aspects of neuropsychology and cyberpsychology.</p>	<p>The primary FDL objective is for Thomas to work extensively with the aforementioned scholars to improve his skills by gaining additional training and to write two books. Thomas will also gain didactic training through other courses/workshops. The primary outcomes will be: 1) a completed manuscript for Cambridge University Press, 2) research portfolio and chapter drafts for the book with Oxford University Press, 3) submission of manuscripts from my current collaborations with these scholars, and 4) a plan for future grants and publications with these collaborators.</p>	Spring 2019
Ami	Moore	Associate Professor	Sociology	<p>This FDL will focus on a research project in South Africa (SA). This project, titled: "PrEP awareness, uptake and barriers among sex workers (SW) and serodiscordant couples in SA, is a comparative study that examines factors that influence pre-exposure prophylaxis (PrEP) awareness, uptake, and barriers among serodiscordant couples and sex workers in SA. The FDL will allow Ami to conduct this project for five months without interruption. Ami is working on a National Institute of Mental Health (NIMH) grant proposal for this exploratory project which will be submitted in January 2018. This research is significant because PrEP, the use of antiretroviral medication to reduce the risks of acquiring Human Immunodeficiency Virus (HIV) among seronegative people is imperative if HIV infections are to be reduced in SA, a country with one of the highest HIV prevalence rate of 19%. Data collected for this project will enhance the development of Sociology students as well as other students at UNT who are interested in HIV-related research for their thesis and dissertation projects. The data will be used to publish articles and improve Ami's scholarship. She will also be able to secure preliminary data to support future grant applications.</p>	<p>The primary FDL objective is to conduct an exploratory study focusing on PrEP awareness, uptake, and barriers among serodiscordant couples and SW in SA. In addition, the data will be used to develop protocols to increase PrEP awareness and reduce barriers to uptake among both population groups in SA. Additionally, Ami will secure preliminary data on PrEP awareness, uptake, and barriers in SA for a subsequent NIMH submissions.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Jiyoung	Yoon	Associate Professor	Spanish	<p>This FDL will focus on research of Spanish infinitival complement constructions and the way in which second language learners comprehend and use such constructions. A typical example of an infinitival complement construction is <i>Espero recibir la beca</i> 'I hope to receive the scholarship' in which the main verb expresses the desire of the speaker. Although this construction in Spanish appears to be very similar to that of English, there are many differences that learners often find puzzling. There is no clear-cut correspondence between the types of English vs. Spanish verbs that take infinitival complements. In addition, English often takes a gerund complement as well (e.g., <i>I avoid swimming</i>) while in Spanish, this is not the case (e.g., <i>Evita nadar</i> '(lit.) I avoid to swim'). Despite these differences among many others, Spanish textbooks do not usually treat this topic in depth, assuming that English learners of Spanish should be able to rely on the major similarities of the syntactic structure and figure out those 'minor' differences on their own, in a gradual fashion while their vocabulary expands. During this FDL, Jiyoung will explore this topic in three different but related research themes. The intent is to produce research papers and submit to prestigious refereed journals for publication. Pursuing this topic in various promising directions will produce innovative findings and enhance Jiyoung's academic career, and eventually help her move towards applying for promotion to full professor.</p>	<p>The primary FDL objective is to publish a book monograph at one of the prestigious presses in the field of linguistics such as John Benjamins Publishing Company. Jiyoung has already begun researching the proposed topic. This is evident by her co-authored article "A corpus-based study of infinitival and sentential complement constructions in Spanish" that was published as a book chapter in the co-edited volume: <i>Corpus-based approaches to Construction Grammar</i> (2016). This article examined the syntactic preferences of verb taking complements and analyzed corpus data to see if native speakers show a preference for using infinitival complements for a certain verb type.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Carol Anne	Costabile-Heming	Professor	World Languages, Literatures, and Cultures	<p>This FDL will focus on archival research and conduct interviews with leaders in the German literature industry for a new project with the working title: "The German Literature Industry Today: Theory and Practice." During the FDL, Carol Anne will spend approximately three months in Germany, where she will conduct research at the Deutsches Literaturarchiv (German Literature Archive) in Marbach, which has major holdings on the publishing industry, as well as the archives of the major German publishers of literary fiction and poetry. She will also meet with and interview noted experts and participants in the literature industry including professors, publishers, editors, agents, and literary critics. In addition, she will visit major newspapers and radio stations, where she will observe their daily procedures for creating, producing, publishing, and broadcasting cultural programming related to authors and fictional texts. This project is a logical outgrowth of earlier research into the publication practices of the German Democratic Republic (former East Germany) and will contribute to her expertise and growing reputation in that area. By examining the publishing industry in Germany since unification in 1990, Carol Anne intends to expose the ways that the German literature industry has responded to the double challenge of changing market practices and changing reading behaviors in the Digital Age. The long term outcome of this FDL is a book-length monograph which will be submitted to a peer-reviewed international press.</p>	<p>FDL objectives include a series of peer-reviewed publications/conference presentations. Carol Anne intends to present the results of her research at international conferences including the German Studies Association, the American Comparative Literature Association, and the Modern Language Association, and to publish the results in peer-reviewed journals such as <i>Glossen</i> and <i>Andererseits</i>. The long-term goal of the project is to publish a monograph on the German literature industry in a highly respected international peer reviewed press. The book will consist of five chapters. Chapter 1 presents the writer-author not just as a creator of texts, but also as a brand or commodity. Chapter 2 examines the publishing landscape, paying particular attention to the triangular relationship between author and publishing house, author and literary agent, and literary agent and publishing house. Chapter 3 analyzes the role of literary criticism, from formal reviews in print, visual, audio, and digital media, to the more recent phenomenon of reader reviews on the websites of online vendors. Chapter 4 tackles questions of funding and sponsorship, surveying the ways in which fellowships and prizes influence the building of a canon of contemporary literature. Chapter 5 looks at the culture of literary festivals and Germany's major book fairs (Leipzig in spring and Frankfurt in fall), which are public forums that bring together all participants in the literature industry (writers, their publishers and agents, literary critics, potential sponsors, and readers).</p>	Fall 2018 and Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Lawrence	Williams	Professor	World Languages, Literatures, and Cultures	<p>This FDL will focus on research and teaching in Algeria as a Fulbright scholar during the 2018-2019 academic year. This Fulbright program (8345-AG) is designed specifically for specialists in Applied Linguistics. Lawrence will be affiliated with the University of Oran, in northwestern Algeria, or the École Normale Supérieure in Algiers, the capital of Algeria. For several years, his research and teaching focused primarily on cultural and linguistic contexts and issues in Canada and Western Europe, but since his promotion to Professor, he has begun exploring other multicultural and multilingual contexts. This FDL will enhance his academic career by allowing him to expand his research program and his knowledge base beyond Canada and Western Europe, by providing him opportunities to explore potential long-term academic projects with scholars in Algeria and potential overseas study opportunities for students at UNT. The first expected research-related outcome is to collect linguistic landscape artifacts. The second expected research-related outcome is to work on the manuscript of a research monograph focusing on linguistic landscape analysis as a tool for learning and teaching (foreign) languages. This is the only Fulbright program for specialists in Applied Linguistics in a country where English and French are currently competing for status as a privileged global language, which makes this program an ideal opportunity.</p>	<p>The first FDL objective is to collect linguistic landscape artifacts. There are two types of artifacts that Lawrence typically collects in order to analyze any linguistic landscape: authentic documents and photographs. Authentic documents include anything available to the public (e.g., newspapers, materials used to package beverages and food, bus tickets, tourist brochures, website content, and so forth) where symbols, including letters and words, are used to communicate all sorts of messages. Photographs are taken of linguistic landscape artifacts when it would otherwise be impossible to collect, keep, and/or transport the artifacts (e.g., traffic signs, advertisements, signs on stores and other types of buildings, symbols, including letters and words, on vehicles, warning signs, and so forth). The second FDL objective is to continue working on the manuscript of a research monograph that focuses on using the linguistic landscape as a tool for learning and teaching (foreign) languages. An additional FDL objective is to develop lessons, units, and/or courses for the French curriculum and/or the University Core Curriculum that include or feature Algeria and other parts of North Africa, an area that is noticeably underrepresented not just at UNT, but in most language departments around the country.</p>	Fall 2018 and Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Priscilla	Connors	Associate Professor	Hospitality and Tourism Management	<p>This FDL will focus on food choice and waste: Sell-by-date labels and the premature discarding of safe edible foods. The United States produces an abundance of food of which an astounding 130 billion pounds annually finds its way to the landfill. Liquids, like milk, too often are poured down the drain and into the sewer. One troubling cause is a flawed expiration label that consumers see as a safety warning telling them to “throw it out!” rather than an inventory device used to manage retail stock. Pasteurized fluid milk is an economical source of high quality protein. It lends itself to simple palatability testing at home using the senses of sight and smell yet most consumers rely on an expiration date as a signal to “throw it out!” Priscilla is seeking a sweet spot where expiration labeling works to meet dairy industry needs while minimizing milk waste in the home. Her experience conducting food choice and waste studies in public schools, universities, and hospitals uniquely prepares her for this investigation. The proposed research agenda combines outcomes from a “why did I throw it out?” study in which consumers journal milk waste at home with an investigation of the dairy industry side of the equation including labeling regulations and milk retailing constraints. Based on combined results Priscilla plans to publish recommendations for expiration labels that support sound retail practices without prematurely signaling consumers to “throw it out!”</p>	<p>This FDL proposal has four objectives related to recognition, publication, contribution to the digital commons, and conference presentations on the influence of the food choice environment on consumer food waste in the home. (1) Recognition: An FDL provides the flexible time and travel opportunities needed to research the relationship between expiration labeling and consumer disposal of safe milk, and to join the national debate on food availability and loss. (2) Manuscript: A manuscript detailing study results submitted within six months of the FDL to a journal with international recognition such as Journal of Nutrition Education and Behavior, or Food Policy. (3) Digital Commons: A Consumer Decision Making Model explaining the interaction of expiration date information with consumer “throw it out” decision-making is archived on UNT Scholarly Works Digital Library. (4) A Proposed Label: A proposed sell-by-date (expiration) label or seal for fluid milk cartons presented at Society for Nutrition Education and Behavior Annual Conference (July, 2019) and Menus of Change University Research Collaborative Conference (October, 2019).</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Andrew	May	Associate Professor	Composition Studies	<p>This FDL will focus on composing an augmented-reality opera for four singers, chamber ensemble, and a real-time interactive intermedia system driven by a local network of computers generating audio and video in real-time. The surreal psychological experience of displaced persons will be brought forward by this technological setting, including projected sets on screens surrounding the performers, projected stage lighting that creates flexible zones of light and color, computer music bridging between the performers and the sounds of the scene, real-time transformation of the singers' voices, and interaction of characters on screen behind the performers via live camera capture and recorded characters superimposed by chroma keying. The computer system will also enhance the practical aspects of the performance, acting as virtual conductor via on-screen prompter's box and digital music stands. All equipment will be portable, together with the small cast and ensemble, this will allow the work to travel easily and appear in non-traditional venues. Besides the finished composition itself, the outcomes will include a series of performances of the work beginning in February 2019 and an audiovisual document of the work. This project will integrate Andrew's work as poet, composer, computer musician, and performance systems designer. With this being his first work involving visual media, it will allow him to establish new collaborations, learn new skills, and extend his art in new directions.</p>	<p>The primary FDL objective is to create a new evening-length work of music drama that explores new directions that have only become technologically plausible recently. Andrew will organize a series of performances beginning in February 2019 at UNT and then take the show on the road, including non-traditional venues where dramatic works are usually impossible. As part of the process of creating the work during the FDL period (as well as a fellowship from the Institute for Advancement of the Arts), he will develop an integrated software and hardware system for intermedia performance, including an extension of network protocols used for digital self-conducting music stands. He will move from designing environments for intermedia performance to actually using them for his own work, in the process acquiring new skills in video production, projected lighting design, and staging. In this process, he will establish new collaborations with singers, theatrical lighting and stage designers, video and intermedia artists, and presenters. This project will propose a new model for operatic performance that addresses the changes augmented reality has provoked in everyday experiences, from smart phones to video game interfaces to omnipresent audiovisual media.</p>	Fall 2018
David	Itkin	Professor	Conducting and Ensembles	<p>This FDL will focus on the writing of a new book "Conducting Orchestral Masterworks". A follow-up book to "Conducting Concerti" [North Texas Press, 2014]. The first book brought much greater visibility to UNT's conducting program, and a book on broader repertoire should further enhance the program's reputation. The FDL outcome will be the publication of the new book, leading to increased visibility for the conducting program, the college, and UNT.</p>	<p>The FDL objective is to complete the book "Conducting Orchestral Masterworks", or be close enough to completion that it is read for submission to publishers.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Gideon	Alorwoyie	Professor	Instrumental Studies	The primary focus of this FDL is to research the current repertoire of the University of Ghana, Institute of African Studies Ghana National Dance Ensemble at Legon, Accra, and investigate how it has developed from the original repertoire from when Gideon served as the first Chief Master Drummer 40 years ago. This research will update my understanding of the various drumming and dance performance pieces and will enable me to teach a modern, diverse repertoire to my students at the University of North Texas, College of Music. The resulting documentation from my leave will produce the first book that will analyze how the repertoire of the Ghana National Dance Ensemble has progressed since the original repertoire was choreographed by Professor Albert Maware Opoku, the founder and first Artistic Director. My time with the Ghana National Dance Ensemble will be mutually beneficial, helping the ensemble members to understand the origin of their repertoire, and strengthening the ensemble's understanding of how the drumming language dictates the choreography.	The primary FDL objective is to publish the first book on how the Ghana National Dance Ensemble's repertoire has changed since 1964, just a few years after it was formed. Gideon will provide a firsthand account of both his extensive experience and his deep cultural knowledge of drumming and dance traditions. The book will be the culmination of his life's work and will provide a greater understanding of how this traditional art form continues to develop.	Spring 2019
Jennifer	Barnes	Professor	Jazz Studies	This FDL will focus on creating a legal entity called, "North Texas Jazz Press", which will publish musical works created by composers and arrangers whose works have been donated to UNT, as well as UNT jazz students and faculty. This activity will greatly enhance Jennifer's academic career, as she will be responsible for assisting both students and faculty in getting their work published. In addition, generating tremendous attention and interest of the national and international community of musicians, scholars and educators who greatly desire access to the music that will be published. Lastly, she will gain vast knowledge related to copyright law, online commerce, and many other aspects of music publishing, which is valuable information that can be used in teaching. The intended FDL outcome is to have much, if not all, of the legwork done to create "North Texas Jazz Press", with concrete plans for how to go forward with the assistance of colleagues, staff and graduate students to bring the publishing entity to life.	This primary FDL objective is to research how to create a publishing entity, and to have a plan in place with steps laid out for how to proceed. The outcomes would include: 1) the existence of a template for legal agreements between UNT and individual composers and arrangers for publishing their works; 2) development of the necessary infrastructure to deal with royalty and licensing payments, including new UNT financial accounts; 3) an understanding of best practices for the secure digital storage of all the works housed in North Texas Jazz Press's library; 4) securement of seed money to pay the fees to obtain licenses for a starter set of published music; 5) establishment of relationships with the major music publishers (notably Hal Leonard Music, but others as well) to enable ongoing communication regarding the granting of copyright permissions to publish new arrangements and editions of existing musical works; and 6) creation of an established order of priority for publishing works from the catalog of composer/arranger Gene Puerling, whose collection of music is currently housed in the Special Collections area of Willis Library.	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Bernardo	Illari	Associate Professor	Music Theory, History, and Ethnomusicology	<p>In 2011, Bernardo's book on the activity of the Italian Baroque composer Domenico Zipoli (1688-1726) as a Jesuit missionary in South America was published in Spanish (Domenico Zipoli: Para una genealogfa de la musica clasica latinoamericana). The contributions made by other scholars since then have rendered the book obsolete, posing an obstacle to the publication of an English translation (for which a draft exists). As part of his ongoing research, he created a new conceptual framework for Latin American composers by combining Paul Smith's "subject positions" and Foucault's genealogy (presented in a forthcoming book on Baroque Bolivian music). A FDL will allow Bernardo to visit archives in Bolivia, Peru, and Chile that are accessible only in person, collect newly-discovered pieces by Zipoli, find related documents, and produce additional chapters to render the book publishable in the U.S. Simultaneously, he will revise the manuscript to incorporate relevant new ideas. The outcome of the project is a rough first draft of a complete manuscript to be polished and submitted to a prominent academic press for consideration in the semester following the leave. The project will update and make available to the English-speaking world a well-received research book in Spanish, increase his visibility within Anglo-Saxon musicology, and further underpin UNT's standing as a research institution.</p>	<p>The primary FDL objective is to to complete a decades-long examination of Domenico Zipoli's music as both a European composer and a Jesuit missionary in South America, seeking to understand the ways in which his works dialogue with the complex inter-cultural issues raised by the colonization of the Americas. Secondary objectives include: 1) Study music manuscripts attributed to Zipoli and related local pieces in the following music archives, Archivo Musical de Moxos (San Ignacio, Beni, Bolivia), Archivo Musical de Chiquitos (Concepcion, Santa Cruz, Bolivia), Convento de San Francisco (Lima, Peru), Catedral de Santiago (Chile). 2) Explore, with help and guidance from local scholars, these or other related archives that could shed light on the compositions, including the Archivo Arzobispal de Lima (Peru), and perhaps also the Archivo General de la Nacion (Lima, Peru). 3) Collect photographic reproductions of all sources. 4) Produce draft editions of relevant compositions (11 new pieces, 19 revisions). 5) Analyze as sources for Zipoli's production in relation to similar European and Latin American Baroque items. 6) Draft two chapters presenting these studies. 7) Produce a new rough draft of his book, Domenico Zipoli, (in English) incorporating the new chapters.</p>	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Timothy	Jackson	Distinguished Research Professor	Music Theory, History, and Ethnomusicology	<p>This FDL will focus on the completion of two chapters of a book, Puccini's Operatic Transformations of Partimento Models, for Robert Hatten's series "Musical Meaning and Interpretation," published by the University of Indiana Press. Timothy will do a general analysis of selected operas, including Madame Butterfly, Tosca, Turandot, and Suor Angelica, treating them as unified, showing how all of the parts relate to the whole, thus, the details of every moment will be evaluated in the context of the entire work. Partimento (from the Italian: partimento, plural partimenti) is a musical bass line, often with figures indicating the harmony, above which exercises and even complete compositions were realized. Partimenti were employed as pedagogical aids for the teaching of harmony, counterpoint, and improvisation in the late seventeenth century in the Naples conservatories and then spread throughout Europe. Building on the achievements of previous students of Puccini's music, Timothy will show how Puccini employs transformations, rather than literal restatements, of partimento models to structure large spans of music in his operas. The proposed book is related to his paper "Puccini's Pelléas and Butterfly's Transformations of Partimenti," presented at the Joint National Meeting of the American Musicological Society and Society for Music Theory, Vancouver, Canada, November 6, 2016.</p>	<p>The primary FDL objective is to complete two book chapters explaining Puccini's use of partimento models to structure his operas Tosca and Madame Butterfly. Timothy will show for the first time how Puccini, who was educated in the partimento tradition, employs transformations of partimento models to organize the large-scale background structures of his operas Tosca and Butterfly. For example, he will demonstrate how, influenced by the harmony in Debussy's opera Pelléas, in Butterfly, Puccini employs a whole-tone transformation of the partimento "Rule of the Octave" to structure the entire opera.</p>	Spring 2019
Catherine	Ragland	Associate Professor	Music Theory, History, and Ethnomusicology	<p>This FDL will provide Catherine the opportunity to travel to South Texas (Austin, San Antonio, Rio Grande Valley) to conduct final research and fieldwork and to submit a book proposal and manuscript draft for a second book project tentatively titled "Listening for Legacy, Heritage and Place in Texas Mexican Musical Life." The book interrogates narratives of musical heritage, cultural memory; and the influence of pioneering players by scholars, culture brokers, and fans in constructing a social history of listening to one of the country's most dynamic and resilient regional American traditions. Currently, there is a preliminary draft for two chapters. The editor, Temple University Press (Studies in Latin American and Caribbean Music series), has already expressed interest in this project, but Catherine expects to send it out to other select publishers as well. Completing this manuscript will lead her closer to a promotion to full professor and to the publication of a major work on a rich regional American musical culture that is only now capturing national and global attention.</p>	<p>Primary FDL objectives include: 1) Travelling to conduct final archival research and fieldwork activities (select interviews) which will bring to a close the two-year (mostly summers) research phase of this project. 2) Creating a book proposal with the completion of two chapters that are currently in early draft form. 3) Sending the manuscript to a publisher at Temple and likely to the University of Illinois Press, Duke, University of Texas Press and Lexington Press. 4) Writing the remaining four or five chapters in the manuscript. A manuscript draft is the final anticipated outcome of FDL activities.</p>	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Stephen	Slottow	Associate Professor	Music Theory, History, and Ethnomusicology	<p>There has been very little musical analysis of French Baroque music, especially that of Francois Couperin, whose keyboard works retained their popularity even to the late nineteenth century, when Johannes Brahms and Friedrich Chrysander edited a new edition of them (1888). This neglect stands in marked contrast to the wealth of analytical attention given to German composers such as J .S. Bach or George Frideric Handel. Yet the music is powerful, elegant, ingenious and beautiful. This purpose of this FDL is to begin to fill this analytical lacuna and to research and explicate the characteristic musical procedures and processes that make Couperin's music so personal, distinctive, and recognizable. This effort, which would continue the work begun with Stephen's Euromac17 presentation (July 2017), would also develop musical analysis of French Baroque music in general, and of Couperin in particular, into another research area, which should lead to presentations and publications. If there is time, he will also look into musical analysis of some of the overtures to Lully's operas, especially that of Atys, his first tragedie lyrique. Multiple prints of Atys are held in the Lully collection at the UNT library.</p>	<p>The primary FDL objective is to begin to address the dearth of music-analytical attention given to the keyboard works of Couperin, and develop the analysis of Couperin and French Baroque music into an additional research area. Secondly, if there's time, Stephen will look at some of Lully's overtures, especially of Atys.</p>	Fall 2018
Nicolae	Anghel	Associate Professor	Mathematics	<p>The purpose of this FDL is to: 1) Write an original book titled Complex Numbers in Mathematics, which will show that any area of Mathematics should be rooted in Complex Numbers, as opposed to Real Numbers. The chapters will flow like Complex Numbers in Geometry, Algebra, PDEs, Spectral Theory, Fourier Analysis, etc, contain few fundamental theorems in each specific field, whose proofs will depart from the classical ones in the sense that the driving force behind them will be Complex Analysis, and then include few completely non-trivial applications where the Complex Methods of investigation will be judged superior to the traditional ones. The book will be published either by the Mathematical Association of America or the American Mathematical Society. 2) Continue traditional research efforts resulting in publishable research articles, in the areas of Geometric Spectral Theory, Matrix Theory, and Algebraic Decomposition Theory. All these efforts reinforce Nicolae's status as an active researcher in a department where there seem to be fewer and fewer such. The Matrix Theory part will be interdisciplinary, involving a graduate student from Electrical Engineering. Every publication that produced enhances Nicolae's academic career and brings credibility to our university in its efforts to excel in research and education.</p>	<p>FDL objectives include: 1) writing an original book addressing several fields of Mathematics, where the departing point and the methods of investigation will pertain to Complex Numbers; 2) visiting two noted researchers and teachers, Robert Stanton and Hellmuth Stachel who share the vision that Complex Numbers should be the cornerstone of any result in Mathematics and carry collaborative research with them; and 3) pursue to completion ongoing research projects in Geometric Spectral Theory, Matrix Theory, and Algebraic Decompositions.</p>	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Vladimir	Drachev	Professor	Physics	<p>This FDL will focus on the development of research projects in the field of plasmonics, in particular on study of collective electron excitations in spin polarized magnetic nanomaterials. The hybrid magnetic and plasmonic nanostructures can enable ultrafast control in nanophotonic devices for future telecommunications and data recording technologies. It is expected that the oncoming research will have impact in the fields of ultraviolet plasmonics and magnetic fluids, which can be in great demand for biomedical applications. The results will link advantages of the nano-plasmonics and magnetism in one material for ultrafast control in nanophotonic devices and for future telecom. Vladimar will travel to Skolkovo Institute of Science and Technology (Russia) and perform experiments using their unique equipment, which is complementary to UNT. He will collaborate with top theorists, Prof. Maimistov and Prof. Gabitov, world experts in the field of plasmonics. These FDL activities will: speed up the research projects of Vladimir's group, make future proposals for funds more competitive, and facilitate his academic career. It is expected that an access to unique equipment will allow him to obtain critical results for high level publications. It will establish new international collaborations, and increase visibility of UNT research. The results obtained will be published in peer-reviewed journals. He also intends to involve one of his undergraduate students in the summer internship at the same institute.</p>	<p>The primary FDL objective is to provide experimental evidence for the unusual behavior of magnetic plasmons. The results obtained will be published in peer-reviewed journals.</p>	Fall 2018

