SECTION 013200

CONSTRUCTION PROGRESS DOCUMENTATION

PART 1 - GENERAL

1.1 RELATED DOCUMENTS
A. Drawings and general provisions of the Contract, including General and Supplementary Conditions and other Division 01 Specification Sections, apply to this Section.

1.2 SUMMARY
A. Section includes administrative and procedural requirements for documenting the progress of construction during performance of the Work, including the following:
1. Contractor's construction schedule
2. Daily construction reports
3. Material location reports
4. Field condition reports
5. Special reports

1.3 DEFINITIONS
A. Activity: A discrete part of a project that can be identified for planning, scheduling, monitoring, and/or controlling the construction project. Activities included in a construction schedule that consume time and resources.
1. Critical Activity: An activity on the critical path that must start and finish on the planned early start and finish times.
2. Predecessor Activity: An activity that precedes another activity in the network.
3. Successor Activity: An activity that follows another activity in the network.
B. CPM: Critical path method, which is a method of planning and scheduling a construction project where activities are arranged based on activity relationships. Network calculations determine when activities can be performed and the critical path of the Project.
C. Critical Path: The longest connected chain of interdependent activities through the network schedule that establishes the minimum overall Project duration and contains no float.
D. Event: The starting or ending point of an activity.
E. Float: The measure of leeway in starting and completing an activity.
1. Float time is not for the exclusive use or benefit of either Owner or Contractor, but is a jointly owned, expiring Project resource available to both parties as needed to meet schedule milestones and Contract completion date.
2. Free float is the amount of time an activity can be delayed without adversely affecting the early start of the successor activity.
3. Total float is the measure of leeway in starting or completing an activity without adversely affecting the planned Project completion date.
F. Resource Loading: The allocation of manpower and equipment necessary for the completion of an activity as scheduled.

1.4 INFORMATIONAL SUBMITTALS
A. Format for Submittals: Submit required submittals in the following format:
1. PDF electronic file.
B. Contractor's Construction Schedule: Initial schedule, of size required to display entire schedule for entire construction period.
1. Submit a working electronic copy of schedule, using software indicated, and labeled to comply with requirements for submittals. Include type of schedule (initial or updated) and date on label.
C. CPM Reports: Concurrent with CPM schedule, submit each of the following reports. Format for each activity in reports shall contain activity number, activity description, cost and resource loading, original duration, remaining duration, early start date, early finish date, late start date, late finish date, and total float in calendar days.
1. Activity Report: List of all activities sorted by activity number and then early start date, or actual start date if known.
2. Logic Report: List of preceding and succeeding activities for all activities, sorted in ascending order by activity number and then early start date, or actual start date if known.
3. Total Float Report: List of all activities sorted in ascending order of total float.
4. Earnings Report: Compilation of Contractor's total earnings from commencement of the Work until most recent Application for Payment.
D. Material Location Reports: Submit at prior to application for payment.
EFFECTIVE DATE
23 July 2013

E. Field Condition Reports: Submit at time of discovery of differing conditions
F. Special Reports: Submit at time of unusual event

1.5 QUALITY ASSURANCE
A. Scheduling Consultant Qualifications: An experienced specialist in CPM scheduling and reporting, with capability of producing CPM reports and diagrams within 24-hours of Architect's request.

1.6 COORDINATION
A. Coordinate preparation and processing of schedules and reports with performance of construction activities and with scheduling and reporting of separate contractors.
B. Coordinate Contractor's construction schedule with the schedule of values, cash flow report (actual/projected), submittal schedule, progress reports, payment requests, and other required schedules and reports.
1. Secure time commitments for performing critical elements of the Work from entities involved.
2. Coordinate each construction activity in the network with other activities and schedule them in proper sequence.

