Pre-Bid Meeting
RFQ769-20-0104-MM
Financial Audit Services
January 9, 2020 – 9:00 AM local time
Agenda

• Introductions

• Key dates/times

• Submittal instructions

• HUB Subcontracting Plan

• Questions/Answers
Key Dates and Times

• Deadline for questions: 01/14/2020 @ 3:00 PM
• Questions and answers posted: 01/16/2020 @ 5:00 PM
• Deadline for submittals: 01/23/2020 @ 2:00 PM

All stated times are local.
Submittal Instructions

• Delivery address for response submittal
  Monica Madrid, Senior Buyer
  University of North Texas System
  Business Service Center
  1112 Dallas Dr., Ste. 4000
  Denton, TX  76205

• Envelope/Container instructions
  ➢ Proposal #, name, and submittal date to be clearly marked on lower left-hand corner.
  ➢ Must contain/include the following:
    ✓ Bid response documents and HSP, each in separate sealed/marked envelopes
    ✓ Signed bid response
    ✓ USB flash-drive containing entire response.
Background

The University of North Texas System seeks to obtain the services of an appropriately licensed Certified Public Accounting Firm to perform financial audits, reviews, and compliance audits. Some of the auditing services includes the following:

• Comprehensive Financial Statement Audits
• Reviews in compliance requirements of the Southern Association of Colleges & Schools Commission on Colleges (SACSCOC)
• Audit Services for Institutions with grants from the Cancer Prevention & Research Institute of Texas (CPRIT)
• A complete description of the services needed can be found in Section 5.2 of the RFQ
Evaluation Criteria

2.3.1 Corporate background and experience
2.3.2 Credentials & qualifications of team members who will serve our account (must include resumes of key personnel who will be on this team)
2.3.3 Methodology & service approach to conducting audits as outlined in Section 5; Quality & organization of submission
2.3.4 Acceptance of UNT Systems terms and conditions outlined in Section 3.4
2.3.5 References from clients similar to the UNT System where an audit of similar size and scope was conducted (must provide appropriate contact information from each client)
HUB Agenda

- What is a Historically Underutilized Business (HUB)?
- The Subcontracting Plan (HSP) and why we do one
- How to create a HSP
What is a HUB?

A HUB . . . .

• is certified by the State of Texas

• is a for-profit entity that has not exceeded the size standards prescribed by 34 TAC §20.23

• has its principal place of business in Texas
Why we do an HSP

• Texas state law
  ➢ Government Code Chapter §2161.252
  ➢ Administrative Code §20.14

• Demonstrates a “good faith effort” to diversify business opportunities.

Note: Responses that do not include a complete HSP must be rejected.
HSP Quick Checklist

Use this tool to determine which pages and sections must be completed, based on the unique situation and plan of the submitting company.

HSP, Page 1

- Contains the HUB goals established by the State of Texas
- Ensure Section 1 is thoroughly completed and accurate

**Note:** UNTS’ HUB Dept. will verify the vendor ID and HUB status of the submitting company.
• Declare all subcontracting opportunities on this page.

• List by opportunity type, not by vendor name (that comes later).

• The choices at the bottom will determine which “method” the submitting company will use to demonstrate a good faith effort.

• There is an addendum page to use if the submitting company identifies more than 15 subcontracting opportunities.
• If the submitting company is not subcontracting any of the work, they must explain how the company will perform the entire contract with its own employees, materials, etc.

• By signing in the space provided, the designated representative of the submitting company affirms the contractual obligations within the HSP.

• This should be signed by a senior employee familiar with the project.
HSP, Attachment A

- Used if the submitting company intends to utilize only certified HUBs, or if they meet the State’s HUB goals.

- Complete one page per subcontracting opportunity.

- The sum of the percentages (%) must match the percentage listed on Page 2 for each opportunity.

- **Note:** UNTS’ HUB Dept. will verify the vendor ID and HUB status of the submitting company.
• Used if the submitting company intends to use any non-HUB businesses, or if they do not meet the State’s HUB goals.

• Complete one page per subcontracting opportunity.

• Minimum of 3 HUB vendors and 2 related trade organizations.

• Note: UNTS’ HUB Dept. will verify the vendor ID and HUB status of the submitting company.
The submitting company will list the selected vendor.

Complete justification as to why a HUB vendor was not selected must be included.

The sum of these percentages must match the percentages listed on Page 2 for each opportunity.

**Note:** UNTS’ HUB Dept. will verify the vendor ID and HUB status of the submitting company.
HUB Subcontracting Opportunity Notification

- Only one of many options to distribute information.

- All methods of distribution must provide complete information.

- Respondents must be given 7 working days to reply/respond.

- All contact information must be current and accurate.
How to Find HUB Vendors

• Visit the State of Texas Centralized Master Bidders List (CMBL) at:
  https://mycpa.cpa.state.tx.us/tpasscmblsearch/index.jsp

• Contact associated trade organizations, such as:
  ➢ DFW Minority Supplier Development Council
    http://dfwmsdc.com/
  ➢ Regional Hispanic Contractors Association
    http://regionalhca.org/
  ➢ US Pan Asian American Chapter-Southwest
    http://uspaacc-sw.org/
  ➢ Women’s Business Council-Southwest
    http://www.wbcsouthwest.org/
Possible Reasons for Rejection of an HSP

- Not signed
- Included in the same envelope as, or co-mingled with, the bid response.
- Company information is incorrect and/or incomplete.
- No justification for failing to meet State HUB usage goals.
- Not providing required information, if self-performing.
- Section 2 does not match Methods A or B.
- Respondents not allowed 7 working days.
- No documentation showing good faith effort.
- Missing information that the UNTS HUB Dept. is not allowed to fill in for the submitting company.
HSP Reminders

• The submitting company’s HSP is a binding document.

• Contract language requires the submitting company to abide by the terms of the original HSP.

• The HSP can be revised only with the consent of the UNTS HUB Dept. The same good faith effort requirements will apply.
HUB or HSP Assistance

- The UNTS HUB Dept. is available to help
  - Aurika Weaver-White, HUB Specialist, phone 940-369-5580
  - Greg Obar, Director of Operations, phone 940-369-5647
  - Email: hub@untsystem.edu
  - Web: http://www.untsystem.edu/hr-it-business-services/about-hub

- Fillable electronic form available at:
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Questions and Answers