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Marco	Buongiorno Nardelli	Professor	Physics	<p>The purpose of this FDL is to participate in a PRISM (Perception, Representation, Interaction, Sound and Music) Trans-disciplinary Laboratory residency in Marseille, France. PRISM associates various scientific disciplines together with the arts on questions related to perception and representations. These questions are addressed through three shared objects of study: sound, image and music. This unprecedented laboratory is supported by the Aix-Marseille University and the Centre National pour la Recherche Scientifique (CNRS). While there, Marco will work on various projects related to his Art/Science creative practice. He will focus on projects that involve the study of the perceptualization of scientific data through sound and its value as a cognitive tool in relation to visual representations of big data, the design of augmented/virtual reality environments for data space navigation, and the aesthetics of post-sonification as artistic practice in musical composition. Marco looks forward to the interactions with other artists/scientists to create an organic vision where art and science serve multiple purposes: educational, aesthetic, dialectical, humanitarian. This experience will greatly enhance his professional development and establish a platform for long-term collaborations in the years to come. These activities will be instrumental to establish UNT as a member of the Alliance for the Arts in Research Universities (A2RU) institutions.</p>	<p>FDL objectives include: 1) Conduct research at the Institute for Advanced Studies, Mediterranean Exploratory of Interdisciplinarity of the University of Aix-Marseille (IMERA)/PRISM. 2) Create publications in scientific and art science journals and presentations at international conferences. 3) Create website pages to disseminate the results through the IMERA/PRISM web portal, the Physics Department web site, and the Initiative for Advanced Research in Technology and the Arts and Center for Experimental Music and Intermedia initiatives at UNT. 4) Participation in this collaboration will result in learning new environment for research in arts and technology, broadening Marco's scientific expertise via extended interactions with top researchers in the field. 5) Position UNT as a leader in data perceptualization and analytics via advanced virtual reality technologies and increase the potential for attractive funding in this emerging field of research.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Nada	Shabout	Professor	Art Education Art History	<p>The purpose of this FDL is to finish the book manuscript, <i>Demarcating Modernism in Iraqi Art: The Dialectics of the Decorative, 1951-1979</i>. Nada will travel to London to access collections of art and archives. To support her research and writing, she has applied for and anticipates securing the Radcliffe Institute Fellowship and a Writers Grant (fall 2018). Nada's book is a study of the Iraqi Modernist avant-garde of the mid-twentieth century. Specifically, the study is devoted to mapping out, analyzing, and contextualizing the discursive energies of modern artists in Iraq who were instrumental in negotiating and constructing the modern language of art in the period between 1951 and 1973. The book will further secure Nada's international reputation as a specialist of modern Iraqi art and will be an excellent and needed addition to the literature of the field. The intended outcome of the leave is the finished manuscript. She has sent the book proposal to the University of Cairo Press and they are awaiting a finished chapter early next year to send out for initial peer reviewing and issue the contract. Nada anticipates submitting the full manuscript to the publisher by early 2019.</p>	<p>The primary FDL objective is to finish the book manuscript. The book is divided into six chapters that unpacks the decorative and its articulation in modernism at large and map out its role in forming modernism in Iraq through detailed studies of key artists of the period in consideration. A chapter is dedicated not only to a critical reevaluation of the work of both artists but to a comparative study that elucidates the radical shift undertaken by Azzawi in response to Selim's articulation of space and surface. The book also critically explores in depth the work of the well-known but little studied artists, Suad al-Attar and Faisal Laibi. Both artists present unique articulation of the decorative in their formulation of particular styles in Iraqi modern art. Al-Attar, Iraq's leading woman artist who was affiliated with the Baghdad Group for Modern Art, negotiated the decorative to specifically feminized her introspective space. Laibi, on the other hand, invokes the decorative to endow his spaces with masculinity through his massive sculpted figures.</p>	Fall 2018
Johnnie	Stark	Associate Professor	Design	<p>This FDL will focus on an oral history project that documents practitioners, policy makers, and other professionals in the North Texas area who have made significant contributions to the green building movement and sustainable design. Leave activities will result in the recording and transcription of interviews completed with a core group of individuals. These materials will be contributed to the extensive UNT oral history collections and will support additional dissemination activities including curriculum content, research writings, and conference presentations. Johnnie has been involved in the North Texas interior design industry for the past 40 years as a student, practitioner, and educator. During that time span, she has experienced first-hand the evolution of the green building and design movement in the Metroplex. Milestones include the first Leadership in Energy and Environmental Design (LEED) certified buildings in this area, product design based on healthy and sustainably sourced materials, the formation of the North Texas Chapter of the U.S. Green Building Council (USGBC), and city and state policies requiring sustainable development, buildings and interior environments. Her research has focused on the intersection of sustainable design, interior design education and practice. The proposed leave activity is an opportunity to connect practice, education, and teaching, through the exploration of oral history as a research activity.</p>	<p>The primary FDL objectives include audio recordings and written transcriptions of the interview profiles prepared in an uploadable file format. In addition to the transcription text, final documentation also includes a photograph of the individual interviewed and an introductory statement orienting the library user to content and context. Based on discussions with J. Todd Moye, Professor in the Department of History and Director of the UNT Oral History Program, the completion of ten interviews over a long semester is a reasonable goal. Preparation for the interviews will include qualifying, identifying and scheduling the interview subjects as well as procuring the necessary recording equipment. In addition to the UNT Oral History Collection submission, it is projected that the content generated through the interviews will provide raw material for course curriculum, presentations both on and off campus, and additional research writing. Relationships made or renewed during the interview process can also lead to mentorship opportunities and supplement the ID program's robust industry connections so vital in nurturing our students. Johnnie's intention is for this activity to form the basis of a larger collection within the UNT holdings. Her goal is to gain expertise in oral history methodology and continue to develop documentation that is suitable for inclusion in the UNT Library Oral History Collections.</p>	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Laura	Beard	Associate Professor	Studio Art	The purpose of this FDL is to participate as an artist residency at the Ucross Foundation located in Clearmont, Wyoming for six weeks starting in October. Ucross provides a supportive creative research environment, private studio, room and board. The focus of the research is creating a body of work titled "Acoustic Shadow-Where Sound Goes to Die". The subject of Laura's imagery is inspired by the acoustic phenomenon first documented during the Civil War. Acoustic shadows are areas where sounds, from a certain direction and on any given day, are absorbed, refracted or simply blown in a different direction. Laura is creating abstract visual images that are inspired by historic and contemporary descriptions of this phenomenon regarding sound. Ucross hosts not just visual artists but musicians and writers. As a professional artist, the opportunity to explore and develop a new body of work is highly significant to growth as a painter and is vital to career advancement as a CVAD faculty member. Creative research and new work generated at Ucross is essential to Laura's professional standing as an artist. Her goal is to produce a strong body of work on paper and on canvas. The new works on paper and canvas will be shown in solo shows at the Sherry Leedy Contemporary Art Gallery in Kansas City and the Bruno David Gallery in St. Louis in 2019.	Primary FDL objectives include exploring and producing a strong body of work on paper and on canvas titled "Acoustic Shadow - Where Sound Goes to Die". In terms of quantity, Laura would like to produce 20 new works on paper and 5 works on canvas. This FDL will provide the opportunity to create a new body of work in an ideal environment, enhance skill level, increase knowledge and experience as a contemporary artist, and help grow her exhibition record on a national level.	Fall 2018
Koji	Fuse	Associate Professor	Journalism	The primary FDL activity is to conduct further research on how various types of media products have represented the 1945 Battle of Iwo Jima. Researching through the lens of a rhetorical method called fantasy theme analysis (FTA) to extract recurring dramatic elements and thus to understand culturally shared group consciousness (i.e., symbolic convergence), or the lack thereof. Within the framework of a Japanese-U.S. comparison on newspaper coverage, movies, books, social media, etc., this project will apply FTA cross-culturally to detect symbolic convergence within each of, as well as across, different types of media products. This FDL will enhance Koji's academic career as a Japan-U.S. intercultural communication expert by raising his visibility as a cross-cultural communication researcher, allowing him to discuss his research findings in graduate classes and producing diverse opportunities for him to be a guest speaker about his research. The intended outcome of the leave is to put together a book proposal with at least four sample chapters on mediated discourses about the Battle of Iwo Jima in 2020. He will identify and negotiate with potential publishers, along with conference papers and publications.	The primary FDL objective is to complete a book proposal for cross-cultural applications of fantasy theme analysis (FTA) and symbolic convergence theory (SCT) to Japanese and U.S. mediated discourses on the Battle of Iwo Jima, and identify and negotiate with potential publishers for the book. More specifically, the proposal needs at least four sample chapters. Chapter 1: Introduction – History and Aftermath of the Battle of Iwo Jima, 1945. Chapter 2: Method – Fantasy Theme Analysis and Symbolic Convergence Theory Chapter 3: Newspaper Coverage. Chapter 4: Movies. Chapter 5: Movie User Reviews. Chapter 6: Books. Chapter 7: Oral History. Chapter 8: Social Media. Chapter 9: Conclusion. Additional objectives include planning to conduct new lines of research (e.g., books, oral history) to produce conference papers and publications, which will eventually be chapters of the book. Additionally, he will research opportunities for his research results to be publicized in Japanese and English publications. Finally, he will also look for survivors and families of the war dead who fought the battle and attempt to interview them, as well as explore the possibility of visiting Iwo Jima by trying to obtain permission from the Tokyo Metropolitan Government.	Spring 2019

