

02.401 Compliance

The component institutions of the University of North Texas System shall have a compliance structure suitable to the mission and operation of the institution. The structure and operation of the compliance function will be consistent with the Federal Sentencing Guidelines for Organizations §8A1.2. The Chancellor shall appoint a compliance officer for the System and the President of each component institution shall appoint one for the institution.

Responsibility: The Chancellor, as chief executive officer of the System, is responsible for ensuring the implementation of a compliance program for the UNT System. The compliance officer of each component institution shall submit appropriate reports to the respective President, who in turn should report, through the Chancellor, to the Board of Regents on compliance activities. The Presidents of the component institutions shall be responsible for:

- ensuring the implementation and maintenance of, a compliance program for the institutions.
- submitting through the Chancellor to the Board of Regents quarterly compliance status reports; and
- submitting an appropriate annual report on compliance, also through the Chancellor to the Board of Regents.