PART 2 - PRODUCTS

2.1 CONTRACTOR'S CONSTRUCTION SCHEDULE, GENERAL
A. Time Frame: Extend schedule from date established for commencement of the Work to date of Final Completion.
1. Contract completion date shall not be changed by submission of a schedule that shows an early completion date, unless specifically authorized by Change Order.
B. Activities: Treat each story or separate area as a separate numbered activity for each principal element of the Work. Comply with the following:
1. Procurement Activities: Include procurement process activities for long lead items (as identified by Contractor) and major items, requiring a cycle of more than sixty (60) days, as separate activities in schedule. Procurement cycle activities include, but are not limited to, submittals, approvals, purchasing, fabrication, and delivery.
2. Submittal Review Time: Include review and resubmittal times indicated in Division 01 Section 013300, "Submittal Procedures" in schedule. Coordinate submittal review times in Contractor's construction schedule with submittal schedule.
3. Startup and Testing Time: Include not less than fifteen (15) days for startup and testing.
4. Substantial Completion: Indicate completion in advance of date established for Substantial Completion, and allow time for Architect's administrative procedures necessary for certification of Substantial Completion.
5. Punch List and Final Completion: Include not more than thirty (30) days for punch list and final completion.
C. Constraints: Include constraints and work restrictions indicated in the Contract Documents and as follows in schedule, and show how the sequence of the Work is affected.
1. Phasing: Arrange list of activities on schedule by phase.
2. Work under More Than One Contract: Include a separate activity for each contract.
3. Work by Owner: Include a separate activity for each portion of the Work performed by Owner.
4. Products Ordered in Advance: Include a separate activity for each product. Include delivery date indicated in Division 01 Section 011000, "Summary". Delivery dates indicated stipulate the earliest possible delivery date.
5. Owner-Furnished Products: Include a separate activity for each product. Include delivery date indicated in Division 01 Section 011000, "Summary". Delivery dates indicated stipulate the earliest possible delivery date.
6. Work Restrictions: Show the effect of the following items on the schedule:
   a. Coordination with existing conditions
   b. Limitations of continued occupancies
   c. Uninterruptible services
   d. Partial occupancy before Substantial Completion
   e. Use of premises restrictions
   f. Lead time for future construction
   g. Seasonal variations
   h. Environmental control
7. Work Stages: Indicate important stages of construction for each major portion of the Work, including, but not limited to, the following:
   a. Subcontract awards
   b. Submittals
c. Purchases  
d. Mockups  
e. Fabrication  
f. Sample testing  
g. Deliveries  
h. Installation  
i. Tests and inspections  
j. Adjusting  
k. Curing  
l. Startup and placement into final use and operation

8. Construction Areas: Identify each major area of construction for each major portion of the Work. Indicate where each construction activity within a major area must be sequenced or integrated with other construction activities to provide for the following:
   a. Structural completion  
b. Permanent space enclosure  
c. Completion of mechanical installation  
d. Completion of electrical installation  
e. Substantial Completion

D. Milestones: Include milestones indicated in the Contract Documents in schedule, including, but not limited to, the Notice to Proceed, Substantial Completion, and Final Completion.

E. Cost Correlation: At the head of schedule, provide a cost correlation line, indicating planned and actual costs. On the line, show dollar volume of the Work performed as of dates used for preparation of payment requests.
   1. Refer to Division 01 Section 012900, "Payment Procedures" for cost reporting and payment procedures.

F. Upcoming Work Summary: Prepare summary report indicating activities scheduled to occur or commence prior to submittal of next schedule update. Summarize the following issues:
   1. Unresolved issues  
   2. Unanswered RFIs  
   3. Rejected or unreturned submittals  
   4. Notations on returned submittals

G. Recovery Schedule: When periodic update indicates the Work is fourteen (14) or more calendar days behind the current approved schedule, submit a separate recovery schedule indicating means by which Contractor intends to regain compliance with the schedule. Indicate changes to working hours, working days, crew sizes, and equipment required for compliance, and date by which recovery will be accomplished.

H. Computer Scheduling Software: Prepare schedules using current version of a program that has been developed specifically to manage construction schedules. Confirm acceptability of software with Owner. Contractor is responsible for all costs associated with licensing and training of the software.