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Gwendelyn	Nisbett	Assistant Professor	Journalism	This FDL will focus on writing a book proposal, pitching the book proposal to potential publishers, and working on content for the book. The project will focus on the intersection of popular culture fandoms, the influence those fandoms have on followers, and the potential to inspire social good and civic engagement. This FDL will enhance Gwen's academic career by allowing her to combine two lines of research that she has been working on during my pre-tenure appointment at UNT. She is interested in the intersection of politics and popular culture, and their impact on social campaigns, health, civic engagement, and the environment. This includes two main areas: 1) fandom and celebrity-influenced civic engagement, and 2) pro-social campaign development in health and the environment. Increasingly, she sees the importance of pop culture influence in our civic lives and believes working on a longer narrative, with extensive integrated data analysis, will allow her to bridge the concepts together. She also intends to collect data, write content for chapters and journal submissions, and share preliminary findings at academic conferences.	Primary FDL objectives include: 1) Book Proposal: Write a book proposal for a project on pop culture fandoms, persuasion, and civic engagement. This will include creating an overview, chapter outlines, description of target market, and a justification for the book. 2) Data Collection: Data will be collected for the book. This data will also be useful in creating related journal publications and conference presentations. 3) Content: Gwen will begin analyzing and writing about the collected data. The goal is for this content to be incorporated into the book. Some preliminary analysis will be submitted to conferences and/or journals.	Fall 2018
Dreanna	Belden	Librarian	Libraries	During this FDL, Dreanna will complete a draft and revisions on a monograph that features the stories behind the collections and rare materials available from the UNT Libraries' Portal to Texas History. The Portal to Texas History is a collaborative digital library with over ten million digital files available for free public access. Dreanna has been working with the Portal to Texas History team since its beginnings, and she played a lead role in developing content, establishing new collaborative partners, and writing and successfully obtaining grants to support research and digitization projects. This book will celebrate the stories and materials that comprise the Portal collections, by highlighting the Texas culture and legacy. When completed, the intended outcome of this FDL will be a monograph of at least 200 pages, to be published by the UNT Press. This book will enhance Dreanna's career by challenging her with a longer publication format, and more intensive, sustained research to fully capture the essence and significance of the featured materials.	The primary FDL objective is to publish a book that celebrates the fascinating and diverse stories, collections and rare materials of the Portal to Texas History. Proposed chapter outcomes include: 1) Illuminating the work of commercial photographers Alice Snearly and Lon Kelly, who took many photographs of Native Americans in Indian Territory (OK). 2) Drawing attention to H.P.N. Gammel and the story of how this Danish immigrant selling used-books for 10 cents out of his home came to preserve and publish a critically important resource on our state's history, Gammel's Laws of Texas. 3) Highlighting the circus troupe La Carpa Cubana of San Antonio, which was operated by the Abreu family. These family-run circuses were a popular form of entertainment for working class families with roots in Mexico. 4) Creating an LGBT focused chapter using the vast collections available in the Portal from the community, perhaps focusing on Don Baker and the Baker v. Wade case. 5) Focusing on early aviation in Texas, from the First Aero Squadron stationed in Texas City, to barnstormers such as Ormer Locklear, who is credited as the first wing walker.	Sept 2018 - Feb 2019 (6 months)

