

## Appendix Book - May 21, 2026, Board of Regents Meeting

### UNTS Acronym List

UNTS Acronym List rv 3.3.21

### AUDIT COMMITTEE

Compliance Background Report

UNT System Compliance Executive Summary Q2 FY26

# UNT System Acronym List

<b>ACT</b>	American College Testing: a standardized test used for college admissions
<b>ASF</b>	Assignable Square Feet
<b>AUX</b>	Auxiliary Reserves
<b>BOR</b>	Board of Regents
<b>BSC</b>	Business Service Center
<b>BSS</b>	Business Support Services
<b>CAE</b>	Chief Audit Executive
<b>CAFR</b>	Comprehensive Annual Financial Report
<b>CIA</b>	Chief Internal Auditor
<b>CIP</b>	Capital Improvement Plan
<b>CIP</b>	Construction in Progress
<b>CM</b>	Construction Manager
<b>CMAR</b>	Construction Manager at Risk
<b>CO</b>	Change Order
<b>COL</b>	College of Law
<b>CP</b>	Commercial Paper
<b>DEI</b>	Diversity, Equity and Inclusion
<b>FTE</b>	Full Time Equivalent: generally used in reference to Full Time Student Equivalent (FTSE) but can also be used in reference to Full Time Faculty Equivalent (FTFE). See FTSE or FTFE below for definitions.
<b>FTIC</b>	First Time in College: a student who has never enrolled in a college or university. Students who have earned college credits only through dual credit courses are still considered FTIC.

<b>FTSE</b>	Full Time Student Equivalent: is computed by dividing headcount enrollment by a set number of semester credit hours based on the rank of the student (Undergraduate FTSE = 15 SCH; Masters and Special Professional FTSE = 12 SCH; Doctoral FTSE = 9 SCH). FTSE is generally lower than headcount enrollment because of part time students.
<b>FTFE</b>	Full Time Faculty Equivalent: a measure of instructional faculty calculated from the percent of time directly related to teaching.
<b>FY</b>	Fiscal Year
<b>GAI</b>	General Academic Institution
<b>GMAT</b>	Graduate Management Admission Test: a standardized test for admission into graduate programs of business schools.
<b>GME</b>	Graduate Medical Education: clinical training following graduation from medical school leading to specialty certification. Texas, like most states, requires one year of graduate medical education to be eligible for state licensure. Also called residency training.
<b>GSF</b>	Gross Square Feet
<b>HEAF</b>	Higher Education Assistance Fund (also known as HEF)
<b>HERRF</b>	Higher Education Emergency Relief Fund
<b>HR</b>	Housing Reserve
<b>HR</b>	Human Resources
<b>HRI</b>	Health-Related Institution
<b>HSC</b>	Health Science Center
<b>HUB</b>	Historically Underutilized Business
<b>IA</b>	Internal Audit
<b>LAR</b>	Legislative Appropriations Request
<b>MCAT</b>	Medical College Admission Test: a standardized test for admission into medical school
<b>MP</b>	Master Plan

<b>NACUBO</b>	National Association of College and University Business Officers
<b>OBS</b>	Office of the Board Secretary
<b>OGC</b>	Office of General Counsel
<b>OGCA</b>	Office of Grants & Contract Administration
<b>OFPC</b>	Office of Facilities Planning and Construction
<b>P3</b>	Public-Private Partnership (also known as PPP)
<b>PM</b>	Project Manager
<b>PP</b>	Private Placement
<b>PUF</b>	Permanent University Fund: a sovereign wealth fund created by the State of Texas to support higher education at the University of Texas System and Texas A&M System, but not other public higher education systems or institutions in Texas
<b>PSAT</b>	Preliminary Scholastic Aptitude Test: used to prepare high school students who plan to take the SAT for admission to college. (See SAT below)
<b>QEP</b>	Quality Enhancement Plan: required for reaffirmation of accreditation by SACSCOC. The QEP describes a carefully designed and focused course of action that addresses a well-defined topic or issue(s) related to enhancing student learning.
<b>RB</b>	Revenue Bonds
<b>RFP</b>	Request for Proposal
<b>RFQ</b>	Request for Qualifications
<b>RFS</b>	Revenue Financing System Bonds
<b>RPTC</b>	Reappointment, Promotion, and Tenure Committee
<b>RR</b>	Regents Rules
<b>SACS</b>	Southern Association of Colleges and Schools: a shortened abbreviation for “SACSCOC.” (See below).
<b>SACSCOC</b>	Southern Association of Colleges and Schools Commission on Colleges: the recognized regional accrediting body for institutions of higher education that

award associate, baccalaureate, masters or doctoral degrees in eleven U.S. Southern states.