I. Schedule shall be updated monthly and must include current details for all activities.

2.2 CONTRACTOR'S CONSTRUCTION SCHEDULE (CPM SCHEDULE)

A. General: Prepare network diagrams using AON (activity-on-node) format.

B. Start-up Network Diagram: Submit diagram within twenty-one (21) days from date of Notice to Proceed. Outline significant construction activities for the first ninety (90) days of construction. Include skeleton diagram for the remainder of the Work and a cash requirement prediction based on indicated activities.

C. CPM Schedule: Prepare Contractor's construction schedule using a cost and resource loaded, time scaled CPM network analysis diagram for the Work.
   1. Develop network diagram in sufficient time to submit CPM schedule so it can be accepted for use no later than sixty (60) days after date established for commencement of the Work.
      a. Failure to include any work item required for performance of this Contract shall not excuse Contractor from completing all work within applicable completion dates, regardless of Architect's approval of the schedule.
   2. Conduct educational workshops to train and inform key Project personnel, including subcontractors' personnel and Owner's Representative, in proper methods of providing data and using CPM schedule information.
   3. Establish procedures for monitoring and updating CPM schedule and for reporting progress. Coordinate procedures with progress meeting and payment request dates.
   4. Use "one workday" as the unit of time for individual activities. Indicate nonworking days and holidays incorporated into the schedule in order to correlate with Contract Time.

D. CPM Schedule Preparation: Prepare a list of all activities required to complete the Work. Using the startup network diagram, prepare a skeleton network to identify probable critical paths.
   1. Activities: Indicate the estimated time duration, sequence requirements, and relationship of each
activity in relation to other activities. Include estimated time frames for the following activities:

a. Preparation and processing of submittals
b. Mobilization and demobilization
c. Purchase of materials
d. Delivery
e. Fabrication
f. Utility interruptions
g. Installation
h. Work by Owner that may affect or be affected by Contractor's activities
i. Testing
j. Punch list and final completion
k. Activities occurring following final completion

2. Critical Path Activities: Identify critical path activities, including those for interim completion dates. Scheduled start and completion dates shall be consistent with Contract milestone dates.

3. Processing: Process data to produce output data on a computer drawn, time scaled network. Revise data, reorganize activity sequences, and reproduce as often as necessary to produce the CPM schedule within the limitations of the Contract Time.

4. Format: Mark the critical path. Locate the critical path near center of network; locate paths with most float near the edges.
   a. Sub-networks on separate sheets are permissible for activities clearly off the critical path.

5. Cost and Resource Loading of CPM Schedule: Assign cost to construction activities on the CPM schedule. Do not assign costs to submittal activities. Obtain Architect's approval prior to assigning costs to fabrication and delivery activities. Assign costs under principal subcontracts for testing and commissioning activities, operation and maintenance manuals, punch list activities, Project record documents, [LEED documentation], and demonstration and training (if applicable).
   a. Each activity cost shall reflect an appropriate value subject to approval by Architect.
   b. Total cost assigned to activities shall equal the total Contract Sum.

E. Contract Modifications: For each proposed contract modification and concurrent with its submission, prepare a time impact analysis using a network fragment to demonstrate the effect of the proposed change on the overall project schedule.

F. Initial Issue of Schedule: Prepare initial network diagram from a sorted activity list indicating straight "early start/total float". Identify critical activities. Prepare tabulated reports showing the following:
   1. Contractor or subcontractor and the Work or activity
   2. Description of activity
   3. Principal events of activity
   4. Immediate preceding and succeeding activities
   5. Early and late start dates
   6. Early and late finish dates
   7. Activity duration in workdays
   8. Total float time
   9. Average size of workforce
   10. Dollar value of activity (coordinated with the schedule of values)

G. Schedule Updating: Concurrent with making revisions to schedule, prepare tabulated reports showing the following:
   1. Identification of activities that have changed
   2. Changes in early and late start dates
   3. Changes in early and late finish dates
   4. Changes in activity durations in workdays
   5. Changes in the critical path
   6. Changes in total float time
   7. Changes in the Contract Time
   8. Show relationship between activities on initial and updated schedule.