Name		Rank	Department	Abstract	Objectives	Leave Period Requested
Daniel	Alemneh	Librarian	Libraries	<p>This FDL is to conduct research studies in digital libraries and what it takes to implement sustainable and actively managed digital projects, adhering to international standards and recommended best practices. Understanding evolving infrastructure and skills necessary for the development and implementation of new types of technologies and services that will complement existing digital library infrastructure is paramount for well-functioning digital libraries. In light of this, Daniel's research, and possible teaching activities will focus on various facets and principles of building good digital collections, ranging from selection and prioritizing to respecting copyrights and facilitating metadata-based approach to proper life-cycle management to ensure long-term access and preservation of digital contents. He will also investigate ways local implementations impact scholarly communication and teaching/learning activities. Daniel applied for the 2018-2019 Fulbright Global Scholar award, and as a necessary part of that application, he has already received a letter of invitation from his host institution, Addis Ababa University (AAU) in Ethiopia. Should he receive the Fulbright Global Scholar grant, he will extend his stay at AAU, which will allow him to build on identified collaborative research areas and lay a stronger foundation to implement feasible projects. While contributing to knowledge in the subject field, this experience will help him enhance his effectiveness as a faculty member.</p>	<p>The primary FDL objectives include: 1) performing an environmental scan of Ethiopia's current digital environment and assessing the use and impact of digital resources in teaching/learning activities, and 2) exploring further opportunities for possible partnership with AAU in areas ranging from special projects in the area of digital libraries to joint course offerings. Daniel's research activities will help to strengthen UNT's relationship with AAU and Sub-Sahara Africa.</p>	Fall 2018



Title: Approval of Tenure for New UNTHSC Faculty Appointee

Background:

In accordance with the University of North Texas Health Science Center (UNTHSC) Faculty Bylaws, *Article X – Tenure, Section A – Non-tenured Faculty Members on the Tenure Track*,

“...each new faculty member shall serve a minimum probationary term of no less than one year before application for tenure, unless the President, in special circumstances, recommends immediate tenure.”

Dr. Eun-Young Mun received her PhD in Developmental Psychology from Michigan State University in 2002. Prior to joining UNTHSC on January 1, 2018, Dr. Mun was Associate Professor with tenure in the Center of Alcohol Studies and the Department of Clinical Psychology at Rutgers University. She also held faculty appointments in the Departments of Statistics, Psychology, and Pediatrics at Rutgers. Dr. Mun is a nationally-recognized expert in using “big data” approaches to examining alcohol intervention trials. Her research has enabled researchers to utilize data more efficiently and meaningfully to guide health decisions. Her research has been continuously supported by the National Institutes of Health (NIH) since 2010, and last year was recognized as one of the most exciting new projects funded by the NIH at the Inaugural NIH Behavioral and Social Sciences Research Festival. Dr. Mun has authored 60 peer reviewed journal articles, 14 book chapters and 3 books. Collectively, her research has been cited nearly 1,600 times. Dr. Mun’s recruitment fills a gap in research capacity that currently exists in the School of Public Health (SPH) around substance abuse and high-risk behaviors. Her productivity and national profile will substantially strengthen the School’s PhD program in Public Health Sciences. Her appointment as Professor with tenure in the Department of Health Behavior and Health Systems will significantly increase the visibility of the School in academic circles, improve our ability to recruit additional high-performing faculty, and assist with securing large-scale extramural funding.

Financial Analysis/History:

In general, the award of tenure carries with it the assurance of continued employment absent the showing of good cause for termination.

Gregory R.
Anderson

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Institution Chief Financial Officer

Bob Brown

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Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer

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Date: 2018.02.08 11:09:10 -06'00'

Vice Chancellor/General Counsel

Schedule:

Tenure will be effective immediately upon Board approval.

Recommendation:

The President recommends, with the concurrence of the Chancellor, that the Board of Regents authorize and approve the award of tenure for Dr. Eun-Young Mun.

Recommended By:

Claire Peel

Interim Provost

**Michael R.
Williams**

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President

**Rosemary R.
Haggett, Ph.D.**

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Vice Chancellor

Lesa B. Roe

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Chancellor



Board Order

Title: Approval of Tenure for New UNTHSC Faculty Appointee

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, _____, in accordance with the University of North Texas Health Science Center (UNTHSC) Faculty Bylaws, *Article X – Tenure, Section A – Non-tenured Faculty Members on the Tenure Track*,

“...each new faculty member shall serve a minimum probationary term of no less than one year before application for tenure, unless the President, in special circumstances, recommends immediate tenure”, and

Whereas, Dr. Eun-Young Mun is an outstanding educator and researcher, and

Whereas, Dr. Mun meets the UNTHSC School of Public Health criteria for tenure and is endorsed by her Chair, Dean, Interim Provost, and the President,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. The conferring of tenure to Dr. Eun-Young Mun effective immediately upon Board approval.

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

Board Briefing



Committee: Consent

Date Filed: January 8, 2018

Title: Authorization to Execute an Agreement between UNTHSC and Tarrant County to Provide Limited Dermatologic Services to Patients Referred by the Tarrant County Public Health Department

Background:

The Tarrant County Public Health Department contracts for dermatologic procedures, consultations and assistance in the management of dermatologic disorders complicated by HIV, that do not require parenteral anesthesia, per individual client as requested. Services are paid on a per patient basis, at rates consistent with other government medical services payers.

Financial Analysis/History:

Clinical revenue paid under contract for these services from June 2018 – May 2019 was approximately \$7,000. UNTHSC expects to receive up to \$7,000 for specialty services rendered under the renewal term.

Gregory R. Anderson
Institution Chief Financial Officer

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Bob Brown
Interim Vice Chancellor for Finance

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Date: 2018.02.07 09:27:32 -06'00'

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
Vice Chancellor/General Counsel

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Date: 2018.02.08 11:09:54 -06'00'

Schedule: June 1, 2018 to May 31, 2019

Recommendation:

It is recommended that the Board of Regents authorize and approve the execution of the contract for Tarrant County and UNTHSC for specialty patient care services.

Recommended By:

Gregory R. Anderson

Institution Chief Financial Officer

Michael R. Williams

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President

Lesa B. Roe

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Date: 2018.02.07 14:15:21 -06'00'

Chancellor

Attachments Filed Electronically:

- Contract for Services "Tarrant County Public Health Dermatologic Services"



Board Order

Title: Authorization to Execute an Agreement between UNTHSC and Tarrant County to Provide Limited Dermatologic Services to Patients Referred by the Tarrant County Public Health Department

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, UNTHSC provides certain dermatologic procedures, consultations and assistance, and

Whereas, the Tarrant County Public Health Department wishes to purchase these services under the care of its Preventative Health Clinic.

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Execution of an Agreement between UNTHSC and Tarrant County for UNTHSC to provide limited dermatologic services to patients referred by the Tarrant County Public Health Department.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



STATE OF TEXAS §
 §
COUNTY OF TARRANT §

Contract for Services

BACKGROUND

This contract for services is between Tarrant County, Texas ("COUNTY") and University of North Texas Health Science Center ("PROVIDER") for the provision of specialized medical service to clients of the Tarrant County Public Health HIV/AIDS Preventive Medicine Clinic. The Commissioners Court finds that this contract serves a public purpose in protecting the public health of the citizens of Tarrant County, Texas.

1. SCOPE OF SERVICES

PROVIDER will perform:

- 1.1 Dermatologic procedures, consultations and assistance in the management of dermatologic disorders complicated by HIV, that do not require parenteral anesthesia, per individual client as requested. Referrals and expenditures will be made on an as needed basis and according to Ryan White grant funding guidelines.
 - 1.1.a. PROVIDER shall provide written information to COUNTY and to referred patients regarding the cancellation and rescheduling policy. Providers and patients will be expected to abide by these policies; however in the event that the policies are not adhered to by the patient, COUNTY will not be responsible for any fees assessed. Furthermore, it will be the responsibility of the PROVIDER to collect these fees.
 - 1.1.b. If a referred patient has an insurance provider (i.e. Medicare or Medicaid, private insurance, or any other health/medical plan), it is the responsibility of the PROVIDER to bill the insurance provider for payment first before billing the COUNTY.
 - 1.1.c. PROVIDER will submit a copy of progress/visit notes for continuity of care by secure fax to the clinic within 5 business days. It is expected that information of an urgent nature should be relayed via phone to the PMC Physician directly.

1.2 PROVIDER shall ensure that all physician service providers maintain adequate professional liability insurance coverage, evidence of which is to be submitted in advance to COUNTY.

1.3 In addition, PROVIDER shall ensure that all physician service providers have been fully credentialed and privileged by PROVIDER, evidence of which is to be submitted in advance to COUNTY.

Furthermore, PROVIDER affirms that there are currently no restrictions on the health professional license(s) of any of PROVIDER's associates or employees who might perform services to COUNTY. PROVIDER agrees to notify COUNTY within seven days of any adverse actions pending or taken against such license(s) and to immediately suspend providing services specified in this agreement until COUNTY determines whether or not to continue this agreement.

1.4 Furthermore, PROVIDER shall ensure that the COUNTY has been provided full contact information for all physician service providers who have been fully credentialed and privileged by PROVIDER to provide the services as described therein, evidence of which is to be submitted in advance to COUNTY.

1.5 At all times, your services rendered shall comply with (1) the standards of care established by the Health Resources and Services Administration (HRSA), Texas Department of State Health Services (DSHS) and Administrative Agency, (2) all applicable federal, state and local laws, regulations and ordinances, and (3) currently accepted methods, practices and code of ethics of Tarrant County Public Health.

2. TERM

This contract shall become effective on June 1, 2018 and concludes on May 31, 2019. At Tarrant County reserves the right to exercise an option to renew the contract with the PROVIDER for two (2) additional twelve (12) month periods.

3. COST

The COUNTY will pay for services provided to clients with no insurance at the rate of: the lesser of the PROVIDER billed rate or 145% of the published Medicare rates.

Clients with Medicare, Medicaid, private insurance or any other health/medical plan referred to PROVIDER: PROVIDER will accept payment from said insurance and will not charge client or COUNTY an additional fee above the allowable fee schedule amount.

Pathology lab services from an outside provider may be required. Reimbursement for pathology procedures performed will be made based on the appropriate CPT codes charged.

Total maximum cumulative reimbursement to PROVIDER shall not exceed Seven Thousand Dollars (\$7,000) for the duration of the contract period. COUNTY will pay PROVIDER within 30 days of invoice receipt when the PROVIDER satisfies the following conditions:

3.1 PROVIDER will bill for services performed in accord with this contract

- 3.2. PROVIDER will send a HCFA/invoice to Tarrant County Public Health, Preventive Medicine Clinic, 1101 S. Main Street, Ste. 15008, Fort Worth, TX 76104
- 3.3. PROVIDER's invoice will detail the services provided; and
- 3.4. COUNTY will not pay for expenses

4. FINANCIAL RESPONSIBILITY

PROVIDER is responsible for its incurred expenses in performing this contract unless otherwise noted. **To the extent permitted by the Constitution and the laws of the State of Texas, PROVIDER indemnifies and holds harmless the COUNTY against any and all claims, lawsuits, settlements, judgments, costs, penalties and expenses, including attorney's fees, with respect to PROVIDER'S performance of this contract.**

5. AGENCY-INDEPENDENT CONTRACT

PROVIDER is an independent contractor. COUNTY will not direct the PROVIDER in the details of performing its duties. PROVIDER and its employees are not agents of the COUNTY. COUNTY and its employees are not agents of PROVIDER. This contract does not entitle PROVIDER to any benefit, privilege or other amenities of employment with the COUNTY. This contract does not entitle COUNTY to any benefit, privilege or other amenities of employment with the PROVIDER.

6. ASSIGNMENT

Neither party may assign this contract without the prior written consent of the other party.

7. THIRD PARTY BENEFICIARY EXCLUDED

This contract does not protect any specific third party. The intent of this contract excludes the idea of a suit by a third party beneficiary. The parties to this contract do not consent to the waiver of sovereign immunity under Texas law to the extent either party may have that immunity under Texas law.

8. MISCELLANEOUS

This contract supersedes all prior representations. The parties may amend this contract by subsequent written amendments. The parties will not amend this contract orally. The laws of the State of Texas govern this contract. Venue for any action regarding this contract must be in the district courts of Tarrant County, Texas.

9. TERM AND TERMINATION

Term. This Agreement shall remain in full force and effect for a term of one (1) year from and after the effective date as stated above, unless the Agreement is terminated pursuant to Termination without Cause as outlined herein or if PROVIDER defaults as defined below.