<b>SAT</b>	Scholastic Aptitude Test: A standardized test for college admissions.
<b>SCH</b>	Semester Credit Hour: the unit of measuring educational credit, usually based on the number of classroom/instructional hours per week throughout a term.
<b>SF</b>	Student Fees
<b>SF</b>	Square Feet
<b>SFP</b>	Statement of Financial Position
<b>SRECNP</b>	Statement of Revenues, Expenses and Changes in Net Position
<b>STEM</b>	Science, Technology, Engineering and Math
<b>TAMS</b>	Texas Academy of Mathematics and Science: the nation's first early college entrance residential program for gifted high school aged students
<b>THC</b>	Texas Historical Commission
<b>THECB</b>	Texas Higher Education Coordinating Board: a nine member board appointed by the Governor that provides coordination of higher education in Texas and was created by the Texas Legislature in 1965.
<b>TRB</b>	Tuition Revenue Bond
<b>T/TT</b>	Tenured/Tenure Track Faculty: faculty who hold the ranks of assistant professor, associate professor, and professor prior to or after the awarding of tenure.
<b>VC</b>	Vice Chancellor

# UNIVERSITY OF NORTH TEXAS SYSTEM COMPLIANCE DIVISION

## Board Executive Summary | Q2 FY26

Frank Bernard, System Chief Compliance Officer | April 29, 2026

<b>87,412</b> PolicyTech Accesses (Q2)	<b>99.9%</b> Public Access Rate	<b>80%</b> Policy Inventory Complete	<b>+25%</b> Leaders Engaging Earlier
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### Program Status & Enterprise Progress

The Compliance Program is advancing a unified, systemwide governance model across 16 compliance risk domains within a 24-month implementation window. The Trust Line case management system has been successfully transitioned to Case IQ, strengthening reporting infrastructure and operational integrity. Foundational workstreams are on track, including governance alignment, centralized investigation protocol development using a Lean Six Sigma methodology, and GRC platform requirements definition.

### Leadership Engagement & Culture

Leadership commitment is strong, with cross-functional alignment among Compliance, Internal Audit, and Legal. The Cabinet Retreat engaged 20 leaders — including 11 Cabinet-level participants and the President — resulting in a 25% increase in leaders proactively initiating earlier compliance involvement in decision-making. Two new compliance partnerships and the program's first student Integrity Champion reflect expanding cultural reach. A cross-institutional AI Panel Discussion is planned for Q3 as part of Integrity and Awareness Week under the theme Innovation with Integrity.

### Policy Governance Maturity

- **Policy Review Cycle KPI (Phase 1) Completed** — first baseline established for on-time versus late reviews
- **Policy Inventory at 80%** — remaining items are low-risk with no regulatory exposure identified
- **KPI Dashboard Phase 1 Complete** — Phase 2 development underway; 6 active regulatory-driven policy reviews in progress
- **Regulatory Reviews** — 1 of 4 approved and published (Title IX); 3 of 4 pending final review

### Risk Landscape — Top 4 Trends

Enterprise Oversight Visibility — Unified CCO leadership established; operational alignment underway	<b>In Remediation</b>
Proactive Monitoring & Controls Testing — Structured monitoring capability prioritized within 18–24 month strategy	<b>Action Planned</b>
GRC Platform Readiness — 47 requirements defined; evaluation underway with Internal Audit	<b>In Evaluation</b>
AI & Emerging Technology Risk — Technology capability review incorporated into Discovery; AI Dashboard targeted June 2027	<b>Under Assessment</b>

### Key Controls in Place

- **Board-Approved Compliance Roadmap** — formal governance framework with accountable milestones across an 18–24 month implementation window
- **Investigative Response Capability** — Case IQ transition complete; unified investigation process in Improve phase using Lean Six Sigma methodology, targeting Q3 2026 finalization
- **Cross-Functional Coordination** — active alignment among Compliance, Internal Audit, and Legal across all program workstreams

### Near-Term Priorities & Board Actions Requested

<b>Near-Term Priorities</b> <ul style="list-style-type: none"><li>• Complete Compliance Domain Inventory — August 2026</li><li>• Resolve GRC Platform Strategy with Internal Audit — Prior to RFP</li><li>• Advance Vice-Chancellor Executive Sponsorship for GRC — Prior to Platform Selection</li><li>• Deliver Phase 1 Governance Alignment Milestones — September 2026</li></ul>	<b>Board Actions Requested</b> <ul style="list-style-type: none"><li>• <b>Support</b> not required at this time.</li><li>• <b>Note</b> that the roadmap remains on track and no milestone date changes are requested at this time.</li></ul>
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