H. Value Summaries: Prepare two cumulative value lists, sorted by finish dates.
   1. In first list, tabulate activity number, early finish date, dollar value, and cumulative dollar value.
   2. In second list, tabulate activity number, late finish date, dollar value, and cumulative dollar value.
   3. In subsequent issues of both lists, substitute actual finish dates for activities completed as of list date.
   4. Prepare list for ease of comparison with payment requests; coordinate timing with progress meetings.
      a. In both value summary lists, tabulate "actual percent complete" and "cumulative value completed" with total at bottom.
      b. Submit value summary printouts one week before each regularly scheduled progress meeting.
2.3 REPORTS
A. Daily Construction Reports: Prepare a daily construction report record the following information concerning events at Project site:
   1. List of subcontractors at Project site
   2. List of separate contractors at Project site
   3. Approximate count of personnel at Project site
   4. Equipment at Project site
   5. Material deliveries
   6. High and low temperatures and general weather conditions, including presence of rain or snow
   7. Accidents
   8. Meetings and significant decisions
   9. Unusual events (refer to special reports)
   10. Stoppages, delays, shortages, and losses
   11. Meter readings and similar recordings
   12. Emergency procedures
   13. Orders and requests of authorities having jurisdiction
   14. Change Orders received and implemented
   15. Construction Change Directives received and implemented
   16. Services connected and disconnected
   17. Equipment or system tests and startups
   18. Partial completions and occupancies
   19. Substantial Completions authorized

B. Material Location Reports: Monthly prepare and submit a comprehensive list of materials delivered to and stored at Project site. Include with list a statement of progress on and delivery dates for materials or items of equipment fabricated or stored away from Project site.

C. Field Condition Reports: Immediately on discovery of a difference between field conditions and the Contract Documents prepare and submit, to the architect, a detailed report. Submit with a Request for Information. Include a detailed description of the differing conditions, together with recommendations for changing the Contract Documents.

D. Executive Summary Reports: Provided monthly with Payment Applications. Provides highlight details, schedule summary, and other information pertinent to Owner, including, but not limited to the following:
   1. Table of contents, simple project schedule clearly indicating benchmark dates, a narrative stating the current status of construction, a list of construction concerns, a look at what is coming up, potential change order log, cash flow report (original/actual/projected) and progress photos.

2.4 SPECIAL REPORTS
A. General: Submit special reports directly to Owner within one (1) day of an occurrence. Distribute copies of report to parties affected by the occurrence.

B. Reporting Unusual Events: When an event of an unusual and significant nature occurs at Project site, whether or not related directly to the Work, prepare and submit a special report. List chain of events, persons participating, response by Contractor's personnel, evaluation of results or effects, and similar pertinent information. Advise Owner in advance when these events are known or predictable.

PART 3 - EXECUTION
3.1 CONTRACTOR'S CONSTRUCTION SCHEDULE
A. Scheduling Consultant: Engage a consultant to provide planning, evaluation, and reporting using CPM scheduling.
   1. In-House Option: Owner may waive the requirement to retain a consultant if Contractor employs skilled personnel with experience in CPM scheduling and reporting techniques. Submit qualifications.
   2. Meetings: Scheduling consultant shall attend all meetings related to Project progress, alleged delays, and time impact.

B. Contractor's Construction Schedule Updating: At monthly intervals, update schedule to reflect actual construction progress and activities. Issue schedule one week before each regularly scheduled progress meeting.
   1. Revise schedule immediately after each meeting or other activity where revisions have been recognized or made. Issue updated schedule concurrently with the report of each such meeting.
   2. Include a report with updated schedule that includes every change, including, but not limited to, changes in logic, durations, actual starts and finishes, and activity durations.
   3. As the Work progresses, indicate final completion percentage for each activity.
C. Distribution: Distribute copies of approved schedule to Architect, Owner, commissioning agent, and other parties identified by Contractor with a need-to-know schedule responsibility.
   1. Post copies in Project meeting rooms and temporary field offices.
   2. When revisions are made, distribute updated schedules to the same parties and post in the same locations. Delete parties from distribution when they have completed their assigned portion of the Work and are no longer involved in performance of construction activities.

END OF SECTION