Termination without Cause. Either party upon 30 days written notice may terminate this Agreement without cause.

Termination for Default. The County may terminate this Agreement effective immediately and without penalty if and when it determines, in its sole discretion and judgment, that UNTHSC is not complying with the terms of this Agreement or is providing services in a manner deemed unsuitable by the County.

10. 1295 COMPLIANCE

UNTHSC acknowledges that it is a "governmental entity" and not a "business entity" as those terms are defined in Tex. Gov't Code § 2252.908, and therefore, no disclosure of interested parties pursuant to Tex. Gov't Code Section 2252.908 is required.

11. PARTIES ADDRESSES

COUNTY:
Tarrant County Public Health
1101 South Main Street
Fort Worth, TX 73104

PROVIDER:
UNTHSC at Fort Worth
855 Montgomery
Fort Worth, TX 76107

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the date set forth below.

SIGNED AND EXECUTED this _____ day of _____, 2018.

**COUNTY OF TARRANT
STATE OF TEXAS**

PROVIDER

B. Glen Whitley
County Judge

Name: _____(print)
Title: _____

APPROVED AS TO FORM:

CERTIFICATION OF
AVAILABLE FUNDS:

\$ _____

Criminal District Attorney's Office*

Tarrant County Auditor

*By law, the Criminal District Attorney's Office may only approve contracts for its clients. We reviewed this document as to form from our client's legal perspective. Other parties may not rely on this approval. Instead those parties should seek contract review from independent counsel.

Board Briefing



Committee: Consent

Date Filed: February 22, 2018

Title: Authorization to Enter into Agreement with Tarrant County Public Health Office for Joint Providership of Continuing Medical Education

Background:

UNTHSC INCEDO (formerly Professional and Continuing Education) seeks to enter into an agreement to provide management and accreditation of a collaborative program to be developed with Tarrant County Public Health to update health care providers in Tarrant County, TX and the United States on prevention, detection, diagnosis, and treatment of the Zika virus. Responsibilities of INCEDO will be to collaborate with Tarrant County Public Health on the design, development, and presentation of a workshop, for the purpose of public as well as continuing professional education regarding the ZIKA virus. INCEDO will provide continuing education credit for the program.

Financial Analysis/History:

The proposed agreement is for a total of \$7,500.00 for a live and a web based workshop, which is expected to be completed before June 30, 2018.

Gregory R.
Anderson

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North Texas Health Science Center,
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Institution Chief Financial Officer

Bob Brown

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Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer

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Date: 2018.02.08 11:10:35 -06'00'

Vice Chancellor/General Counsel

Schedule:

\$3,750.00 payment is due upon execution of the agreement. The remaining \$3,750 is due when the web-based component launches, to be no later than June 30, 2018.

Recommendation:

It is recommended that the Board of Regents authorize and approve the execution of the agreement for UNTHSC INCEDO services between Tarrant County Public Health and UNTHSC.

Recommended By:

Michael Hicks

Executive Vice President for Health
System Partnerships and Clinical Affairs

**Michael R.
Williams**

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President

Lesa B. Roe

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Date: 2018.02.07 14:16:34 -06'00'

Chancellor

Attachments Filed Electronically:

- Contract for Services "Tarrant County Public Health, regarding the ZIKA virus.



Board Order

Title: Authorization to Enter into Agreement with Tarrant County Public Health Office for Joint Providership of Continuing Medical Education

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, Tarrant County Public Health determined that providing continuing medical education (CME) to their staff and the public is an important employment and community benefit, and

Whereas, UNTHSC INCEDO is a nationally recognized CME provider and wishes to provide continuing education credit to the Tarrant County Public Health Zika workshop,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Authorization for UNTHSC to enter into an agreement with Tarrant County Public Health for joint providership of continuing medical education.

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents



STATE OF TEXAS §
§
COUNTY OF TARRANT §

CONTRACT FOR SERVICES

BACKGROUND

This contract for services is between Tarrant County, Texas (“COUNTY”) and the University of North Texas Health Science Center (“UNTHSC”) (“PROVIDER”) for professional services to Tarrant County Public Health (“TCPH”).

The COUNTY Commissioners Court finds that this contract serves a public purpose in protecting the public health of the citizens of Tarrant County, Texas.

CONTRACT FOR SERVICES

1. SCOPE OF SERVICES

UNTHSC will collaborate with TCPH on the design, development, and presentation of a workshop, for the purpose of public as well as continuing professional education, regarding the ZIKA virus.

Services will include:

- 1.1 Workshop will consist of both “live” and “web-based” versions;
- 1.2 Live workshop version is to be held in April, 2018;
- 1.3 Web-based workshop version will launch June 2018, or earlier, and conclude in June 2019;
- 1.4 UNTHSC will provide educational and content consultation and recommendations;
- 1.5 UNTHSC will provide a physician, who is considered an expert on this topic, to deliver a half hour (1/2 hour) presentation at the UNTHSC Primary Care Summit in April 2018;
- 1.6 UNTHSC will film the live presentation, and an additional interview with the expert physician, for use in the development of an online learning activity;
 - 1.6.1 UNTHSC will work with TCPH subject matter expert, Nina Dacko, to create 2-3 case scenarios, questions, answers, and rationale to be used as part of the online learning activity;
 - 1.6.2 UNTHSC will host the online activity on the Continuing

Education and Outcomes Office's, INCEDO, website for one year;

1.6.3 UNTHSC will register all learners and deliver course completion data to TCPH quarterly, (June 2018, September 2018, December 2018, March 2019, and July 2019) by the 15th of the month to the attention of Monica Tipton.

1.7 UNTHSC will provide CE's in the following areas:

1.7.1 Registered Nurses (RN) and Licensed Vocational Nurses (LVN) in accordance with the standards and guidelines specified by the Texas Nurses Association;

1.7.2 Physicians in accordance with American Medical Association (AMA) and American Osteopathic Association (AOA) standards and guidelines;

1.7.3 Social Workers in accordance with the Texas State Board of Social Work Examiners; and

1.7.4 Certified Public Health (CPH) professionals in accordance with the National Board of Public Health Examiners.

1.8 UNTHSC will provide continuing education (CE) management for both the live and the website workshops;

1.8.1 This is to include all appropriate forms, registration and maintenance of records;

1.8.2 Provider will maintain all registrant's continuing education transcripts in the Provider's learning management system for up to six (6) years.

2. TERM

This contract begins on April 01, 2018 and concludes on June 30, 2019.

3. COST

The COUNTY will pay UNTHSC no more than \$7,500.00 pursuant to this contract. The funding for this contract is Grant based.

3.1 PROVIDER will bill for services performed in accordance with this contract;

3.2 PROVIDER will send an invoice to

Tarrant County Public Health (Attn: Angie Bishop)

1101 South Main Street, Ste. 2600

Fort Worth, Texas 76104

3.3 COUNTY will pay PROVIDER \$3,750.00 when the contract is fully approved and signed;

3.4 COUNTY will pay PROVIDER \$3,750.00 upon the launch of the Web based workshop. Grant requirements specify funds are to be used by June 30, 2018. Invoice must be received prior to that date.

3.5 COUNTY will pay PROVIDER within thirty (30) days of invoice receipt.

4. FINANCIAL RESPONSIBILITY

PROVIDER is responsible for its incurred expenses in performance of this contract unless otherwise noted. To the extent permitted by the Constitution and the laws of the State of Texas, PROVIDER indemnifies and holds harmless the COUNTY against any and all claims, lawsuits, settlements, judgments, costs, penalties and expenses, including attorney's fees, with respect to PROVIDER performance of this contract.

5. AGENCY-INDEPENDENT CONTRACT

PROVIDER is an independent contractor. PROVIDER and its employees are not agents of the COUNTY. COUNTY and its employees are not agents of the PROVIDER. This contract does not entitle PROVIDER to any benefit, privilege or other amenities of employment with the COUNTY. This contract does not entitle COUNTY to any benefit, privilege or other amenities of employment with the PROVIDER.

6. ASSIGNMENT

Neither party may assign this contract without the prior written consent of the other party.

7. THIRD PARTY BENEFICIARY EXCLUDED

This contract does not protect any specific third party. The intent of this contract excludes the idea of a suit by a third party beneficiary. The parties to this contract do not consent to the waiver of sovereign immunity under Texas law to the extent either party may have that immunity under Texas law.

8. MISCELLANEOUS

This contract supersedes all prior representations. The parties may amend this contract by subsequent written amendments. The parties will not amend this contract orally. The laws of the State of Texas govern this contract. Venue for any action regarding this contract must be in the district courts of Tarrant County, Texas.

9. TERMINATION

Either party may terminate this contract by:

- 9.1 Providing written notice to the other party at least 30 days prior to the date of termination;
- 9.2. Providing, in the written notice, the date of termination;
- 9.3. Sending the written notice by certified mail, return receipt requested to the party at its address.

10. PARTIES ADDRESSES

COUNTY:

Tarrant County Public Health
1101 S. Main Street

PROVIDER:

UNTHSC INCEDO
3500 Camp Bowie Blvd.

Fort Worth, Texas 76104

Fort Worth, Texas 76107

11. 1295

PROVIDER acknowledges that it is a "governmental entity" and not a "business entity" as those terms are defined in Texas Gov't Code § 2252.908, and therefore, no disclosure of interested parties pursuant to Texas Gov't Code § 2252.908 is required

APPROVED on this day the _____ day of _____, 2018,

**TARRANT COUNTY
STATE OF TEXAS**

PROVIDER

B. Glen Whitley
County Judge

Greg Anderson
Executive VP for
Finance and Administration

APPROVED AS TO FORM:

District Attorney's Office*

*By law, the District Attorney's Office may only advise or approve contracts or legal documents on behalf of its clients. It may not advise or approve a contract or legal document on behalf of other parties. Our review of this document was conducted solely from the legal perspective of our client. Our approval of this document was offered solely for the benefit of our client. Other parties should not rely on this approval, and should seek review and approval by their own respective attorney(s).

CERTIFICATION OF AVAILABLE FUNDS IN THE AMOUNT OF

\$ _____:

Auditor's Office

Board Briefing



Committee: Consent

Date Filed: January 25, 2018

Title: Authorization to Enter into Agreement with Tarrant County for Professional Services

Background:

UNTHSC provided pass through grant funds in 2017 to Tarrant County Public Health's Health Literacy project for the project period May 23, 2017 to April 30, 2018 under a National Library of Medicine (NLM) grant. In connection with this project, Tarrant County has requested that UNTHSC provide professional services to Tarrant County Public Health (TCPH). Dr. Neda Moayad of UNTHSC will serve as the project evaluator of the project which is aiming to contribute to the capacity building for health literacy in the limited English proficiency populations in Tarrant County. The evaluation will assess the outcomes of existing community-based English as a Second Language (ESL) classes, Maternal & Child health classes, large community health fairs for the Tarrant County Hispanic community, and Tarrant County College health professions.

Financial Analysis/History:

The proposed agreement is for an amount not to exceed \$6,000.00 for the project evaluation of the "Let's Talk Health" project.

Gregory R.
Anderson

Digitally signed by Gregory R. Anderson
DN: cn=Gregory R. Anderson, o=University of North
Texas Health Science Center, ou=Executive Vice
President/CFO,
email=gregory.anderson@unthsc.edu, c=US
Date: 2018.02.05 10:01:31 -06'00'

Institution Chief Financial Officer

Bob Brown

Digitally signed by Bob Brown
DN: cn=Bob Brown, o=University of North
Texas System, ou=Interim Vice Chancellor for
Finance, email=bob.brown@unt.edu, c=US
Date: 2018.02.07 09:28:27 -06'00'

Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S.
Footer

Digitally signed by Nancy S. Footer
DN: cn=Nancy S. Footer, o=University of
North Texas System, ou=Office of General
Counsel,
email=nancy.footer@untssystem.edu, c=US
Date: 2018.02.08 11:19:12 -06'00'

Vice Chancellor/General Counsel

Schedule:

Project period is July 11, 2017 to April 30, 2018. The agreement is not expected to be renewed.

Recommendation:

It is recommended that the Board of Regents authorize and approve the execution of the agreement for UNTHSC to provide services to Tarrant County.

Recommended By:

Claire Peel

Interim Provost

Michael R.
Williams

Digitally signed by Michael R. Williams
DN: cn=Michael R. Williams, o=UNTHSC, ou=President, email=michael.williams@unthsc.edu, c=US
Date: 2018.02.05 11:42:07 -06'00'

President

Lesa B. Roe

Digitally signed by Lesa B. Roe
DN: cn=Lesa B. Roe, o=UNT System, ou=Office of the Chancellor, email=chancellor@untsystem.edu, c=US
Date: 2018.02.07 14:17:37 -06'00'

Chancellor

Attachments Filed Electronically:

- TCPH Contract for Services



Board Order

Title: Authorization to Enter into Agreement with Tarrant County for Professional Services

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, in implementing its project entitled "Let's Talk Health" which aims to contribute to the capacity building for health literacy in the limited English proficiency populations in Tarrant County, Tarrant County Public Health (TCPH) has requested that UNTHSC provide project evaluation of the project which will integrate existing community-based English as a Second Language (ESL) classes, Maternal & Child health classes, large community health fairs for the Tarrant County Hispanic community, and Tarrant County College health professions, and

Whereas, UNTHSC wishes to provide Dr. Neda Moayad to serve as the project evaluator to assist Tarrant County with this project,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Authorization for UNTHSC to enter into the attached Contract for Services with Tarrant County.

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

2. TERM

This contract commences upon its execution by the governing bodies of both Tarrant County and University of North Texas Health Science Center and concludes on April 30, 2018 unless terminated earlier in accordance with section nine (9) of this contract.

3. COST

The COUNTY shall pay no more than \$6,000.00 for services performed under this contract. The funding for this contract is Grant based.

3.1 PROVIDER shall bill COUNTY after all services described in section one (1) have been performed.

3.2 PROVIDER shall send an invoice to:

Tarrant County Public Health (Attn: Angie Bishop)
1101 South Main Street, Ste. 2600
Fort Worth, Texas 76104

3.4 Grant requirements specify funds are to be used by April 30, 2018. Invoice must be received no less than fourteen (14) business days prior to that date.

3.5 Subject to section 3.1, COUNTY shall pay PROVIDER within thirty (30) days of invoice receipt.

4. FINANCIAL RESPONSIBILITY

PROVIDER is responsible for its incurred expenses in performance of this contract unless otherwise noted. **To the extent permitted by the Constitution and the laws of the State of Texas, PROVIDER indemnifies and holds harmless the COUNTY against any and all claims, lawsuits, settlements, judgments, costs, penalties and expenses, including attorney's fees, with respect to PROVIDER performance of this contract.**

5. AGENCY-INDEPENDENT CONTRACT

PROVIDER is an independent contractor. PROVIDER and its employees are not agents of the COUNTY. COUNTY and its employees are not agents of the PROVIDER. This contract does not entitle PROVIDER to any benefit, privilege or other amenities of employment with the COUNTY. This contract does not entitle COUNTY to any benefit, privilege or other amenities of employment with the PROVIDER.

6. ASSIGNMENT

Neither party may assign this contract without the prior written consent of the other party.

7. THIRD PARTY BENEFICIARY EXCLUDED

This contract does not protect any specific third party. The intent of this contract excludes the idea of a suit by a third party beneficiary. The parties to this contract do not consent to the waiver of sovereign immunity under Texas law to the extent either party may have that immunity under Texas law.

8. MISCELLANEOUS

This contract supersedes all prior representations. The parties may amend this contract by subsequent written amendments. The parties may not amend this contract orally. The laws of the State of Texas govern this contract. Venue for any action regarding this contract must be in the district courts located in Tarrant County, Texas.

9. TERMINATION

COUNTY may terminate this contract by:

- 9.1 Providing written notice to the other party at least thirty (30) days prior to the date of termination;
- 9.2. Providing, in the written notice, the date of termination;
- 9.3. Sending the written notice by certified mail, return receipt requested to the party at its address.

10. PARTIES ADDRESSES

COUNTY:

Tarrant County Public Health
1101 S. Main Street
Fort Worth, Texas 76104

PROVIDER:

University of North Texas Health Science
Center at Fort Worth
3500 Camp Bowie Blvd.
Fort Worth, Texas 76107

11. 1295

PROVIDER acknowledges that it is a "governmental entity" and not a "business entity" as those terms are defined in Texas Gov't Code § 2252.908, and therefore, no disclosure of interested parties pursuant to Texas Gov't Code § 2252.908 is required

APPROVED on this day the _____ day of _____, 2018,

**TARRANT COUNTY
STATE OF TEXAS**

PROVIDER

B. Glen Whitley
County Judge

Gregory R. Anderson
Executive VP for Finance & Operations

APPROVED AS TO FORM:

Criminal District Attorney's Office*

*By law, the Criminal District Attorney's Office may only advise or approve contracts or legal documents on behalf of its clients. It may not advise or approve a contract or legal document on behalf of other parties. Our review of this document was conducted solely from the legal perspective of our client. Our approval of this document was offered solely for the benefit of our client. Other parties should not rely on this approval, and should seek review and approval by their own respective attorney(s).

CERTIFICATION OF AVAILABLE FUNDS IN THE AMOUNT OF

\$ _____:

Auditor's Office

Board Briefing



Committee: Full Board

Date Filed: January 12, 2018

Title: Amendment to Regents Rule 03.800, *Specific Board Powers, Duties, and Authority*

Background:

House Bill 1913, signed into law on May 29, 2017, amended the Education Code provision relating to the required qualifications for serving as president of the University of North Texas Health Science Center at Fort Worth. This new law became effective immediately upon adoption on May 29, 2017. Regents Rules 03.800, *Specific Board Powers, Duties, and Authority* requires revision to align with the changes in law enacted in House Bill 1913. The proposed amendments are set forth in redline in the attachment to this briefing and order.

At this time, it is recommended that amendments be made to Regents Rule 03.800, *Specific Powers, Duties and Authority* to comply with the requirements of House Bill 1913.

The Board has authority to adopt these amendments under Texas Education Code §105.101, which grants the Board the power to adopt rules and policies for the administration of the Board's powers and duties.

Financial Analysis/History:

There are no fiscal implications related to the adoption of revised Regents Rules.

Bob Brown

Digitally signed by Bob Brown
DN: cn=Bob Brown, o=University of North Texas System, ou=Interim Vice Chancellor for Finance, email=bob.brown@unt.edu, c=US
Date: 2018.02.07 09:28:53 -06'00'

Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

**Nancy S.
Footer**

Digitally signed by Nancy S. Footer
DN: cn=Nancy S. Footer, o=University of North Texas System, ou=Office of General Counsel, email=nancy.footer@untssystem.edu, c=US
Date: 2018.02.08 11:19:57 -06'00'

Vice Chancellor/General Counsel

Schedule:

Effective immediately upon Board approval.

Recommendation:

It is recommended that the Board adopt the amendments to Regents Rule 03.800, *Specific Board Powers, Duties, and Authority*.

Recommended By:

Lesa B. Roe Digitally signed by Lesa B. Roe
DN: cn=Lesa B. Roe, o=UNT System,
ou=Office of the Chancellor,
email=chancellor@untsystem.edu,
c=US
Date: 2018.02.07 14:19:11 -06'00'

Chancellor

Attachments Filed Electronically:

- Proposed amendments to Regents Rule 03.800



Board Order

Title: Amendment to Regents Rule 03.800, *Specific Board Powers, Duties, and Authority*

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, revision to the Regents Rules are necessary to comply with House Bill 1913, enacted by the 85th Texas Legislature,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Amendment to Regents Rule 03.800, *Specific Board Powers, Duties, and Authority* as set forth in the attachment to this briefing and order
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

03.800 Specific Board Powers, Duties, and Authority

03.801 Powers and Duties of the Board. The Board may exercise all of the powers and duties set out in Chapter 105 of the Texas Education Code including, but not limited to, those powers and duties associated with the Institutions. Pursuant to Texas Education Code § 105.101:

1. the Board may direct, govern, operate, support, maintain, manage, and control the System and the Institutions; and
2. the Board may:
 - a. erect, equip, maintain, and repair System buildings;
 - b. purchase libraries, furniture, equipment, fuel, and supplies necessary to operate the System;
 - c. employ and discharge personnel, including faculty, to carry out the Board's powers and duties;
 - d. adopt rules and policies for the administration of the Board's powers and duties;
 - e. prescribe for each Institution programs and courses leading to customary degrees, including baccalaureate, masters, and doctoral degrees and their equivalents in accordance with the rules of the Texas Higher Education Coordinating Board;
 - f. establish admission standards for each of the Institutions;
 - g. perform other acts that contribute to the development of the System or the Institutions or the welfare of students of the Institutions; and

- h. delegate a power or assign a duty of the Board to an officer, employee, or committee designated by the Board.

03.802 Appointment Authority.

1. Chancellor. In accordance with Texas Education Code § 105.102(a), the Board shall appoint a Chancellor who serves as chief executive officer of the System.
2. Institution Presidents. In accordance with Texas Education Code § 105.102(b), the Board shall appoint a President of each Institution who serves as chief executive officer of the Institution. ~~The President of the University of North Texas Health Science Center at Fort Worth must be a licensed physician who possesses a doctor of osteopathy degree from an accredited college of osteopathic medicine and must have been licensed to practice medicine in a state of the United States for at least five years.~~
3. Vice Chancellor and General Counsel. The Board, on recommendation by the Chancellor, shall appoint a Vice Chancellor and General Counsel who is responsible to the Board and who shall report to the Chancellor.
4. Chief Internal Auditor. The Board, on recommendation by the Chancellor, shall appoint a Chief Internal Auditor who shall report directly to the Board and who shall have the qualifications required by Texas Government Code § 2102.006 and carry out the duties prescribed by Texas Government Code § 2102.007.
5. Award of Faculty Tenure. Only the Board may confer faculty tenure. The President of each Institution shall forward to the Board through the Chancellor all recommendations for the granting of tenure.

03.803 Delegation of Appointment Authority. Pursuant to Texas Education Code §§ 105.101(b)(3) and (8), the Board makes the following delegations of authority:

1. Chancellor. Unless otherwise specified in these Regents Rules, the Board delegates to the Chancellor authority to appoint, evaluate, promote, transfer, and terminate System Administration employees in accordance with System Administration policies.
2. Presidents. Unless otherwise specified in these Regents Rules, the Board delegates to the Presidents the authority to appoint, evaluate, promote, transfer, and terminate the Institution's employees in accordance with Institution policies.

03.804 Eminent Domain. In accordance with Texas Education Code § 105.103, the Board may exercise the power of eminent domain to acquire land for the use of the System. The Board must exercise the power of eminent domain in the manner provided by Chapter 21 of the Texas Property Code, but is not required to provide a bond for appeal or a bond for costs. The Board may not use the power of eminent domain to acquire land that is dedicated to a public use by another governmental entity.

03.805 Gifts, Grants, and Endowments. In accordance with Texas Education Code § 105.104, the Board may accept donations, gifts, grants, and endowments of money or property, real or personal, for the System to be held in trust and administered by the Board for the purposes and under the direction, limitations, and provisions declared in writing in the donation, gift, grant, or endowment. The donation, gift, grant, or endowment must be consistent with state law and with the objectives and proper management of the System.

03.806 Funds Received From Management of Trust Estate. In accordance with Texas Education Code § 105.105, the Board may deposit in an appropriate System account outside the state treasury all funds received as administrative fees or charges for services rendered in the management or administration of a trust estate under the control of the System. These funds may be spent by the Board for any educational purpose of the System.

03.807 Disbursement of Appropriations. In accordance with Texas Education Code § 105.106, the Board shall disburse all appropriations to the System except as otherwise provided by law. The Board may adopt rules for the disbursement of

appropriations and other funds, the auditing and approval of System accounts, and the issuance of System vouchers and warrants.

- 03.808 Lands Owned by the System or the Institutions. In accordance with Texas Education Code § 105.107, the Board has the sole and exclusive authority to manage and control land owned by the System or the Institutions. The Board may acquire by purchase, donation, exchange, condemnation, or otherwise land, including improvements, for the use of the System, and other real property that is necessary or convenient to carry out the purposes of the System and the Institutions. Except as otherwise provided by law, the Board may sell, exchange, lease, or dispose of any land or other real property owned by or acquired for the Board, the System, or the Institutions.
- 03.809 Contracts. In accordance with Texas Education Code § 105.108, the Board by rule may delegate to a representative of the Board or an employee of the System the authority to negotiate, execute, and approve a contract on behalf of the System. Unless this authority is delegated, a contract with the System must be approved by the Board. A contract that is not approved in accordance with these Regents Rules is void.
- 03.810 Joint Appointments. In accordance with Texas Education Code § 105.109, the Board may make joint appointments to the Institutions, with the salary of any person who receives a joint appointment to be apportioned to each Institution on the basis of services rendered.
- 03.811 Research Parks. In accordance with Texas Education Code § 105.110, the Board may authorize the establishment of a research park by one or more Institution. The administrator of the research park may use private or public entities for scientific and technological research and development in the surrounding region.

Adopted: November 15, 2007
Effective: November 15, 2007
Revised: February 7, 2008



Title: Amendment to Regents Rule 03.900, *Delegation of Authority for Contracts and Agreements*

Background:

In order to increase efficiency in contracting and to simplify the Board Rule, approval is requested to revise Regents Rule 03.900, *Delegation of Authority for Contracts and Agreements*. The recommended changes will:

- Revise the requirement for Board approval of certain employment contracts so that only employment contracts having a total salary consideration of \$1,000,000 or more will require Board approval;
- Add two exceptions to the Board's contract approval requirement for: services associated with the installation of replacement equipment or implementation of replacement software, and amendments, extensions or renewals of a previously approved contract that does not exceed 25% of the cost or monetary value of the original contract approved by the Board;
- Update the Board's delegation of signature authority to allow the Chancellor to sign all Institution contracts — where previously the Chancellor could only sign Institution contracts valued over \$500,000 — and to increase the authority of the Presidents to sign Institution contracts from \$500,000 up to \$1,000,000;
- Allow the Chancellor to delegate signature authority for contracts valued at any amount, removing the previous \$1,000,000 or less limitation; and
- Make some technical corrections to the Rule.

The Board has the authority to adopt these amendments under Texas Education Code §105.101, which grants to the Board the power to adopt rules and policies for the administration of the Board's powers and duties.

Financial Analysis/History:

There are no fiscal implications related to the revision of this Regents Rule.

Bob Brown
Interim Vice Chancellor for Finance

Digitally signed by Bob Brown
DN: cn=Bob Brown, o=University of North Texas System, ou=Interim Vice Chancellor for Finance, email=bob.brown@unt.edu, c=US
Date: 2018.02.07 09:29:18 -0600

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer
Vice Chancellor/General Counsel

Digitally signed by Nancy S. Footer
DN: cn=Nancy S. Footer, o=University of North Texas System, ou=Office of General Counsel, email=nancy.footer@untssystem.edu, c=US
Date: 2018.02.08 11:20:36 -0600

Schedule:

Effective immediately upon Board approval.

Recommendation:

It is recommended that the Board adopt the amendments to Regents Rule 03.900, *Delegation of Authority for Contracts and Agreements*, as set forth in the attachment.

Recommended By:

Nancy S. Footer

Vice Chancellor & General Counsel

Lesa B. Roe

Digitally signed by Lesa B. Roe
DN: cn=Lesa B. Roe, o=UNT System,
ou=Office of the Chancellor,
email=chancellor@untsystem.edu, c=US
Date: 2018.02.07 14:20:49 -0600

Chancellor

Attachments Filed Electronically:

- Proposed amendment to Regents Rule 03.900, *Delegation of Authority for Contracts and Agreements*



Board Order

Title: Amendment to Regents Rule 03.900, *Delegation of Authority for Contracts and Agreements*

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, revision of Regents Rule 03.900, *Delegation of Authority for Contracts and Agreements*, is recommended in order to increase efficiency in contracting and to simplify the Board Rule,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Amendment to Regents Rule 03.900, *Delegation of Authority for Contracts and Agreements*, as set forth in the attachment to this briefing and order.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

03.900 Delegation of Authority for Contracts and Agreements

03.901 Purpose and Scope. The effective administration of contracts is essential to the operation of the System and the Institutions. This Regents Rule establishes authority for the System, the System Administration, and the Institutions to approve and execute contracts.

03.902 Authority of the Board. Pursuant to Texas Education Code § 105.108, the Board has authority to contract on behalf of the System, the System Administration, and the Institutions, and may delegate to an employee of the System Administration or an Institution the authority to negotiate, execute, and approve contracts. No person has the authority to bind the System, the System Administration, or an Institution contractually except in accordance with this Regents Rule. A contract that is not approved in accordance with this Regents Rule is void.

03.903 Definition. For the purpose of this Regents Rule, the term “contract” shall mean any written agreement that creates a binding obligation, financial or otherwise, for the System, the System Administration, or an Institution. Contracts include but are not limited to affiliation agreements, cooperative agreements, easements, grants, intellectual property agreements, interagency contracts, internship agreements, leases, letter agreements, letters of intent, licenses, loans, memoranda of understanding, nondisclosure agreements, professional services agreements, purchase orders, and amendments to any of these types of agreements.

03.904 Board Approval. Board approval is required for the following types of contracts:

1. Contracts that have a cost or monetary value to the System, the System Administration, or an Institution of \$1,000,000 or more in cash or other consideration. The total value of a contract shall include the aggregation of all contract extensions and renewals. Regardless of value, approval by the Board is not required for contracts exempted from the approval process under Section 03.905, 03.906, 03.907, or 03.908 of this Regents Rule.
2. Employment contracts having a total salary consideration of \$1,000,000 or more. Regardless of value, approval by the Board is not required for contracts exempted from the approval process under Section 03.908 of this

Regents Rule. For employment contracts with administrators that require Board approval under this section that are to be paid in whole or part from appropriated funds, Board approval shall be contingent on a determination by the Board that the contract is in the best interest of the System, System Administration or an Institution and the contract shall be required to meet all other requirements of Texas Education Code §51.948. as follows:

- ~~a. — employment contracts with a chancellor, president, or athletic director;~~
- ~~b. — employment contracts with a head coach whose base salary during the term of the contract totals \$500,000 or more; and~~
- ~~c. — employment contracts having a total salary consideration of \$1,000,000 or more.~~
- ~~d. — For employment contracts with administrators that require Board approval under Regents Rule 03.904 a or c and that are to be paid in whole or part from appropriated funds, Board approval shall be contingent on a determination by the Board that the contract is in the best interest of the System, System Administration or an Institution and the contract shall be required to meet all other requirements of Texas Education Code §51.948.~~

3. Agreements with affiliated entities required by Regents Rule 09.500.
4. Contracts that involve athletic conference membership.

03.905 Exceptions to Board Approval. The following types of contracts shall not require approval by the Board, regardless of the contract amount:

1. Contracts relating to construction projects previously approved by the Board of Regents in a Capital Improvement Plan.
2. Contracts for utility services (water, gas, electric, telecommunications, internet or television services) or energy resources and related services, if any, when such contracts have been approved in advance by the Chancellor or the Chancellor's delegee.
3. Contracts or grant proposals for sponsored research, including institutional support grants.
4. Contracts licensing or transferring rights in intellectual property, technology or products protectable by patents, copyright, or constituting a trade secret of unpatented technological know-how.

5. Contracts for the lease or purchase of replacement equipment or licensing of replacement software or services associated with the installation of the equipment or implementation of the software.
6. Contracts for the purchase of routinely purchased supplies.
7. Contracts for purchases made under a group purchasing program procured through a state contract, state catalog or other procurement methodology authorized by statute.
8. Contracts for the lease or purchase of new equipment or licensing of new software identified specifically in the System Administration or Institutional budget approved by the Board.
9. Contracts for the purchase or license of library books and library materials.
10. Contracts for athletic competitions.
11. Contracts for or related to legal services.
12. Contracts related to bequests or gifts.
13. Contracts with financial institutions to effectuate letters of credit authorized by these Regents Rules and applicable regulations of the System.
14. For contracts that have been approved by the Board, any amendment, extension, or renewal of a contract with a cost or monetary value that does not exceed 25% of the cost or monetary value of the original contract approved by the Board, unless a maximum cap is expressly adopted by the Board for that contract.

03.906 Contracts Related to Real Property. All contracts for (a) the purchase or sale of real property; (b) the lease, license or use of real property; (c) the granting or acceptance of easements or rights-of-way; and (d) any other acquisition or disposition of real property or real property interests is governed by Chapter 11 of these Rules.

03.907 Contracts Related to Major Construction Projects. All contracts related to major construction projects are governed by Chapter 11 of these Rules.

03.908 Emergency Contracts. Unless otherwise prohibited by law, in the event of an urgent situation that cannot or should not for good business reason be delayed until the next regularly scheduled Board meeting as determined by the Board Chairman in consultation with the Chancellor, the Chancellor may approve

and execute a contract that would otherwise require Board approval in accordance with this Regents Rule. As soon thereafter as is reasonably practicable, the Chancellor shall provide to the members of the Board written notice of the approval and execution of the contract.

03.909 Delegation of Authority.

1. Delegations to the Chancellor.

- a. The Board delegates to the Chancellor the authority to execute all contracts for the System, the System Administration, and Institutions that are approved by the Board in accordance with Regents Rule 03.904, unless otherwise specified by the Board.
- b. For employment contracts ~~exempt not requiring from~~ Board approval pursuant to Regents Rule 03.904.2, the Board delegates to the Chancellor the authority to sign an employment contract with an administrator for the System Administration or an Institution that is to be paid in whole or part from appropriated funds or that otherwise comes under Texas Education Code §51.948. These contracts must comply with the requirements of Texas Education Code §51.948 and for any contract paid from appropriated funds shall require a determination that the contract is in the best interest of the System or System Administration. Authority to make such a determination is delegated to the Chancellor.
- c. Subject to the requirements and limitations of this Rule, the Board delegates to the Chancellor the authority to approve and execute contracts for the System ~~and~~ the System Administration and the Institutions without regard to the cost or monetary value of the contract ~~and to approve and execute contracts for the Institutions that have a cost or monetary value to an Institution of more than \$500,000.~~

2. Delegations to the Presidents.

- a. Subject to the requirements and limitations of this Rule, the Board delegates to the Presidents the authority to approve and execute contracts for their respective Institutions that have a cost or monetary value to the Institutions of ~~\$500,000~~ 1,000,000 or less.
- b. For employment contracts ~~exempt from~~ not requiring Board approval pursuant to Regents Rule 03.904.2, an employment contract with an administrator that is to be paid in whole or part from appropriated funds or that otherwise is pursuant to Texas

Education Code §51.948 must be signed by the Chancellor in accordance with Regents Rule 03.909.1(b).

3. Delegations to the Vice Chancellor and General Counsel. The Board delegates to the Vice Chancellor and General Counsel authority to approve and execute contracts with the concurrence of the Chancellor or the Chairman for or related to providing legal services for the Board, the System, the System Administration, and/or the Institutions, after obtaining approvals required by state law.
4. Delegations to the Chief Internal Auditor. The Board delegates to the Chief Internal Auditor authority to approve and execute contracts having a cost or monetary value of less than \$1,000,000 with the concurrence of the Chancellor or the Chairman of the Audit Committee, when such contracts are for or related to providing audit services for the Board, the System, the System Administration, and/or the Institutions after obtaining approvals required by state law.
5. Further Delegation. ~~For contracts with a value of \$1,000,000 or less, t~~The authority delegated to the Chancellor and the Presidents under Regents Rules 03.909(1) and (2) may be further delegated. Delegations must be in writing, clearly state the extent of the authority delegated, and state if and to what extent the authority may be further delegated. A delegation must be retained by the delegee, and a copy must be provided to the Office of General Counsel.
6. Revocation of Delegation. Delegations of authority may be revoked at any time. Revocations must be in writing, and a copy must be provided to the Office of General Counsel. Notice of revocation must be provided to the delegee.

03.910 Legal Review. All contracts must be approved as to form by the Office of General Counsel before execution, unless exempt under this Regents Rule. In his or her sole discretion, the Vice Chancellor and General Counsel may exempt from individualized legal review:

1. Contracts prepared on forms developed by the Office of General Counsel and approved in writing by the Office of General Counsel for use without individualized legal review that have a total value of less than \$1,000,000;
2. Contracts prepared on forms not developed by the Office of General Counsel, but that are approved in writing by the Office of General Counsel for use without individualized legal review that have a total value of less than \$1,000,000; and

3. Other contracts as stated in the Criteria for Exemption issued in writing by the Office of General Counsel.

03.911 Contract Management Handbook. The Vice Chancellor for Finance and the Office of General Counsel shall develop a contract management handbook that provides for consistent contracting policies and practices and contract review procedures, including the following:

1. identification of contracts that require enhanced performance monitoring and notification to the Board of any identified serious issue or risk regarding a monitored contract;
2. purchasing accountability and risk analysis procedures assessing the risk of fraud, waste or abuse in the contactor selection process, contract provisions and payment and reimbursement rates and methods for the different types of goods and services for which the member contracts;
3. a comprehensive guideline for preparing and advertising a solicitation, including identifying key pieces in the statement of work;
4. guidance on the evaluation of solicitation responses and awarding and drafting the contract. This includes sample terms and clauses for the contract;
5. contract administration and oversight; and
6. a requirement that all contracts shall, at a minimum:
 - a. be in writing;
 - b. comply with applicable federal and state law and regulations, these Regents Rules, and applicable System, System Administration, or Institution regulations, policies and procedures and the System contract management handbook;
 - c. be properly executed by a person with appropriate authority;
 - d. adequately protect resources;
 - e. comply with state purchasing requirements;
 - f. support and be in accordance with the mission of the System, the System Administration, or Institution; and
 - g. if with a foreign source with an aggregate value of \$250,000 or more be reported to the U.S. Department of Education in

accordance with 20 U.S.C. §1011f on or before January 31st and July 31st of each year for all such contracts entered into in the preceding six months.

- 03.912 Training. Officers and employees authorized to execute contracts or who exercise discretion in awarding contracts shall participate in training on ethics, selection of appropriate procurement methods, and information resources purchasing technologies.
- 03.913 Reporting. The System and Institutions shall comply with all applicable reporting requirements established by statute, Legislative Budget Board rules, and other state authorities.
- 03.914 Prior Contracts. Contracts approved and signed in accordance with policies in effect prior to the effective date of Regents Rule 03.900 shall remain in full force and effect, but modifications or extensions to such contracts must be approved and signed in accordance with Regents Rule 03.900.

Adopted: November 15, 2007

Effective: November 15, 2007

Revised: February 13, 2009; February 16, 2012, August 28, 2015, February 26, 2016



Title: Amendment to Regents Rule 11.200, *Construction Projects*

Background:

In order to appropriately provide efficient management of capital projects, approval is requested to revise Regents Rule 11.200, *Construction Projects*. The recommended changes will:

- Delegate management of capital projects up to \$4,000,000 to the respective Institution; capital projects with value exceeding \$4,000,000 will continue to be managed by UNT System Office of Facilities Planning and Construction.
- Delegate to the Chancellor authority to set project management rates incorporated in project budgets to support the Office of Facilities Planning and Construction. Current rate structures were approved by the Board in 1996; oversight of the rate structure can effectively be handled by Chancellor.
- Specify contracting authority consistent with the new delegation limits and consistent with other Regents Rules.
- Other minor clarifications and editorial technical corrections.

The Board has the authority to adopt these amendments under Texas Education Code §105.101, which grants to the Board the power to adopt rules and policies for the administration of the Board's powers and duties.

Financial Analysis/History:

There are no fiscal implications related to the revision of this Regents Rule.

Bob Brown Digitally signed by Bob Brown
DN: cn=Bob Brown, o=University of North Texas System, ou=Interim Vice Chancellor for Finance, email=bbrown@unt.edu, c=US
Date: 2018.02.07 09:29:45 -0600

Interim Vice Chancellor for Finance

Legal Review:

This item has been reviewed by General Counsel.

Nancy S. Footer Digitally signed by Nancy S. Footer
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Date: 2018.02.08 11:21:49 -0600

Vice Chancellor/General Counsel

Schedule:

Effective immediately upon Board approval.

Recommendation:

It is recommended that the Board adopt the amendments to Regents Rule 11.200, *Construction Projects*, as set forth in the attachment.

Recommended By:

James M. Maguire

Vice Chancellor Facilities Planning
and Construction

James M. Maguire

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Vice Chancellor

Lesa B. Roe

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Chancellor

Attachments Filed Electronically:

- Proposed amendment to Regents Rule 11.200, *Construction Projects*



Board Order

Title: Amendment to Regents Rule 11.200, *Construction Projects*

At an official meeting of the Board of Regents of the University of North Texas System properly posted and held on February 22-23, 2018, pursuant to a motion made by Regent _____ and seconded by Regent _____, the Board approved the motion presented below:

Whereas, revision of Regents Rule 11.200, *Construction Projects*, is recommended in order to appropriately provide efficient management of capital projects,

Now, Therefore, The Board of Regents authorizes and approves the following:

1. Amendment to Regents Rule 11.200, *Construction Projects*, as set forth in the attachment to this briefing and order.
-

VOTE: _____ ayes _____ nays _____ abstentions

BOARD ACTION:

Attested By:

Approved By:

Rosemary R. Haggett, Secretary
Board of Regents

Brint Ryan, Chairman
Board of Regents

11.200 Construction Projects

11.201 Definitions. For this Regents Rule, the terms listed below have the following meaning:

1. “Major Project” means a) a New Construction Project with a total Project Cost of \$1,000,000 or more; b) a Repair and Renovation Project with a total Project Cost of \$2,000,000 or more; c) any Project required by statute to be approved by student election; and d) regardless of dollar value, any Project specifically designated by the Board to be a Major Project.
2. “Minor Project” means a) a New Construction Project with a total Project Cost of less than \$1,000,000; and b) a Repair and Renovation Project with a total Project Cost of less than \$2,000,000.
3. “New Construction” means the creation of a new building, facility, or outdoor space; the addition to an existing building, facility, or outdoor space; or new infrastructure that does not currently exist on a campus.
4. “Project” means the process of constructing, repairing, renovating, adding onto, or altering a campus building, facility, outdoor space, or its infrastructure, or acquiring new property.
5. “Project Cost” includes, but is not limited to, the cost of construction, installed equipment, architectural and engineering services, surveys, plans, specifications, site development and infrastructure, and other expenses required to complete a Project.
6. “Repair and Renovation” means construction upgrades to an existing building, facility, or outdoor space, or existing infrastructure, including the finish-out of shell space.

11.202 Capital Improvement Plan. The Capital Improvement Plan shall provide a process of strategic capital project planning related to future development and preservation of construction programs and physical plants for the System Administration and each Institution and shall include a five-year projection of all Major Projects.

11.203 Valuation of a Project. In the event that a Project is initiated with a good faith belief that the total Project Cost will not exceed \$1,000,000 for New Construction or \$2,000,000 for Repair and Renovation, and if at any time

thereafter the Project budget is increased to meet the definition of a Major Project, then the Project must be included on the Capital Improvement Plan and the Plan must be approved by the Board prior to the increase in Project Costs being incurred.

11.204 Feasibility and Planning. Contracts related to feasibility and planning are governed by Regents Rule 03.900. Feasibility and planning efforts that are intended to result in a Major Project are not required to be included in the Capital Improvement Plan.

11.205 Responsibility. The Vice Chancellor for Facilities Planning and Construction shall develop and maintain the Capital Improvement Plan in coordination with the System Administration and the Institutions. The System Administration and each Institution shall adopt a capital planning process to provide input into development and maintenance of the Capital Improvement Plan.

11.206 Approval of the Annual Capital Improvement Plan. Annually, the Vice Chancellor for Facilities Planning and Construction shall review the Capital Improvement Plan with the Chancellor and the Presidents. Upon approval by the Chancellor, the Capital Improvement Plan shall be submitted to the Board for approval. Throughout the year, any amendment of the Capital Improvement Plan requires approval of the Chancellor and the Board.

11.207 Major Projects included ~~on an Approved~~ in the Capital Improvement Plan. A Major Project included ~~on a~~ in the Capital Improvement Plan approved by the Board provides authority for the System Administration or Institution to expend funds up to the total Project Cost for that project as specified in the Capital Improvement Plan. With approval of the Chancellor, additional funds may be expended in an amount up to 5% in excess of the total Project Cost, but the excess amount must be reported in an amendment to the Capital Improvement Plan at the next regularly scheduled Board meeting. For Major Projects required by statute to be approved by student election, programming and preliminary design costs may be incurred after the Major Project has been included on a Capital Improvement Plan approved by the Board, but construction costs shall not be incurred until the Major Project has been approved by student election.

11.208 Minor Projects. Minor Projects and contracts related to Minor Projects are exempt from Board approval.

11.209 Delegations of Authority.

1. Project Management.

- a. Major Projects not exceeding \$4,000,000 and Minor Projects for an Institution will be managed by the Institution.
- b. Projects for System Administration and Major Projects exceeding \$4,000,000 for an Institution will be managed by the System Office of Facilities Planning and Construction.
- c. When in the best interest of the System, the Vice Chancellor for Facilities Planning and Construction has the authority to delegate management of Major Projects exceeding \$4,000,000 to an Institution. When in the best interest of the System, the Chancellor has the authority to delegate management of a Project for an Institution to the System Office of Facilities Planning and Construction. When in the best interest of an Institution, the President has the authority to request management of a Project by the System Office of Facilities Planning and Construction.
- d. The Vice Chancellor for Facilities Planning and Construction has the authority to establish or approve Project design and construction guidelines based on the applicable Master Plan, Capital Improvement Plan, and quality design and project implementation criteria for use in System Administration or Institution Projects.
- e. Management of Projects includes responsibility for preparation of plans, specifications, and contract documents, and management of the construction, repair, or renovation related to the Project.
- f. The Board delegates to the Chancellor authority to set Project management rates to be incorporated into Project budgets to support the System Office of Facilities Planning and Construction.

2. Contracting Authority.

- a. Subject to the requirements of this Chapter, the Board delegates to the Chancellor authority to negotiate, approve, and sign all contracts related to Major Projects included in the approved Capital Improvement Plan and all contracts related to a Minor Project. For contracts with a value of \$1,000,000 or less, this authority may be further delegated.
- b. Subject to the requirements of this Chapter, the Board delegates to each President, for their respective Institutions, authority to negotiate, approve, and sign all contracts related to Major Projects managed by the Institution and included in the approved Capital Improvement Plan and all contracts related to a Minor Project

managed by the Institution. For contracts with a value of \$1,000,000 or less, this authority may be further delegated.

~~1. Delegations to the Chancellor.~~

~~a. Subject to the requirements of this Chapter, the Board delegates to the Chancellor the authority to sign all contracts related to a Major Project. For contracts with a value of \$1,000,000 or less, the authority delegated to the Chancellor may be further delegated.~~

~~b. The Board delegates to the Chancellor the authority to determine whether a Minor Project shall be managed by the Vice Chancellor for Facilities Planning and Construction or by the Institution. Management of the Minor Project shall include responsibility for preparation of plans, specifications, and contract documents, and management of the construction, repair or renovation of the Project.~~

~~2. Delegations to the Vice Chancellor for Facilities Planning and Construction.~~

~~a. The Board delegates to the Vice Chancellor for Facilities Planning and Construction the authority to establish or approve Project design and construction guidelines based on the applicable Master Plan, Capital Improvement Plan, and quality design and project implementation criteria for use in System Administration or Institution Projects.~~

~~b. The Board delegates to the Vice Chancellor for Facilities Planning and Construction the authority to manage Major Projects. For Major Projects, the Vice Chancellor for Facilities Planning and Construction shall direct the preparation of plans, specifications, and contract documents, and manage the construction, repair or renovation of the Project. When in the best interest of the System Administration or an Institution, the Vice Chancellor for Facilities Planning and Construction shall have the authority to delegate management of a Major Project.~~

11.20910 Texas Higher Education Coordinating Board. Projects shall be submitted to the Texas Higher Education Coordinating Board by the Vice Chancellor for Facilities Planning and Construction as required by state law and regulations.

11.2101 Construction Procurement Methods. The System Administration and the Institutions shall use only the procurement methods set forth in Chapter 51 of the Texas Education Code for all Projects.

11.21~~42~~²³ Historically Underutilized Business Program. The System Administration and the Institutions shall seek the involvement of and make a good faith effort to promote the use of Historically Underutilized Businesses in its Projects as prime contractors, sub-contractors, and material suppliers.

11.21~~23~~³⁴ Building Code. The System Administration and the Institutions shall follow the International Building Code (IBC) as the standard for Projects. The Chancellor shall designate one or more “Building Official(s)” as defined by the IBC. The Building Official shall have the authority to establish guidelines for interpreting the IBC.

11.21~~34~~⁴⁵ Naming. Buildings, facilities, structures, outdoor spaces, and other areas may be named as specified in Regents Rule 09.200.

11.21~~45~~⁴⁵ Plaques. A commemorative plaque shall be placed on New Construction as determined by the Chancellor.

1. The plaque shall be inscribed with the following:
 - a. the name of the building or facility;
 - b. the name of the architecture or design firm;
 - c. the name of the general contractor, construction manager at risk, construction manager-agent, or design build firm;
 - d. the month and year that the Board voted to authorize award of the construction contract; and
 - e. the year of the dedication ceremony.
2. The plaque also shall identify, as of the date the Board voted to authorize award of the construction contract, the following:
 - a. the names of the Chairman and Vice Chairman of the Board, and the names of the other members of the Board, including the Student Regent, arranged in alphabetical order; and
 - b. the names of those occupying the positions of Chancellor and President.

Adopted: November 15, 2007
Effective: November, 15, 2007
Revised: May 15, 2013 (editorial), August 28, 2015